

**MINISTRY OF ECONOMY**

**of the Slovak Republic**

**Managing Authority for the Operational Programme Competitiveness and Economic Growth**

***Annual Report on the Implementation of the***

***Operational Programme Competitiveness & Economic Growth for 2011***



**European Union**

**Operational Programme Competitiveness & Economic Growth**

**Bratislava, June 2012**

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# *Abbreviations used*

|  |  |
| --- | --- |
| € | Euro |
| AA | Audit Authority (Ministry of Finance of the Slovak Republic) |
| Annual Report | Annual Report on the Implementation of the Operational Programme Competitiveness & Economic Growth in 2011 |
| AT | Audit trail |
| BIS | Budget Information System |
| CA | Certifying Authority (Ministry of Finance of the Slovak Republic) |
| Call | Call for grant applications |
| CCA | Central Coordinating Authority |
| CCT | Commercial Competitive Tendering |
| CCT | Commercial Competitive Tendering |
| CEDIS | Central database information system |
| CF | Cohesion Fund |
| Commission | European Commission |
| CP | Communication Plan for Operational Programme Competitiveness & Economic Growth |
| CRC | Central Register of Contracts |
| CTI | Communication and Technological Infrastructure of data centres |
| EAE | Estimates of anticipated expenditures |
| EC | European Communities |
| EIF | European Investment Fund |
| EO | Equal Opportunities |
| ERDF | European Regional Development Fund |
| EU | European Union |
| EU SF | Structural Funds of the European Union |
| FAIS | Funds Accounting Information System |
| FAQ | Frequently asked questions |
| FCIC | First Contact Information Centre |
| FLPG | First Loss Portfolio Guarantee |
| Framework agreement | Framework Agreement on Mutual Cooperation regarding the Operational Programme for Competitiveness & Economic Growth |
| GA | Grant Application |
| GC | Grant Contract |
| GO SR | Government Office of the Slovak Republic |
| Grant | Grant (non-returnable financial contribution) |
| HP | Horizontal priority |
| HTU | Higher Territorial Unit |
| I | Impact indicator |
| IB | Intermediary Body under the Managing Authority |
| ICT | Information and Communication Technologies |
| IMPMA | Internal Manual of Procedures of the Managing Authority |
| IS | Information Society |
| ISMC | Internal Supervisory and Monitoring Committee for European Union funds |
| ITMS | IT monitoring system for the Structural Funds and the Cohesion Fund |
| JEREMIE | Joint European Resources for Micro to Medium Enterprises, Joint European Resources for Micro to Medium Enterprises (financial engineering instrument) |
| JRM | Joint Road Map |
| KaHR | (Call code abbreviation) Competition and Economic Growth |
| LSCA | Local Strategies for a Comprehensive Approach |
| LSKxP | (Call code abbreviation) Local Strategies for a Comprehensive Approach and Economic Growth |
| MA | Managing Authority for the Operational Programme Competitiveness & Economic Growth |
| Mandate | Mandate of the intermediate body under the Managing Authority for discharging tasks of the MA |
| MCKE  NADSME | Monitoring Committee for the Knowledge-based Economy  National Agency for Development of Small and Medium Enterprises |
| MoE SR | Ministry of Economy of the Slovak Republic |
| MoESRS SR | Ministry of Education, Science, Research & Sport of the Slovak Republic |
| MoF SR | Ministry of Finance of the Slovak Republic |
| MoLSAF SR | Ministry of Labour, Social Affairs & Family of the Slovak Republic |
| MoTCRD SR | Ministry of Transport, Construction & Regional Development of the Slovak Republic |
| MRC | Marginalised Roma Communities |
| NRP | National Reform Programme of the Slovak Republic for 2010 to 2014 |
| NSPRD SR | National Strategic Plan for Rural Development of the Slovak Republic |
| NSRF | National Strategic Reference Framework of the Slovak Republic for 2007 – 2013 |
| NUTIS | National Unified Information System m tourism |
| NUTS | Nomenclature of territorial units for statistics |
| O | Output indicator |
| OECD | Organisation for Economic Co-operation and Development |
| OGPRC | Office of the Slovak Government Plenipotentiary for Roma Communities |
| OP | Operational Programme |
| OP CEG | Operational Programme Competitiveness & Economic Growth |
| OP ESI | Operational Programme Employment & Social Inclusion |
| OP IS | Operational Programme Information Society |
| OP R&D | Operational Programme Research & Development |
| OPF | Other Public Funds |
| OPP | Office for Public Procurement |
| PF | Private Finance |
| Programme Manual | Programme Manual for the Operational Programme Competitiveness & Economic Growth |
| R | Results indicator |
| RIC | Regional Innovation Centre |
| Rnbls | Renewables |
| RPF | Recovered Public Funds |
| RTD | Research and technological development |
| SAfP | Summary application for payment |
| SARIO | Slovak Investment & Trade Development |
| SCEA | Statistical Classification of Economic Activities |
| SCI | System of coordination and implementation |
| SD | Sustainable Development |
| SEPKS | Section for European Policy and Knowledge Society of the Government Office |
| SF & CF Financial Management System | System of financial management of the Structural Funds and the Cohesion Fund for the period 2007 – 2013 |
| SFC 2007 | Funds Management System of the European Community 2007 – 2013 |
| SGDB | Slovak Guarantee & Development Bank, joint-stock company |
| SGDF | Slovak Guarantee & Development Fund, limited-liability company |
| SIA | Social Implementation Agency |
| SIEA | Slovak Innovation and Energy Agency |
| SITA | Slovak News Agency |
| SME | Small and medium-sized enterprises, |
| SO SR | Statistical Office of the Slovak Republic |
| SOP I&S | Sector Operational Programme Industry & Services |
| SR | Slovak Republic |
| STB | Slovak Tourist Board |
| TA | Technical Assistance |
| TASR | News Agency of the Slovak Republic |
| VAT | Value-Added Tax |

# *Introduction*

The Annual Report on the Implementation of the Operational Programme Competitiveness & Economic Growth for 2011 is the fifth in a series of progress reports on the implementation of this OP included in the NSRF for fulfilling the Convergence objective[[1]](#footnote-1).

The OP CEG is a programme supporting the development of innovation, industry, tourism and other selected services utilising the growth potential of regions, with a focus on fulfilling the global-strategic objective of the NSRF SR in the 2007 – 2013 programming period, which is “*to significantly increase by 2013 the competitiveness and performance of regions and of the Slovak economy and employment while respecting sustainable development”*.

The OP CEG develops the specific priority of the NSRF “*Support for competitiveness of businesses and services through innovation”* via Priority Axis 1 Innovation and Competitiveness Growth, Priority Axis 2 Energy and Priority Axis 3 Tourism, which are hierarchically classified in the NSRF as a specific priority under strategic priority 2 Knowledge-Based Economy. The OP CEG elaborates also Priority Axis 4 Technical Assistance, which is specifically aimed at supporting the implementation of OP CEG.

During the reporting period, the implementation of OP CEG continued with the declaration of 3 demand-driven calls, 1 written invitation for implementation of the JEREMIE Initiative, 3 written invitations for grant applications for TA, the approval of submitted grant applications, contracting of successful projects and drawing of funds. As at 31 December 2011 in terms of the total ERDF allocation, 17.80% of projects[[2]](#footnote-2) had been completed, and so it is possible to assess on an on-going basis the achievement of OP CEG objectives.

OP CEG implementation indicators as at 31 December 2011 are as follows:

* **26 demand-driven calls have been declared**[[3]](#footnote-3), 2 written invitations issued for implementation of the JEREMIE Initiative[[4]](#footnote-4), 2 applicants[[5]](#footnote-5) received a written invitation to submit a national project, grant applications for TA were submitted on the basis of 6 written invitations;
* **3 413 grant applications** had been submitted in a total requested grant amount of **€2.44 billion,** which represents **268.73%** of the OP CEG allocation;
* **913 grant applications had been approved** in a total grant amount of **€762.87 million**[[6]](#footnote-6), which represents **84.13%** of the OP CEG allocation;
* **798 projects had been contracted** in a total grant amount of **€677.95 million,** which represents **74.76%[[7]](#footnote-7)** of the OP CEG allocation;
* **€343.39 million had been drawn**, which represents **37.87%** of the OP CEG allocation[[8]](#footnote-8).

This annual report presents the OP CEG from the aspect of the declaration of calls, submitted and approved grant applications, contracted projects and financial implementation of this OP. Data are presented by region, category, contribution to the Lisbon Process and to the Europe 2020 strategy and other meaningful breakdowns.

The annual report also describes the OP CEG in terms of ensuring monitoring, evaluation, administrative capacities in a breakdown by individual units and publicity. A significant part of the document is devoted to the state of physical progress at the level of individual priority axes, including an evaluation of the fulfilment of physical indicators and fulfilment of HP indicators. There is specifically assessed the implementation status of TA and national projects.

OP CEG has long been considered among the five best financially implemented programmes of the NSRF, having the highest level of contracting.

# *Identification*

|  |  |
| --- | --- |
| ***OPERATIONAL PROGRAMME*** | Objective: ***Convergence*** |
| Eligible area: NUTS II  ***Western Slovakia***  ***Central Slovakia***  ***Eastern Slovakia*** |
| Programming period: ***2007 – 2013*** |
| Programme number (CCI no.): ***2007SK161PO006*** |
| Operational programme title:  ***Operational Programme Competitiveness & Economic Growth*** |
| ***ANNUAL REPORT ON THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME*** | Year reported: ***2011*** |
| Date of approval of the Annual Report by the MCKE: 14 June 2012 |

# *Overview of OP CEG implementation*

In 2011 the implementation of OP CEG continued in the form of the declaration of calls for the last available funds, the submission of grant applications by applicants, their assessment on the part of the IB and external evaluators, the implementation of projects, reimbursement of costs[[9]](#footnote-9) and follow-up monitoring of the sustainability of completed projects.

Management of the OP CEG at the OP level (in certain cases at the priority axis level) was performed by the MoE SR, while it also cooperated with the CCA, CA, GO SR, other central state administration bodies, HTUs (or their FCICs), by the IB pursuant to adopted legislation, SR Government resolutions and concluded contracts and cooperation agreements.

Implementation of OP CEG at the project level, or at the (sub) measure level was performed pursuant to the IB Mandates – by NADSME, SARIO, SIEA and STB, with the exception of a call for the JEREMIE Initiative, whose implementation in the SR was coordinated by the MoF SR, performed by the EIF in cooperation with the respective managing authorities and TA, whose implementation was performed by the MA. In connection with the performance of implementation at the IB level, a major change happened in 2011, when decisions of the SR Minister of the Economy merged the respective units[[10]](#footnote-10) of the NADSME, SARIO and SIEA under the management of the SIEA for the purpose of streamlining OP CEG implementation.

## Outcome achieved and analysis of progress made

This chapter assesses progress to date in implementing OP CEG both for 2011, as well as on aggregate since the start of implementing this OP, and this in terms of physical, financial progress, as well as the fulfilment of measurable indicators and targets set in the programme.

**Aggregate state as at 31 December 2011**

On aggregate, since the start of the 2007 – 2013 programming period, 26 demand-driven calls have been declared[[11]](#footnote-11), 2 written invitations for JEREMIE Initiative implementation have been issued, 2 applicants received a written invitation to submit a national project and 6 written invitations were issued in the framework of TA.

Of the demand-driven calls declared, 23 have been concluded, whilst as at 31 December 2011 the grant applications from 2 calls[[12]](#footnote-12) were in the evaluation stage, and other closed calls had projects approved in the stage of contracting, implementation, or the projects had been completed and their sustainability was being monitored.

National projects were in the state of implementation of contracted activities, Chapter 2.6 Complementarity of the OP CEG with other instruments gives information on the procedure in implementation of the JEREMIE Initiative.

Based on **declared calls, direct awards and written invitations**, there were on aggregate:

* **3413 grant applications** submitted in a total requested grant amount of **€2.44 billion**,
* **913 grant applications approved** in a total grant amount of **€762.87 million,**
* **798 projects contracted** in a total grant amount of **€677.95 million,**
* **€343.39 million drawn,**
* **357 projects successfully completed**.

In the interest of the efficient drawing of the total allocation, 2 reallocations were proposed by the MA, in the framework of Priority Axis 1 Innovation and Growth of Competitiveness (from Measure 1.2 Support for Common Services for Entrepreneurs to Measure 1.1 Innovation and Technology Transfers in the amount of €35 428 065.00) and in the framework of Priority Axis 2 Energy (from Measure 2.2 Building and Upgrading Public Lighting for Towns and Municipalities and Provision of Energy Consultancy Services to Measure 2.1 Increasing Energy Efficiency Both on the Side of Generation and Consumption, and Introducing Advanced Technologies in the Energy Sector, in the amount of €4 414 841.14).

Serious problems, which were identified in the Annual Report on the Implementation of the Operational Programme Competitiveness & Economic Growth for 2010, were resolved and also deducted in the framework of the respective report.

### *State of physical progress in the OP CEG*

#### *State of calls and written invitations for 2011*

In 2011 the situation in the field of the declaration of calls and written invitations was as follows:

* 4 calls were declared, of which 3 were demand-driven and 1 call for projects implemented via the JEREMIE Initiative has been issued; all calls have the nature of closed calls; 3 written invitations for grants from TA were also issued;
* of these calls and written invitations, 2 have been completed (JEREMIE Initiative code KaHR-11JER-1101 and TA – code KaHR-41TP-0801), other calls have a planned deadline of February/March 2012[[13]](#footnote-13);
* the allocated amount for all declared calls totals €140 770 391.70 (grant).

Table 1: State of calls and written invitations from 1 January 2011 to 31 December 2011

|  |  |  |
| --- | --- | --- |
| **Priority axis** | **Number of calls** | **Funds allocation for call (grant) in €** |
| 1 Innovation and Growth of Competitiveness | 3 | 31 764 706.00 |
| 16 470 588.00 |
| 44 000 000.00 |
| 2 Energy | 0 | 0.00 |
| 3 Tourism | 1 | 36 000 000.00 |
| 4 TA | 3 | 12 542 097.70 |

Source: MA

Demand-driven calls were partially in line with the original schedule for 2011 (the declaration of a call in the framework of Priority Axis 3 Tourism was planned). During the course of 2011 two internal analyses were performed of the OP CEG, in the framework of which there was assessed the state of OP CEG implementation to date, the balance of the financial allocation and next steps were proposed in the declaration of calls for grant applications. The results of these analyses were reflected in the update to the schedule of calls for 2011.

The focus of calls declared in 2011 remained in accordance with the objectives of the respective priority axis / measure, with an emphasis on creating new jobs and contributing to the solution of LSCA for the field of MRC.

#### *State of calls and written invitations since the start of the programming period*

As at 31 December 2011 the aggregate state of calls and written invitations was as follows:

* 26 demand-driven calls had been declared[[14]](#footnote-14), 2 written invitations for the implementation of projects via the JEREMIE Initiative had been issued, 2 written invitations for the implementation of national projects had been issued, 6 written invitations for grant applications for TA had been issued;
* in the framework of declared calls and written invitations, 3 413 grant applications had been submitted in a total requested grant amount of €2.44 billion, which represents 268.73% of the OP CEG allocation;
* of the submitted grant applications, 913 grant applications were approved by the selection committees in a total approved grant amount of €762.87 million[[15]](#footnote-15), which represents 84.13% of the OP CEG allocation;
* of the approved grant applications, 798 projects had been contracted in a grant amount of €677.95 million, which represents 74.76% of the total allocation for OP CEG;
* in the case of 47 projects as at 31 December 2011 their implementation had not started, primarily due to on-going public procurement (including review procedures);
* 394 projects were in implementation and 357 projects had been duly completed[[16]](#footnote-16);
* of the projects being implemented, there has been paid out on the basis of submitted and approved applications for payment €343.39 million, which represents 37.87% of the total allocation for OP CEG;
* at the end of the reporting period there were recorded 60 projects where the beneficiaries or IB had withdrawn from the grant contract, the most common reasons being:
* continuing economic crisis,
* change in the bank’s intention to participate in co-financing the project,
* extraordinary circumstances that arose at the company (such as a major fall in the volume of orders mainly for the applicant’s products, brought about by a reduction or postponement of key customers’ investment objectives),
* failure to conduct CCT within the contractual period, or within a period additionally set by the IB.

The following table gives a comprehensive overview of indicators of the declared calls and written invitations in a breakdown according to the relevant priority axes.

Table 2: Monitoring of OP CEG implementation as at 31 December 2011[[17]](#footnote-17)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Priority axis** | **Number of grant applications received** | **Number of grant applications rejected** | **Number of grant applications approved** | **Number of projects contracted[[18]](#footnote-18)** | **Number of projects in im-plementation** | **Number of projects completed** | **Number of projects extra-ordinarily terminated** |
| 1 – Innovation and Growth of Competitiveness | 1 560 | 907 | 529 | 448 | 186 | 252 | 41 |
| 2 – Energy | 1 212 | 557 | 219 | 212 | 124 | 51 | 4 |
| 3 – Tourism | 581 | 476 | 105 | 86 | 58 | 28 | 15 |
| 4 – TA | 60 | 0 | 60 | 52 | 26 | 26 | 0 |
| **Total** | **3 413** | **1 782** | **913** | **798** | **394** | **357** | **60** |
| **Financial quantification of ERDF and SB in €** | | | | | | |  |
| **Priority axis** | **Grant amount of requested** | **Requested grant amount not approved** | **Grant amount approved** | **Funds contracted** | **Amount of funds recovered[[19]](#footnote-19)** | **Financial amount of projects completed[[20]](#footnote-20)** | **Financial amount of contracted extraordinarily terminated projects (grant)** |
| 1 Innovation and Growth of Competitiveness | 1 119 219 651.57 | 502 020 842.07 | 412 343 833.87 | 381 420 708.92 | 484 069.17 | 75 739 953.52 | 11 644 794.95 |
| 2 – Energy | 447 385 288.28 | 208 940 447.57 | 157 785 600.01 | 141 992 498.51 | 3 553 803.91 | 36 866 635.34 | 2 728 978.37 |
| 3 – Tourism | 849 113 021.75 | 677 534 272.40 | 171 578 749.35 | 135 119 221.20 | 531 147.13 | 29 325 652.00 | 33 463 079.84 |
| 4 – TA | 21 166 659.16 | 0.00 | 21 166 659.16 | 19 418 739.58 | 11 411.88 | 3 861 316.40 | 0.00 |
| **Total** | **2 436 884 620.76** | **1 388 495 562.04** | **762 874 842.39** | **677 951 168.21** | **4 569 020.21** | **145 793 557.26** | **47 836 853.16** |

Source: IB, ITMS

Unsuccessful applicants, in accordance with § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget, as amended, had the possibility to lodge an application for review of the decision to not approve their grant application. A total of 60 applicants exercised this option in 2011, of which 57 were rejected, because they were not lodged against the assessment of the fulfilment of the selection criteria pursuant to § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget, as amended, 2 applications for review of the decision not to approve a grant application were rejected as unsubstantiated and 1 application was satisfied, having been found to be justified. The focus of applications for review of the decision not to approve a grant application was as follows:

* failure to meet criteria of the formal control check of applications,
* failure to meet criteria of the expert evaluation,
* failure to meet conditions for provision of assistance,
* application of the selection criteria.

Each applicant which submitted an application for review of a decision not to approve a grant application pursuant to § 14(6), or (8) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget, as amended, received a decision of the SR Minister of the Economy, issued under § 14(7) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget, as amended.

The IB is, in accordance with SR Government Resolution no. 449/2007 (Update to conclusions and recommendations resulting from the questionnaire “Legal protection against non-transparency, abuse and conflicts of interest in relation to decision-making on the use of EU funds in the SR for the 2007 – 2013 programming period”) and the internal manual, required within 30 calendar days from completion of the approval process and from sending the notification on the (non-)approval of the grant to draw up a **Call Evaluation Report**[[21]](#footnote-21). Each call evaluation report is submitted to a meeting of the MCKE as a document for note. In 2011 the MCKE took note of 5 reports on the evaluation of calls[[22]](#footnote-22) declared in the framework of OP CEG, at a meeting held on 24 March 2011. The reports were subsequently published by the IB and MA on the website[[23]](#footnote-23).

The main deficiencies of grant applications and projects, as stated in the call evaluation reports, were:

* **from the formal and content aspect:**
* discrepancy between the printed version of the grant application and the version in the ITMS;
* missing document proving ownership or other rights to all real estate (deed of title, lease contract, copy of cadastral map), on which and/or in connection with which the project is implemented;
* problems with submitting a contract with effect subject to a condition precedent;
* financial statements (including notes) not certified by the respective tax office, or auditor;
* incorrect or insufficient completion of the grant application form, as regards:
* measurable indicators not defined in accordance with the conditions of the call, incorrectly completed years in the case of baseline and planned values for the measurable indicators;
* uncompleted, or wrongly completed fields concerning the VAT identification number, keeping of accounting records and applicant’s incorporation date stated at variance with the excerpt from the SR Commercial Register;
* incorrectly completed fields concerning the location of the assistance, or the place of project implementation;
* inaccurately processed budgets at variance with the conditions defined in the guide for applicants;
* implementation dates of activities or the project duration are not in accordance; i.e. there is a discrepancy between data stated in the grant application, in the project description and in the detailed project budget, etc.
* **based on the expert evaluation:**
* SWOT analysis prepared at a low level;
* poor documentation of the applicant’s administrative, professional and technical capacities;
* imprecisely prepared project budget;
* insufficiently prepared market research;
* unrealistically defined indicator values;
* inability to describe how the project’s future financial and operational sustainability will be ensured, etc.

**Measures** taken by the IB/MA for reducing or eliminating the above-mentioned deficiencies in the case of grant applications and projects consisted primarily in the following activities:

* closer cooperation between the applicant and the IB, particularly through telephone, e-mail and personal consultation;
* more rigorous narrowing of the room for any error in completing the electronic grant application form via the public ITMS portal;
* more explicit description of individual methodological procedures connected with correctly completing the grant application form and the correct submission of its proper appendices;
* raising public awareness of the possibility for drawing funds;
* publication of FAQs for each call – in the framework of individual calls there were identified questions of a general nature, or other particularly frequently recurring questions that were discussed with MA implementation managers and subsequently published on the MA, IB, FCIC and CCA websites;
* active cooperation of the MA and the respective IB in the preparation of a call – during the preparation of a call the conditions of the particular calls were consulted with the respective IB and presented for comment to the IB and to the competent section at the MoE SR for comment prior to its declaration by the MA.

The MA and respective IBs[[24]](#footnote-24) regularly publish on their websites, inter alia, data concerning successful applicants, approved grant applications, contracted projects, grant amounts and implementation locations at the level of the respective call.

With regard to the balance of allocated funds, the MA plans to declare in 2012 only 2 calls (for Sub-Measure 1.1.3 Support for participation of Slovak producers at fairs, exhibitions, trade missions and for Measure 2.1 – Increasing energy efficiency both from the side of generation and consumption, and introducing advanced technologies in the energy sector) in a total grant allocation of €37 million, in which it will apply experience gained from the previous period.

In 2011 the implementation of the **national projects** continued, in the framework of:

* Measure 2.2 Building and upgrading public lighting for towns and municipalities and provision of energy consultancy services, entitled “Promotion of education and consultancy in the efficient use of energy and use of renewables, including raising awareness among the public at large” – implemented by the SIEA;
* Measure 3.2 Development of tourist information services, presentation of regions and of Slovakia, through a project entitled “Support for the promotion of Slovakia as a tourist destination” – implemented by the STB.

A change against the previous period was made in a project being implemented by the SIEA, where the grant budget was reduced by €3.5 million and the schedule adjusted by completion of the implementation of activity no. 3 – Market Research. More detailed information on the national projects is given in Chapter 3 Implementation of OP CEG by priority axis.

#### *Fulfilment of measurable indicators at the OP level*

OP CEG implementation, in terms of the completion of a sufficient number of projects, continued and at present 357 contracted projects have been completed. Although it is not yet possible to assess the global benefit from OP CEG, preliminary data provide information on the success of the OP in terms of the number of supported projects (798) and support the presumption that result and impact indicators will be fulfilled.

The following table shows the **indicators defined in OP CEG with baseline and planned target values** on aggregate for the whole OP, including the planned values resulting from concluded grant contracts. Data are given for completed projects with the exception of the number of supported projects, which represents the number of grant contracts concluded.

Table 3: Fulfilment of OP CEG indicators as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indicators** | | **2007** | **2008** | **2009** | **2010** | **2011** | **2012** | **2013** | **2014** | **2015** | **Total** | **Plan from grant contracts** |
| **Indicator 1**: *Number of new jobs created (R) (number) \** | Result achieved | 0 | 0 | 19 | 402 | 1 137 | N/A | N/A | N/A | N/A | 1 137 | 6 963 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 13 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 2:** Number of newly created jobs filled by men *(R) (number)\** | Result achieved | 0 | 0 | 9 | 141 | 671 | N/A | N/A | N/A | N/A | 671 | Note[[25]](#footnote-25) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 9 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 3:** Number of newly created jobs filled by women *(R) (number)\** | Result achieved | 0 | 0 | 10 | 116 | 466 | N/A | N/A | N/A | N/A | 466 | Note[[26]](#footnote-26) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 4 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 4:** Number of jobs created for disadvantaged groups as a result of the project implementation *(R) (number)\** | Result achieved | 0 | 0 | 0 | 13 | 62 | N/A | N/A | N/A | N/A | 62 | 364 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 150 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 5:** Number of projects supported *(O)* | Result achieved | 0 | 0 | 332 | 694 | 798 | N/A | N/A | N/A | N/A | 798 | 798 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 500 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 6:** Number of supported projects for helping small and medium-sized enterprises *(O)\** | Result achieved | 0 | 0 | 306 | 527 | 594 | N/A | N/A | N/A | N/A | 594 | 594 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 380 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 7:** Number of new jobs created in SMEs as a result of implementation of supported projects *(R)\** | Result achieved | 0 | 0 | 19 | 402 | 1 080 | N/A | N/A | N/A | N/A | 1 080 | 6 607 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 11 700 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 8:** Number of new jobs created in SMEs as a result of implementation of supported projects (men) *(R)\** | Result achieved[[27]](#footnote-27) | 0 | 0 | N/A | N/A | 637 | N/A | N/A | N/A | N/A | 637 | Note[[28]](#footnote-28) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 8 100 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 9:** Number of new jobs created in SMEs as a result of implementation of supported projects (women) *(R)\** | Result achieved[[29]](#footnote-29) | 0 | 0 | N/A | N/A | 443 | N/A | N/A | N/A | N/A | 443 | Note[[30]](#footnote-30) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 3 600 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 10:** Private investment in projects being implemented *(R)\** | Result achieved | 0 | 0 | 293.9 | 478.2 | 228.7[[31]](#footnote-31) | N/A | N/A | N/A | N/A | 228.7 | 528.1 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 370 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 11:** Increase in value added *(I)(%)* | Result achieved | 0 | 0 | 0 | 0 | 103.5 | N/A | N/A | N/A | N/A | 103.5 | 103.5 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 105.8 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 12:** Increase in earnings *(I)*  *(%)* | Result achieved | 0 | 0 | 76.9 | 132.1 | 119.7 | N/A | N/A | N/A | N/A | 119.7 | 119.7 |
| Target | 100 | N/A | N/A | N/A | N/A | N/A | 109.6 | N/A | N/A | N/A |
| Baseline | 100 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 13:** Increase in capacity for power generation from renewables *(R)* | Result achieved | 0 | 0 | 4.55 | 33.9 | 41.04 | N/A | N/A | N/A | N/A | 41.04 | 104.27 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 75 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

\* core indicator

Comment on the fulfilment of indicators at the OP CEG level:

The year 2011 recorded a period of stabilisation in production, with export success going particularly to companies with innovated production and which managed to flexibly respond to the new requirements of market demand from the EU Member States. This was then positively reflected also in the economic results of companies supported in the framework of OP CEG, i.e. those which managed in time to innovate their products and production processes. Enterprises which completed project implementation recorded over the course of 2011 a growth in earnings averaging 19.7%. Compared to the previous period this represents a decrease, which, nonetheless, is caused by the fact that in the past period the representativeness of the data was influenced by the narrower sample of projects, i.e. projects one year after their implementation for which there arose the obligation to submit an ex-post monitoring report.

In the framework of supported projects a total of 1137 jobs had been created by the end of 2011. A major contribution to the growth in employment came particularly from projects focused on the transfer of innovation and technology with an assumption of further job creation due to the fact that in the framework of the OP CEG projects, with the exception of support for new enterprises this represents in particular a follow-up effect from the implemented investment objective, which is to expand production through purchasing innovative production equipment efficiently adding value to inputs, the replacement of obsolete technology, or worn equipment with modern equipment. In the case of support for energy savings at production enterprises there is the secondary benefit of financial savings for the enterprise, which can then be invested back in production and innovation instead of payments for energy consumption.

For most OP CEG projects implementation was on-going in 2011, though under the applicable and effective grant contracts (concluded up to 31 December 2011) beneficiaries declare in the framework of Priority Axis 1 Innovation and growth of competitiveness, the creation of a total of 5 895 new jobs, in the framework of Priority Axis 2 Energy, the creation of 168 new jobs and in the framework of Priority Axis 3 Tourism, the creation of 900 new jobs.

Despite the fact that a Funding Agreement between the MoE SR and the EIF was signed in 2009, arranging the financial framework of the OP CEG for implementation of the JEREMIE Initiative, no project was supported in the framework of financial engineering instruments in 2011. The sum of €67.06 million was allocated from the OP CEG to the JEREMIE Initiative for the purpose of supporting innovation projects in the framework of Measure 1.3 Support for innovation activities at enterprises and 1.1 Innovation and technology transfers of Priority Axis 1.

As at 31 December 2011 a total of 540 projects were supported in the framework of the OP CEG, focusing on support for trade, business and new technology, reported in the framework of the indicator “Number of projects supporting trade, business and new technology (40)” as the sum of projects under Priority Axis 1 Innovation and growth of competitiveness and projects under Measure 2.1 Increasing energy efficiency both on the side of generation and consumption, and introducing advanced technologies in the energy sector.

In 2011 it was possible to evaluate gender on the basis of supplementary data on projects. In the preceding period it was not possible to evaluate gender in the case of all indicators, and therefore an update to the system of OP CEG indicators was made during the course of the programme’s implementation and at the given time this data was not contractually required from the beneficiary.

### *Financial implementation of OP CEG*

#### *Funds provided to beneficiaries for financing projects*

For financing projects beneficiaries are provided, besides reimbursed funds for outlaid and approved expenditures, also advance financing from state budget funds (i.e. advance payments or pre-financing). As at 31 December 2011 at the beneficiaries level there has been provided and **uncleared** as at that date the **total** sum of **€14 879 076.50** (€13 037 850.17 from EU funds and €1 841 226.33 for co-financing from the state budget). Total OP CEG drawing as at 31 December 2011 at the PU level, i.e. the sum of expenditures approved by the CA, reduced by irregularities reported in applications for payment to the Commission and funds provided to beneficiaries that had not been cleared as at that date, represents the sum of €357 942 806.50, of which €306 197 187.17 from EU funds and €51 745 619.33 from state budget funds. **The share of total drawing of EU funds as at 31 December 2011 at the PU level in the total commitment for 2007 – 2013 represents for OP CEG 39.41%.**

#### *Submitted and approved summary applications for payment*

In the period from 1 January 2011 to 31 December 2011 a total of **22** summary applications for payment were submitted from the side of the PU to the CA in a total amount of EU and SB funds for co-financing of €186 173 161.80, of which €159 279 348.53 from EU funds and €26 893 813.27 from state budget funds, where:

* 19 SAfPs were approved in full,
* 3 SAfPs were approved in a reduced amount.

The total sum of the approved SAfPs in **2011** represented for EU and SB funds for co-financing €182 213 821.48, of which €155 720 628.96 from EU funds and €26 493 192.52 from state budget funds.

The total sum of approved SAfPs as at **31 December 2011** represents for EU and SB funds for co-financing €343 392 727.42, of which €293 439 102.71 from EU funds and €49 953 624.71 from state budget funds.

#### *Drawing of EU funds*

Drawing of EU funds (after taking into account irregularities declared in the AfPs to the Commission) as at 31 December 2011 in the amount of **€293 159 337.33** pursuant to the **N+3** rule against the 2009 commitment represents **100%**, whilst the 2010 commitment is already drawn to the level of **98.50%**. The share of drawing on the 2010 and 2011 commitment is **48.78%.**

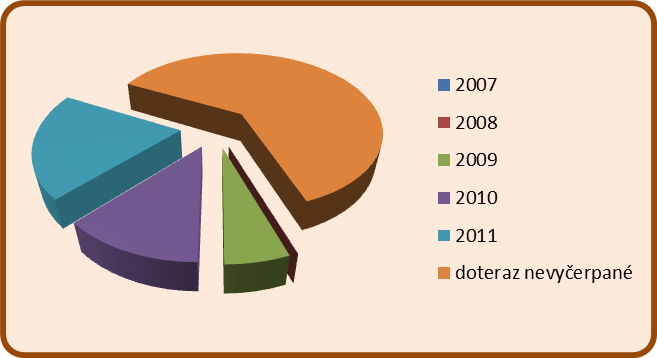
The share of drawing of EU funds as at 31 December 2011 in the total **2007 – 2013** commitment for the OP CEG represents **37.73%.**

#### *Evaluation of the balance of drawing by the Managing Authority*

In terms of the drawing of OP CEG funds from the aspect of the MA, the year 2011 was successful, with drawing for the whole programme more than doubling against the previous year. The OP CEG has long been evaluated among the 5 best drawn OPs for the whole NSRF. The N+3 rule is fulfilled without problem.

The development of drawing by individual year is shown in the graph below:

Graph 1: Drawing of funds allocated under the OP CEG broken down by year



not yet drawn

*Source: MA*

*The drawing of funds has been affected by several circumstances, such as:*

* + most of the contracted projects are implemented only after signing of the grant contract (implementation may be delayed by the duty to carry out public procurement, with subsequent audit of the public procurement), whilst the schedule of activities is in the range of 2 – 3 years;
  + some changes in the grant contract, requested by beneficiaries, require the performance of new CCT, or public procurement and thereby delay in drawing the grant;
  + it is at the discretion of the beneficiary as to when to submit the application for payment;
  + drawing consists of several stages, whilst the requested sum may be reduced at the IB, MA or CA in the approval process of the application for payment; due to these reasons there may be a reduction in drawing of the planned sum, or a postponement in drawing until a later period;
  + the attitude of beneficiaries to observing the conditions laid down by the grant contract – during implementation in several cases there are fundamental breaches of contract, in consequence of which the provider withdraws from the contract and financial assistance paid out to date must be returned, thus precluding further drawing of previously planned project expenditures;
  + withdrawal from the grant contract also from the side of the beneficiary if it is unable to meet the conditions of the contract, or goes into restructuring, bankruptcy, liquidation, … or during implementation the co-financing bank fails to provide the necessary co-financing and the beneficiary is unable to secure new financing.

#### *Interim applications for payment submitted to the European Commission*

In **2011** the MA submitted to the Commission **3** interim applications for payment for the OP CEG in a total sum of ERDF funds of €162 330 490.86. Expenditures declared to the Commission as at **31 December 2011** in the amount of €249 202 074.48 represent **32.07%** of the **2007 – 2013** commitment.

The percentage share of expenditure declared in the applications for payment to the Commission as at **31 December 2011** in the amount of €249 202 074.48 in the adjusted commitment for **2009** represents **100%**, whilst for the **2010** commitment the declared expenditures represent **61.29%.** The share of drawing from the Commission in the commitment for 2010 and 2011 together is **30.35%.**

#### *Payments received from the European Commission*

In 2011 the Commission sent to the CA’s account **3** interim payments for the OP CEG in a total amount of €162 330 490.86.

Table 4: Financial information as at 31 December 2011 (€)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **OP CEG** | **Total OP financing (at the EU and national level)** | **Basis for calculating the EU’s contribution (public or total funds)** | **Total sum of certified eligible expenditure included in applications for payment ¹)** | **Corresponding contribution from public funds** | **Implementation rate in %** |
|  | a | b | c | d | e = d/a |
| *Priority Axis 1Innovation and growth of competitiveness* | 514 494 118.00 | Public funds | 272 392 714.90 | 174 992 789.28 | 34.01 |
| *Priority Axis 2 – Energy (ERDF)* | 198 631 059.00 | Public funds | 78 905 153.84 | 39 485 490.22 | 19.88 |
| *Priority Axis 3 – Tourism (ERDF)* | 172 564 706.00 | Public funds | 134 509 133.02 | 69 004 597.91 | 39.99 |
| *Priority Axis 4 – Technical Assistance (ERDF)* | 28 427 765.00 | Public funds | 9 696 034.04 | 9 696 034.04 | 34.11 |
| **Total sum** | **914 117 648.00** | **-** | **495 503 035.80** | **293 178 911.45** | **32.07** |

**Source: CA**

Column a) – filled out by the CA in cooperation with the MA; this is the allocation of the given OP broken down by priority axis (EU + SB + OPF excluding private funds);

Column b) – since the calculation of the maximum amount of aid in the case of the Slovak Republic is formed by the total eligible public expenditure, i.e. the words “public funds”, we recommend the table be prefilled with those words;

Column c) – filled out by the CA; the cumulative total sum of certified eligible expenditure included in the last application for payment to the Commission in the given year N for the respective OP (EU + SB + OPF + PF);

Column d) – filled out by the CA; the corresponding contribution from public funds from the AfP to the Commission for the given OP (EU + SB + OPF);

Column e) – filled out by the CA; in the case of the SR the calculation of the maximum amount of aid is formed by the total eligible public expenditures, i.e. “Public = Public funds”, therefore the formula “e=d/a” is valid.

#### *Estimates of anticipated expenditures and information on their fulfilment in relation to applications for payment submitted to the European Commission*

EAEs for **2011** sent to the Commission for the OP CEG represented €160 130 000.

Through the receipt of 3 interim payments in 2011 in the amount of €162 330 490.86 the EAE was fulfilled to 101.37%.

Table 5: Comparison of actual and planned drawing for the OP CEG from 1 January 2011 to 31 December 2011

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| OP CEG | **Planned drawing of eligible expenditures in 2011 (€)**  **(EAE sent by the CA to the Commission as at 31.8.2011)** | | **Drawing of eligible expenditures from the Commission**  **for 2011**  **(€)** | | **Deviation**  **(planned drawing – drawing from the Commission)**  **(€)** | | **Deviation**  **(fulfilment of the EAE)**  **(%)** | |
| EU funds | | EU funds | | EU funds | | EU funds | |
| SF | CF | SF | CF | SF | CF | SF | CF |
| **Total for programme** | 160 130 000 | x | 162 330 490.86 | x | - 2 200 490.86 | x | 101.37 | x |

Source: CA

From the aspect of the MA, the EAEs were well set and drawing proceeded without problem. It should also be noted that neither the IB nor MA has any direct influence on the fulfilment of the EAE; this is directly related to the submission of applications for payment by beneficiaries. The beneficiary is not obliged to comply with the drawing plan; the beneficiary is obliged to comply with the timetable for implementation of activities and ultimately the deadline for completing project implementation. Accordingly, the IB can only guide the beneficiary in submitting applications for payment, but has no other possibilities for influencing fulfilment of the EAE.

#### *Certification verification*

Certification verification was performed directly at the IB and PU in the framework of 4 SAfPs. The verified sample of expenditures represents the sum of €3 003 212.39, which is 0.91% of the volume of submitted SAfPs and represents 6.65% of the volume of verified SAfPs.

No ineligible expenses or irregularities were identified in the framework of the submitted SAfPs.

During the course of 2011 no request was made from the level of the MA for a government audit. In 2011 one request was made for an inspection by the OPP, and this in connection with multiple deficiencies that concerned in particular the setting of restrictive participation conditions in the contracting authority’s procedure.

#### *Deficiencies identified in the certification verifications of summary applications for payment*

In the certification verifications of SAfPs in 2011 the following higher and moderate severity findings were identified:

Incorrect application of procedures, absence of procedures, deficiencies in outputs of the MA/IB/PU

1. failure to draw up a checklist regarding the identification of breaches of PP principles from the side of the IB;
2. failure to inform the applicant by means of a decision on approval of a grant application within 100 days from the closure date of a call from the side of the IB;
3. absence of an MA methodology for verifying compliance with the rules of economy, efficiency, expediency and effectiveness in approving individual budget items;
4. poorly performed administrative control check of PP/CCT;
5. failure to investigate facts that were the subject of media coverage, from the side of the IB;

Deficiencies in calls and in the evaluation and selection of projects

1. lack of consistency among expert evaluators in assessing the eligibility of the same type of expenditures in the framework of different grant applications;
2. recurrent identification of failure to give a reasoning for the assigned number of points in the framework of the expert evaluation of grant applications from the side of the IB;
3. recurrent identification of failure to verify the appropriateness of prices in any phase of verifying a project from the side of the IB;

Breach of grant contract and breach of contract provisions

1. possible personnel, production and sales links between the beneficiary and other firms, on the basis of which it was not possible to gain assurance as to the achievement of the objective, or purpose of the projects being verified in the framework of a joint call under the OP CEG and OP ESI;

Deficiencies concerning the state aid scheme and de minimis scheme

1. possible breach of rules laid down in the de minimis scheme in connection with the potential risk of non-fulfilment of the objective, or purpose of the projects being verified, in the framework of a joint call under the OP CEG and OP ESI;

Deficiencies in PP, CCT

1. the CCT declarant accepted only two bids, whereby it did not act in accordance with basic requirements set out in the guide for applicants;
2. suspicion of non-transparent course of the CCT in connection with the pricing of the bid from the side of the CCT winner precisely as stated in the grant contract;
3. the CCT declarant stipulated a reference to a particular brand, which potentially could have restricted access to the competition for other designers who would have been able to submit a more cost-effective design using a different brand;
4. the contracting authority did not evaluate the fulfilment of participation conditions in accordance with the rules that it had set in the notice on the declaration of the PP and in the tender specifications;
5. the contracting authority set inappropriate participation conditions concerning the requirement to submit an insurance policy and the required level of annual turnover from tenderers;
6. the contracting authority set restrictive participation conditions concerning the requirement to submit a list of confirmed references for building works from tenderers;
7. identified inconsistency between the notice on the declaration of the PP and tender specifications in the part concerning the requirement to submit an insurance policy;

Other

1. inconsistency in the number of items of interior equipment stated in the project budget and in other project documentation (CCT, grant application, project description, expert opinion, etc.).

Measures that were taken for eliminating these deficiencies are listed in Chapter 2.1.2.10 Measures of the Managing Authority for eliminating deficiencies identified in certification verifications.

#### *Measures of the Managing Authority for eliminating deficiencies identified in certification verifications*

Over the course of the year the MA and IBs continually took the following measures for eliminating the deficiencies mentioned above, identified in certification verifications:

Re) Incorrect application of procedures, absence of procedures, deficiencies in outputs of the MA/IB/PU

1. failure to draw up a checklist regarding the identification of breaches of PP principles from the side of the IB;

*Measure taken:*

*A checklist was additionally drawn up regarding the identification of the breach of PP principles with a current date of drafting and with the note that the checklist was drawn up on the basis of a finding by the CA. The incidence of the deficiency was examined also in the case of the control of other PPs.* The MA also notified all IBs of the procedure in drawing up a checklist regarding the identification of a breach of PP rules and principles[[32]](#footnote-32).

1. failure to inform the applicant by means of a decision on approval of a grant application within 100 days from the closure date of a call from the side of the IB;

*Measure taken:*

*The MA is trying, in the case of currently declared calls, to introduce a more efficient and quicker approval system, simplifying the preparation of the grant application itself and minimising the number of appendices that the applicant is obliged to attach to it. The IB/STB has prepared an internal control system for monitoring deadlines, during all processes connected with the implementation of projects.*

1. absence of an MA methodology for verifying compliance with the rules of economy, efficiency, expediency and effectiveness in approving individual budget items;

*Measure taken:*

*The MA has introduced for currently on-going expert evaluation processes a verification of the economy and efficiency of expenditures in accordance with principles set out in the relevant documents issued by the CCA. For calls declared in late 2011 and planned for the start of 2012, the MA has adjusted the conditions in calls so that the project budget is compiled on the basis of market research performed by the applicant, whilst it sets the precise conditions for the market research. The control check of the market research performed, as well as the control check of conducted market research and verification of the economy and efficiency of expenditures incurred from the market research, will be assessed in the process of proceedings on the grant application, the basis for their assessment being the completion of special checklists focused on this verification. The MA is working on the preparation of a comprehensive methodological instruction for the verification of the economy and efficiency of expenditures in the phases of the grant application proceedings in the PP control phases and in the AfP payment phases, and which will be finalised on the basis of practical knowledge and experience of the MA gained from the application of the newly-introduced methods of verifying economy and efficiency. In accordance with the newly established conditions of declared calls for grant applications, the economy and efficiency of expenditures will be significantly examined also in the control check of complete documentation from a PP, which the applicant is obliged to submit for control to the MA or IB prior to allowing financing for the project. In the case of identifying breaches of PP procedures and principles, the MA shall undertake sanctions according to applicable procedures issued by the CCA.*

1. poorly performed administrative control check of PP/CCT;

*Measure taken:*

*The relevant staff at the IB has been advised of the need for consistent and full completion of the checklist regarding PP/CCT. Corrections were also made in the relevant checklists regarding the verification of the correctness of performance of CTs, in which the incorrect answer was corrected by way of an administrative note[[33]](#footnote-33). The MA advised individual IBs of the need for consistency in filling out the checklist regarding the verification of the accuracy of performance of a commercial competitive tender in accordance with the Commercial Code, paragraphs 281 to 288.*

1. failure to investigate facts that were the subject of media coverage, from the side of the IB;

*Measure taken:*

*The IB/SIEA contacted the beneficiary by letter and required that the beneficiary comment on the CA’s findings. The beneficiary’s statement with an explanation of the facts has been submitted to the CA[[34]](#footnote-34).*

Re) Deficiencies in calls and in the evaluation and selection of projects

1. lack of consistency among expert evaluators in assessing the eligibility of the same type of expenditures in the framework of different grant applications;

*Measure taken:*

*The MA conducted training of expert assessors in respect of call KaHR-22VS-1001, which was prepared in accordance with the findings and recommendations from certification verification. Members of the audit teams were also informed of the findings resulting from the certification verification[[35]](#footnote-35).*

1. recurrent identification of failure to give a reasoning for the assigned number of points in the framework of the expert evaluation of grant applications from the side of the IB;

*Measure taken:*

*The relevant evaluators were contacted, with a request for an explanation or reasoning for assigning the score to the project in the expert evaluation[[36]](#footnote-36).*

*Instruction for expert evaluators on the need for a relevant reasoning / comment in the case of assigning a reduced score to a project has been incorporated into guides for evaluators for future calls. By means of training of expert evaluators, which the MA performs before the expert evaluation process, evaluators will be advised that in the case of reducing a score they must state in the reasoning / comment their reason for doing so. Concurrently, expert evaluators will be instructed to give a relevant reasoning / comment in respect of each evaluated criterion, regardless of whether positive or negative.*

1. recurrent identification of failure to verify the appropriateness of prices in any phase of verifying a project from the side of the IB;

*Measure taken:*

*In one case the IB/SIEA conducted its own market research, based primarily on generally available sources (internet, etc.), as well as from experience from practice during the implementation of investment projects.*

*It concerned a patented production technology; it was not possible, even after two years, (the CCT was conducted in November 2009) to find the relevant supplier firm using internet browsers and portals. This fact also confirmed the uniqueness of the particular production – patented line.*

*In another case the IB/STB performed market research, from which it was found that the prices of items in the beneficiary’s project budget where a deficiency had been identified based on the CCT conducted, were comparable with the pricing found by the market research.*

Re) Breach of grant contract and breach of contract provisions

1. possible personnel, production and sales links between the beneficiary and other firms, on the basis of which it was not possible to gain assurance as to the achievement of the objective, or purpose of the projects being verified in the framework of a joint call under the OP CEG and OP ESI;

*Measure taken:*

*The eligibility of the target group according to the conditions set in the Guide for Applicants was examined from the side of the IB for the OP CEG and the IB for the OP ESI and documented by the CA by means of reports from the Social Insurance Agency, confirmations from the Central Office of Labour, Social Affairs & Family and from employment contracts. The IB for the OP ESI also requested that the beneficiary submit the classification of the SME as well as the founding document with shares and voting rights in the company, where it was again verified that the beneficiary had provided truthful information in submitting the grant application, and that therefore the beneficiary fulfils the definition and classification of an SME as an eligible applicant for the given call.*

*There was also examined the achievement of the objective, or purpose, of the projects in question, by means a verification of the fulfilment of the measurable indicators of the projects by way of the submission of attendance sheets of individual educational activities, employment contracts with the job descriptions of the attendee staff at trainings, reports from the Social Insurance Agency, confirmations from the Central Office of Labour, Social Affairs & Family, by requesting the syllabuses, or description of the content of the educational activities conducted, by way of an on-the-spot check focused on project monitoring during implementation, as well as the fulfilment of other contractual obligations[[37]](#footnote-37).*

*The MA for the OP ESI will take measures for rigorously checking the accordance of managing documentation with the global objective of the OP / priority axis / measure in the declaration of future calls.*

Re) Deficiencies concerning the state aid scheme and de minimis scheme

1. possible breach of rules laid down in the de minimis scheme in connection with the potential risk of non-fulfilment of the objective, or purpose of the projects being verified, in the framework of a joint call under the OP CEG and OP ESI;

*Measure taken:*

*The achievement of the objective, or purpose, of the projects in question was examined by means a verification of the fulfilment of the measurable indicators of the projects by way of the submission of attendance sheets of individual educational activities, employment contracts with the job descriptions of the attendee staff at trainings, reports from the Social Insurance Agency, confirmations from the Central Office of Labour, Social Affairs & Family, or from the content of the educational activities conducted, by way of anon-the-spot-check focused on project monitoring during implementation, as well as the fulfilment of other contractual obligations[[38]](#footnote-38).*

*The MA for the OP ESI will take measures for consistently checking the accordance of managing documentation with the global objective of the OP / priority axis / measure in the declaration of future calls.*

Re) Deficiencies in PP, CCT

1. the CCT declarant accepted only two bids, whereby it did not act in accordance with basic requirements set out in the guide for applicants;

*Measure taken:*

*The IB/SIEA asked the beneficiary to provide an opinion on the matter. The explanation from the beneficiary provably and sufficiently justified why it was not possible to obtain at least 3 bids, this being due to the uniqueness of the patented technology.*

1. suspicion of non-transparent course of the CCT in connection with the pricing of the bid from the side of the CCT winner precisely as stated in the grant contract;

*Measure taken:*

*The IB/SIEA asked the beneficiary to provide an opinion on the matter[[39]](#footnote-39). The CA concluded the given finding[[40]](#footnote-40) (it accepted the IB’s response) on the basis of an opinion given by the beneficiary.*

1. the CCT declarant stipulated a reference to a particular brand, which potentially could have restricted access to the competition for other designers who would have been able to submit a more cost-effective design using a different brand;

*Measure taken:*

*The IB requested the beneficiary for an opinion, in which the beneficiary is stated that the particular controller is a part of the patented technology. The condition that the description of the required goods should be sufficiently general as regards trademarks, patents, types, a certain origin of goods or products, the description of the order should not refer to a particular production brand or particular procedure, unless there is used the phrase “or equivalent”, pursuant to Act no. 25/2006 Coll. on public procurement and on the amendment of certain acts, has now been reflected in the framework of later calls issued by the IB directly in the guide for applicants. Also, going into the future, as a result of a legislative amendment concerning PP, applicants / beneficiaries will have to proceed pursuant to the applicable Public Procurement Act, in which this condition is exhaustively set out.*

1. the contracting authority did not evaluate the fulfilment of participation conditions in accordance with the rules that it had set in the notice on the declaration of the PP and in the tender specification;
2. the contracting authority set inappropriate participation conditions concerning the requirement to submit an insurance policy and the required level of annual turnover from tenderers;
3. the contracting authority set restrictive participation conditions concerning the requirement to submit a list of confirmed references for building works from tenderers;
4. identified inconsistency between the notice on the declaration of the PP and tender specifications in the part concerning the requirement to submit an insurance policy;

*Measures taken regarding points 14 – 17:*

*These deficiencies were addressed in the way that in the framework of the given PP process a request for was lodged at the OPP during 2011 for an inspection of the procedure of awarding the order for building works and its compliance with Act no. 25/2006 Coll. on public procurement and on the amendment of certain acts. The result of the inspection was not known as at 31 December 2011. Financing for the project was also partly limited to the amount not exceeding the framework of the possible financial correction resulting from the inspection outcome, which in this case is 25% of the order value, and this up until the time of receiving the inspection result.*

*As part of the measures taken for eliminating findings of similar deficiencies in future, the decision was taken that each PP process conducted in connection with industrial park construction projects, regardless of whether the contract with the supplier has already been signed (call KaHR-12VS-0801), or has not yet been signed (call KaHR-12VS-0901) will be forwarded for inspection to the OPP. This measure was concurrently taken for all projects under call KaHR-12VS-0801 in connection with their financing.*

Re) Other

1. inconsistency in the number of items of interior equipment stated in the project budget and in other project documentation (CCT, grant application, project description, expert opinion, etc.).

*Measure taken:*

*The number of rooms and suites that were the subject of reconstruction in the framework of the project was checked by means of on-the-spot-check no. 2 conducted from the side of the IB on 9 May 2011; the number of reconstructed premises and their equipment has been clarified.*

#### *Irregularities*

In the period from 1 January 2011 to 31 December 2011 the CA reported:

1. **Irregularities with financial impact: 11 irregularities** in a total amount of **€986 002.66**, of which **€423 722.24** were EU funds.

Table 6: Overview of irregularities with financial impact by priority axis and measure

|  |  |  |  |
| --- | --- | --- | --- |
| **Measure** | **Irregularities with financial impact**  **number** | **Irregularities with financial impact (€)** | |
| **Total** | **Amount for EU source** |
| 1.1 – Innovation and technological transfers | 3 | 449 593.62 | 191 065.13 |
| 1.2 – Support for common services for entrepreneurs | 1 | 53.75 | 45.69 |
| 3.1 – Support for business activities in tourism | 4 | 524 943.41 | 222 911.32 |
| 4.1 – Technical assistance | 3 | 11 411.88 | 9 700.10 |
| **Total** | **11** | **986 002.66** | **423 722.24** |

Source: CA

1. **Systemic irregularities/irregularities regarding programming structure: 6 systemic irregularities** without financial impact of identified by an audit under Article 16 of Commission Regulation (EC) no. 1828/2006 relating to:

* deficiency in the selection of grant applications,
* breach of de minimis rules,
* deficiencies in administrative checks and on-the-spot-checks.

#### *Financial corrections*

Table 8: Overview of financial corrections made in the statement of expenditure for 2011

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Priority axis** | **Withdrawals in the statement of expenditure in 2011 (€)** | | **Recoveries in the statement of expenditure in 2011 (€)** | | **Uncollectable amounts**  **(€)** | |
| **EU source** | **Public funds (EU+SB+RPF)** | **EU source** | **Public funds (EU+SB+RPF)** | **EU source** | **Public funds (EU+SB+RPF)** |
| **Priority axis 1 Innovation and growth of competitiveness** | 0.00 | 0.00 | 48.25 | 56.77 | 0.00 | 0.00 |
| **Priority 2 – Energy** | 0.00 | 0.00 | 578.64 | 680.77 | 0.00 | 0.00 |
| **Priority 3 – Tourism** | 0.00 | 0.00 | 9 700.10 | 11 411.88 | 0.00 | 0.00 |
| **Total** | **0.00** | **0.00** | **10 326.99** | **12 149.42** | **0.00** | **0.00** |

Source: CA

#### *Description of the use of recovered or re-used assistance following cancellation pursuant to Article 98 (2) of Council Regulation (EC) no. 1083/2006*

This article is not relevant in relation to the OP CEG as at 31 December 2011.

#### *On-the-spot checks performed in 2011*

Annex 5 lists the on-the-spot checks performed by the MA and IB in 2011, at the project level.

#### *Information on compliance with deadlines in procedures for the processing of applications for payment*

This chapter gives the findings identified by the CA and auditors[[41]](#footnote-41) concerning compliance with deadlines for processing applications for payment.

Table no. 9 – Findings concerning failure to comply with deadlines for processing applications for payment at the MA level

|  |  |  |  |
| --- | --- | --- | --- |
| **Beneficiary name** | **Project name** | **Finding** | **Measure fulfilled** |
| ***Finding identified by audit no. A378*** | | | |
| SIEA | Reimbursement of payroll expenses, including remuneration and employer deductions for eligible costs of payroll expenses, including the remuneration and the employer for eligible SIEA (SORO) staff involved in OP CEG implementation for the period April – December 2009 | The 5-day deadline for registering an application for payment in the ITMS was not observed:  Application for payment 25140120027602 – 150 days: date of receiving a written version – 12 November 2010; date of registration in ITMS – 11 April 2011 | Fulfilment of measure had not been closed as at 31 December 2011 [[42]](#footnote-42) |
| Trenčín self-governing region | Reimbursement of payroll expenses, including deductions and remuneration for an employee of the FCIC for the OP CEG in the Trenčín self-governing region | Failure to observe the 40-day deadline for verifying the application for payment and submitting it to the PU:  Application for payment 25140120032501 – 48 days: date of registration – 8 April 2011; date of forwarding to the PU – 26 May 2011 | Fulfilment of measure had not been closed as at 31 December 2011[[43]](#footnote-43) |
| SIEA | Financing of remuneration to external evaluators of grant applications – calls declared in 2009 – by the reimbursement system | The 5-day deadline for registering an application for payment in the ITMS was not observed:  Application for payment 25140120048601 – 33 days: date of receiving a written version – 16 February 2011; date of registration in ITMS – 21 March 2011 | Fulfilment of measure had not been closed as at 31 December 2011[[44]](#footnote-44) |

Source: audit report, MA

Table no. 10 – Findings concerning failure to comply with deadlines for processing applications for payment at the PU level

|  |  |  |  |
| --- | --- | --- | --- |
| **Beneficiary name** | **Project name** | **Finding** | **Measure fulfilled** |
| ***Finding identified by audit no. A378*** | | | |
| Demandice municipality | Reconstruction of street lighting in the village Demandice | Failure to comply with time limits for processing the application for payment as set out in the SF & CF Management System.  In the case of checking application for payment no. 25120220015301 there was a failure to comply with the 14-day deadline for verifying and application for payment from the side of the PU. The application for payment was received at the PU on 15 November 2010 and approved only on 2 December 2010, meaning that the term for approving the application for payment was exceeded by 3 days.  This breached the duty to perform an inspection of an application for payment within 14 calendar days under the SF & CF Management System (both versions in the audited period – v5.2 and v6.0), chapter 4.8, as well as from the internal manual of PU procedures v7.3, chapter 5.2. | PU staff shall be advised at working meetings or in writing of the need to comply with the deadline in performing ex-ante financial checks.  Deadline: 29 February 2012[[45]](#footnote-45). |

Source: audit report, PU

### *Implementation of the OP CEG from the regional aspect*

In this chapter a regular cumulative assessment is made of the OP CEG implementation from the regional aspect, i.e. the immediate benefit from the OP CEG within Slovakia’s individual regions is evaluated, with the exception of the Bratislava region, which is not supported under the OP CEG. The balance does not include national projects, TA projects nor, temporarily, JEREMIE Initiative projects, where no specific SME projects have been supported yet as at 31 December 2011. The regional allocation in the programme manual was set proportionally and serves merely for guidance, due to the fact that the MA does not want to favour lower-quality projects only on the basis of balancing out regional allocations. In the case that major deviations from the planned regional allocations arise, the MA shall take appropriate measures. Regional disparities are, nonetheless, taken into account in the selection criteria, with projects from more backward regions receiving a higher score. An exception is Measure 1.3 Support for innovation activities in enterprises, where the state aid scheme has been adjusted in accordance with the limits under Commission Regulation (EC) no. 800/2008, in order to stimulate projects focused on industrial research and experimental development.

The comparison shown below compares the state of contracting at the NUTS II level, showing that contracting is proportionate with regard to the individual regions and at this level it is not necessary to modify the allocation of funds.

Table no. 11 – Financial implementation by NUTS II at 31 December 2011[[46]](#footnote-46)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Priority axis** | **Regional allocation / Region** | **Contracting (€ million)** | | | |
| **Western Slovakia** | **Central Slovakia** | **Eastern Slovakia** | **TOTAL** |
| **Priority Axis 1Innovation and growth of competitiveness** | **€146 mill.** | 119.89 | 80.61 | 116.25 | **316.75** |
| **Priority Axis 2 – Energy** | **€56 mill.** | 52.05 | 50.36 | 44.00 | **146.41** |
| **Priority Axis 3 – Tourism** | **€49 mill.** | 15.33 | 58.42 | 34.12 | **107.87** |
| **TOTAL** | **€251 mill.** | **187.27** | **189.39** | **194.37** | **571.03** |
| **% of summary allocation (€753 mill.)** | | **24.87%** | **25.15%** | **25.81%** |  |

Source: ITMS, IB

In the framework of OP CEG 26 demand-driven calls in total have been declared as at 31 December 2011, 3[[47]](#footnote-47) of which were open at the end of the year. In the closed calls 3 349 grant applications were submitted, with 742 projects[[48]](#footnote-48) contracted on the basis of successful evaluation, in which:

* the **highest number of grant applications submitted[[49]](#footnote-49)** (629) came from the Prešov region, followed by the Žilina region (577), the Banská Bystrica region (554) and the Košice region (429);
* the **highest number of contracted projects** (154) likewise came from the Prešov region, followed by the Banská Bystrica region (138), the Žilina region (106) and the Košice region (96);
* the highest number of projects in implementation as at 31 December 2011 was in the Prešov region (75), whilst the Banská Bystrica region had the highest number of completed projects (70).

As regards financial implementation by NUTS III, it can be assessed that as at 31 December 2011:

* the highest **volume of contracted funds**[[50]](#footnote-50)was in the Banská Bystrica region (€106.57 million), followed by the Prešov region (€96.67 million), the Nitra region (€78.04 million) and the Košice region (€64.65 million);
* in terms of drawing, the Banská Bystrica region is in first place, with €60.22 million[[51]](#footnote-51) having been drawn on the basis of contracted funds.

Tables 12 and 13 give cumulative physical and financial data concerning applications for payment received and contracted projects being implemented in the framework of demand-driven calls, broken down by region.

Table 12: Physical implementation, classified by NUTS III as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Priority axis** | **NUTS III region** | **Number of grant applications received** | **Number of contracted projects** | **Number of projects in implementation** | **Number of projects completed** |
| **Priority Axis 1Innovation and growth of competitiveness** | Bratislava | – | **-** | – | – |
| Trnava | 154 | 40 | 15 | 24 |
| Trenčín | 209 | 50 | 27 | 21 |
| Nitra | 190 | 56 | 20 | 34 |
| Žilina | 269 | 66 | 31 | 35 |
| Banská Bystrica | 284 | 81 | 30 | 50 |
| Prešov | 264 | 92 | 36 | 54 |
| Košice | 188 | 61 | 25 | 34 |
| Total | 1 558 | 446 | 184 | 252 |
| **Priority Axis 2 – Energy** | Bratislava | – | **-** | – | – |
| Trnava | 169 | 27 | 18 | 3 |
| Trenčín | 137 | 26 | 16 | 6 |
| Nitra | 187 | 35 | 17 | 12 |
| Žilina | 169 | 24 | 14 | 6 |
| Banská Bystrica | 144 | 30 | 14 | 10 |
| Prešov | 250 | 45 | 30 | 8 |
| Košice | 155 | 24 | 14 | 6 |
| Total | 1 211 | 211 | 123 | 51 |
| **Priority Axis 3 – Tourism** | Bratislava | – | 0 | – | – |
| Trnava | 35 | 2 | 2 | 2 |
| Trenčín | 50 | 8 | 6 | 2 |
| Nitra | 29 | 4 | 6 | 1 |
| Žilina | 139 | 16 | 11 | 5 |
| Banská Bystrica | 126 | 27 | 15 | 10 |
| Prešov | 115 | 17 | 9 | 6 |
| Košice | 86 | 11 | 8 | 2 |
| Total | 580 | 85 | 57 | 28 |
| **Total** | | **3 349** | **742** | **364** | **331** |
| Source: IB, ITMS |  |  |  |  |  |

Table 13: Financial implementation by NUTS III as at 31 December 2011[[52]](#footnote-52)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Priority axis** | **NUTS III region** | **Indicative allocation from SF (€)** | **Amount of contracted funds from SF** | | | **Amount of funds drawn from SF** | | |
| **€** | **% of contracted under priority axis** | **% of allocation for region** | **€** | **% of drawing under priority axis** | **% of allocation for region** |
| A | B | C | D | E=D/∑ priority axis | F=D/C | G | H=G/∑ priority axis | I=G/C |
| Priority Axis 1 *– Innovation and growth of competitive-ness* | Bratislava | – | – | – | – | - | - | - |
| Trnava | 48 749 841.00 | 25 649 737.88 | 9.60% | 52.62% | 14 794 398.75 | 13.51% | 30.35% |
| Trenčín | 48 749 841.00 | 23 113 473.07 | 8.65% | 47.41% | 6 448 224.77 | 5.89% | 13.23% |
| Nitra | 48 749 842.00 | 52 899 495.63 | 19.80% | 108.51% | 18 182 982.50 | 16.61% | 37.30% |
| Žilina | 72 767 619.00 | 27 552 067.82 | 10.31% | 37.86% | 15 523 678.94 | 14.18% | 21.33% |
| Banská Bystrica | 72 767 619.00 | 41 098 313.91 | 15.38% | 56.48% | 20 302 005.34 | 18.54% | 27.90% |
| Prešov | 72 767 619.00 | 54 527 862.74 | 20.41% | 74.93% | 24 098 540.56 | 22.01% | 33.12% |
| Košice | 72 767 619.00 | 42 366 651.13 | 15.86% | 58.22% | 10 145 729.37 | 9.27% | 13.94% |
| Total | 437 320 000.00 | 267 207 602.18 |  | 61.10% | 109 495 560.23 |  | 25.04% |
| Priority Axis 2 – *Energy* | Bratislava | – | – | – | - | – | – | - |
| Trnava | 18 759 600.00 | 12 542 517.50 | 10.96% | 66.86% | 1 671 255.51 | 3.80% | 8.91% |
| Trenčín | 18 759 600.00 | 9 807 217.32 | 8.57% | 52.28% | 6 652 877.29 | 15.13% | 35.46% |
| Nitra | 18 759 600.00 | 19 180 316.35 | 16.76% | 102.24% | 7 113 445.88 | 16.18% | 37.92% |
| Žilina | 28 139 400.00 | 6 850 470.34 | 5.99% | 24.34% | 2 328 592.49 | 5.30% | 8.28% |
| Banská Bystrica | 28 139 400.00 | 30 574 014.32 | 26.71% | 108.65% | 15 109 169.84 | 34.36% | 53.69% |
| Prešov | 28 139 400.00 | 18 639 858.36 | 16.29% | 66.24% | 7 212 463.03 | 16.40% | 25.63% |
| Košice | 28 139 400.00 | 16 854 962.87 | 14.73% | 59.90% | 3 879 249.97 | 8.82% | 13.79% |
| Total | 168 836 400.00 | 114 449 357.06 |  | 67.79% | 43 967 054.01 |  | 26.04% |
| Priority Axis 3 – *Tourism* | Bratislava | – | – | – | - | – | – | - |
| Trnava | 16 297 777.00 | 336 212.47 | 0.37% | 2.06% | 335 480.39 | 0.52% | 2.06% |
| Trenčín | 16 297 777.00 | 6 673 426.94 | 7.28% | 40.95% | 2 671 760.70 | 4.16% | 16.39% |
| Nitra | 16 297 779.00 | 5 960 827.28 | 6.50% | 36.57% | 5 720 158.81 | 8.90% | 35.10% |
| Žilina | 24 446 666.00 | 14 905 130.67 | 16.26% | 60.97% | 9 685 097.66 | 15.07% | 39.62% |
| Banská Bystrica | 24 446 667.00 | 34 894 491.24 | 38.06% | 142.74% | 24 810 922.56 | 38.59% | 101.49% |
| Prešov | 24 446 667.00 | 23 497 722.72 | 25.63% | 96.12% | 16 963 629.43 | 26.39% | 69.39% |
| Košice | 24 446 667.00 | 5 423 510.40 | 5.91% | 22.19% | 4 100 218.37 | 6.38% | 16.77% |
| Total | 148 680 000.00 | 91 691 321.72 |  | 62.51% | 64 287 267.92 |  | 43.83% |
| **Total** | | **752 836 400.00** | **473 348 280.96** |  | **62.88%** | **217 749 882.16** |  | **28.92%** |
| Source: IB, ITMS | |  |  |  |  |  |  |  |

As regards projects not classified into regions at the NUTS III level, in the framework of the OP CEG 56 such projects were being implemented as at 31 December 2011 – 2 national projects, 2 projects supported via the JEREMIE Initiative and 52 TA projects.

Table 14: Financial implementation of projects not classified into regions at the NUTS III level as at 31 December 2011

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Priority axis** | **Amount of contracted funds from SF** | | **Amount of funds drawn from SF** | |
| **€** | **% of contracted under priority axis** | **€** | **% of drawing under priority axis** |
| A | B | C = B/Σ priority axis | D | E=D/∑ priority axis |
| Priority Axis 1 *– Innovation and growth of competitiveness* | 57 000 000.10 | 13.03% | 57 000 000.10 | 13.03% |
| Priority Axis 2 – *Energy* | 6 244 266.68 | 3.70% | 1 134 636.89 | 0.67% |
| Priority Axis 3 – *Tourism* | 23 160 016.30 | 15.79% | 8 151 139.10 | 5.56% |
| Priority Axis 4 – *TA* | 16 516 564.83 | 68.36% | 9 368 418.46 | 38.77% |
| **Total** | **102 920 847.91** | **13.25%** | **75 654 194.55** | **9.74%** |
| Source: ITMS |  |  |  |  |

More information regarding these projects is given in the Chapter 2.1.1.3 Fulfilment of measurable indicators at the OP level, 2.6 Complementarity of the OP CEG with other instruments and 3.1.1.1 State of physical progress in Priority Axis 1, 3.2.1.1 State of physical progress in Priority Axis 2, 3.3.1.1 State of physical progress in Priority Axis 3 and Chapter 5 Technical Assistance.

### *Financial control and audit*

The tasks of the audit authority are performed by the audit and control section of the MoF SR in collaboration with cooperating bodies[[53]](#footnote-53). Pursuant to the audit strategy for the 2007 – 2013programming period for structural aid programmes, audits on systems and operations under Article 62 of Council Regulation (EC) no. 1083/2006 are performed as a government audit, the basic elements of which are governed in legislation by Act no. 502/2001 Coll. on financial control and internal audit and on the amendment of certain acts as later amended.

#### *Operations audits under Article 62(1)(b) of Council Regulation (EC) no. 1083/2006*

In accordance with the audit strategy for 2011 the CA ensured the performance of operations audits in the first half of 2011, in which the level of reliability of the sampling system was determined on the basis of a statement on the effectiveness of the management and control system, which was provided on the basis of conclusions from system audits performed in the second half of 2010 and the corresponding level of OP system reliability (70%).

In the period from 14 February 2011 to 16 August 2011 the cooperating body (the MoE SR) performed an operations audit no. **A391**, the main objective of which was to gain assurance of the eligibility of expenditures on a selected sample of operations in the framework of the OP CEG at the level of the MA, IB, PU and selected beneficiaries under Article 62 (1)(b) of Council Regulation (EC) no. 1083/2006.

The audit verified €37 890 450.72 in the scope of the selected sample (30 applications for payment); no ineligible expenditures were identified. In the government audit the audit group identified the following major **non-systemic deficiencies**:

1. The MA did not prove the performance of a completeness check of the call in accordance with the SF and CF Management System – selection of projects for OP implementation. The MA did not submit checklists for the verification of the completeness of documentation in three of the 10 calls audited.
2. Poor performance of the administrative check by the IB; the IB in the framework of performing an on-the-spot check at the beneficiary failed to sufficiently verify compliance with one of the conditions from the grant contract. The government audit found that the beneficiary, in breach of the grant contract, did not keep the grant funds in its accounting records in analytical accounts separately from its own funds.
3. The beneficiary, in its accounting, booked the provision of the advance to the supplier; booked grant income at variance with accounting procedures to the wrong account; did not keep the grant funds in its accounting records in analytical accounts separately from its own funds, whereby it did not comply with the set accounting procedures in accordance with Act no. 431/2002 Coll. on accounting.
4. The beneficiary did not proceed properly in performing an inventory. The inventory list submitted by the beneficiary did not contain all particulars required under Act no. 431/2001 Coll. on accounting. The inventory list did not contain: the date of completing the inventory; the balance of assets with a statement of unit quantities and price, location of assets, list of liabilities. The beneficiary performed the inventory in the first month following the accounting period. The inventory list submitted to the audit subject did not contain data on asset gains and losses over the period from the beginning of the next accounting period up to the date of completing the physical inventory.
5. The beneficiary did not inform the IB of the establishment of a lien in favour of Tatra Banka, a. s., Bratislava, whereby it failed to comply with the conditions set out in the grant contract.

The MA/IB **adopted and performed** the following **measures** in respect of the findings:

Re (a) Department managers advised staff involved in the preparation and handling of documents related to OP CEG implementation that they must be consistently archived in accordance with the provisions of the IMPMA.

Re (b) At a working meeting the section staff were advised of the need to thoroughly check accounting records during on-the-spot verifications.

Re (c) At a working meeting the section staff were advised of the need to thoroughly check accounting records during on-the-spot verifications.

Re (d) At a working meeting the section staff were advised of the need to consistently comply with the provision of Act no. 431/2002 on accounting (in the case of performing an asset inventory).

Re (e) At a working meeting the section staff were advised of the need to thoroughly check consistent compliance with the respective provision of the grant contract.

The MA submitted within the set term to the auditing body the fulfilled measures for remedying the deficiencies and for eliminating their causes, on the basis of government audit no. A391.

**No systemic deficiencies were identified** in the framework of government audit A391.The fulfilment of the measures taken and of the incorporation of recommendations regarding the listed deficiencies will be verified in the first half of 2012.

On the basis of the work performed, the auditors stated that the government audit had fulfilled its objectives and appropriate assurance had been obtained that the expenditure is in the selected sample were eligible.

Based on the results from the system audit and operations audit, performed in the period from 1 July 2010 – 30 June 2011, the audit authority issued **an opinion without reservations**, i.e. the management and control systems in place for the OP were in accordance with applicable requirements of Articles 58 to 62 of the Council Regulation (EC) no. 1083/2006 and Section 3 of Commission Regulation (EC) no. 1828/2006 and functioned effectively in terms of providing reasonable assurance that statements of expenditure submitted to the Commission are correct, and i.e. also an appropriate degree of certainty that the respective transactions are legal and normal. This opinion by the audit authority was a component of the annual control report sent to the Commission on 23 December 2011.

In the period from 2 November 2011 to 2 December 2011 the cooperating body (the MoE SR) also performed the operations audit **no. A402**, the main objective of which was to gain assurance as to the eligibility of expenditures on a selected sample of operations in the framework of the OP CEG at the level of the MA, IB – SARIO according to Article 62(1)(b) of Council Regulation (EC) no. 1083/2006.

In the government audit the audit group identified **deficiencies of a non-financial nature of low and moderate severity, in particular:**

1. Inconsistent compliance with the relevant provisions of the IMPMA at the level of the MA and SARIO.
2. Inadequate archiving of documents under Article 90(1) of Council Regulation (EC) no. 1083/2006 in the framework of call KaHR-113DM-0801.
3. Failure to comply with the 100-day deadline for sending the notice of approval of grant applications no. NFP25110120030 and no. NFP25110120016.

The MA/IB **adopted and performed** the following **measures** in respect of the findings[[54]](#footnote-54):

Re (a) MA staff involved in OP CEG implementation was advised to consistently comply with the provisions of the IMPMA in their current wording and SARIO undertook to take care to comply with the provisions of the internal manual.

Re (b) MA staff involved in OP CEG implementation was advised to consistently comply with the provisions of the IMPMA in their current wording, including archiving duties.

Re (c) the IB undertook to take care to comply with the prescribed deadlines in the case of the declaration of a new call.

#### *Audit of additional sample under Article 17 of Commission Regulation (EC) no. 1828/2006*

On the basis of a complaint from a citizen, the AA included in an additional sample the operations audits A370 and A371.Operations audit A370 was performed in the period from 2 December 2010 to 10 February 2011 and operations audit A371 was performed in the period from 24 January 2011 to 4 February 2011 by the Bratislava financial control administration. The main purpose and objective of the audits was to obtain assurance on the eligibility of expenditures in projects no. ITMS 25110120167, no. ITMS 25120120056 and project no. ITMS 25110120182 in the framework of the operational programme. **The audits found no deficiencies.**

#### *System audits in accordance with Article 62(1)(a) of Council Regulation (EC) no. 1083/2006*

In the period from 1 August 2011 to 20 December 2011 the AA (the MoF SR in collaboration with the financial control administration of Bratislava and Zvolen, and the MoE SR) performed system audit no. **A378**, the main objective of which was to gain assurance as to the adequacy and effectiveness of management and control systems in the framework of OP CEG management at the MA and IB level (SIEA, SARIO, STB) under Article 62 Council Regulation (EC) no. 1083/2006.

In this government audit the audit group identified the following serious deficiencies[[55]](#footnote-55):

1. **System deficiencies:**
2. Excessively long period from the side of the MA / IB between closing a call and signing the grant contract. Failure to comply with the 100-day deadline for sending a final decision on the approval/rejection of a grant application, deriving from the final deadline for submitting grant applications.
3. **Other serious deficiencies** (at the IB and PU level):
4. Failure to incorporate changes made in the SF and CF Management System version 4.3 into the internal manual of working procedures version 1.25 and 1.26.
5. Formalities of final reports from the selection of grant applications not in accordance with the SF and CF Management System.
6. Inadequate verification of beneficiary’s compliance with contract conditions concerning insurance of assets acquired using the grant.
7. Failure to define a system or plan of training new IB staff.
8. Inadequate control mechanism for ensuring regular review of the access rights of individual staff to the ITMS.
9. Failure to set time limits in individual processes for checking applications for payment, as listed in the PU internal manual of procedures.

The MA/IB and PU **adopted and implemented** the following **measures** in respect of the findings:

Re (1a) The MA at the time of the audit being performed took system measures in respect of the deficiency, with the aim of shortening the length of the grant application approval process (streamlining the process of the preparation and approval of grant applications and using efficiently the available administrative capacities, etc.).In this regard, we note that the prolongation of the approval process was caused in part by the administrative burden of checking the formal accuracy of grant applications with regard to the requirement to verify the mandatory appendices submitted by applicants. In accordance with the document Problem Areas in the Implementation of Operational Programmes and Horizontal Priorities of the National Strategic Reference Framework, approved by SR Government Resolution no. 323/2011, the SR MoE is making every effort to streamline the process for submission and approval of grant applications. The CCA at the request of the MA has granted an exception from the SF and CF Management System, which should simplify the process of drawing up a grant application, as well as the process of checking the formal accuracy of grant applications, whereby the overall grant application approval process will be shortened. A concurrent transfer of powers and administrative capacities from the NADSME to the SIEA and the subsequent transfer of powers and administrative capacities from SARIO will lead to a greater concentration of administrative capacities, which through their more flexible use will contribute to the objective of reducing the time burden of the whole proceedings associated with grant applications. The results of the measures taken should be seen already in the framework of the calls KaHR-111SP/LSKxP-1101, KaHR-31SP-1101 and KaHR-111SP-1101. It will be possible to verify the effectiveness of the measures following the completion of those calls for grant applications, or other newly-declared calls.

Re (2a) Unincorporated parts were incorporated into the internal manual and an employee was appointed at the individual IBs who is responsible for incorporating changes resulting from updates to the SF and CF Management System, the SF and CF Financial Management System and Act no. 528/2008 Coll., whilst cooperation between staff at the individual sections will be strengthened in terms of drafting the SIEA internal manual.[[56]](#footnote-56)

Re (2b) SIEA removed the formal deficiency (incorrect report title) by incorporating the relevant appendix into the SIEA internal manual, version 7.0, valid and effective on the 31 December 2011, i.e. the auditor’s recommendation had already been incorporated at a time before taking measures to remove the deficiencies found.

Re (2c) Staff performing the administrative check of applications for payment, at a section meeting, held on 27 October 2011, were advised of their duty to consistently check the payment of insurance indemnity, as well as verifying it at an on-the-spot check, i.e. the auditor’s recommendation had already been addressed at the time of performing the audit.

Re (2d) The recommendation was incorporated into the SIEA internal manual, version 7.0, which is valid and effective on 31 December 2011, i.e. the auditor’s recommendation had already been incorporated at a time before taking measures to remove the deficiencies found...

Re (2e) The task has been assigned to an employee (ITMS manager), who will ensure regular review of the access rights of individual employees to the ITMS system, or their revision upon the departure of employees and recruitment of any new employees to the section.[[57]](#footnote-57)

Re (2f) The required facts were incorporated into the PU audit trail manual (parts 5.3 and 5.4).[[58]](#footnote-58)

Based on the results from the government audit performed, the auditors noted that the management and control system established at the audited bodies functions correctly, but certain improvements are needed (2nd degree of assurance), meaning that the deficiencies that were found have a slight impact on the efficiency of the functioning of key elements of the system, or bodies.

Verification of the fulfilment of measures taken and the implementation of recommendations regarding the deficiencies found by government audit A378 will be performed in the second half of 2012.

In connection to **systems audit no. A341**, aimed at gaining assurance on the adequacy and effectiveness of management and control systems in the framework of the OP CEG pursuant to Article 62(1) (a) of Council Regulation (EC) no. 1083/2006, which was performed in the period from 22 July 2010 to 26 November 2010 and pursuant to the minutes from the discussion of an interim report from the government audit, dated 21 December 2010, the audited subjects adopted measures in 2011 for eliminating the deficiencies identified and implemented them. The audit was performed at the level of the MA, IB and PU.

The report’s conclusions from the audit state that *“… the audit team performed verifications and gained reasonable assurance on the adequacy and effectiveness of management and control systems in the framework of OP CEG pursuant to Article 62(1)(a) of Council Regulation (EC) no. 1083/2006, …”*. The audited subjects presented to the AA within the set deadlines the measures adopted for eliminating the deficiencies, as well as the fulfilment of the measures adopted.

Verification of the fulfilment of the measures adopted and of the implementation of the auditor’s recommendations regarding the deficiencies from audit no. A341 was conducted in the framework of system audit no. A378. In the verification the fulfilment of the measures adopted, the auditors stated that most of the measures adopted from the side of the audited subjects had been fulfilled, or partially fulfilled.[[59]](#footnote-59)

*Based on the results from system audits performed in the second half of 2011 and following the completion of operations audits in the first half of 2012, the AA will issue an opinion on the efficiency of the functioning of the management and control system of the NSRF SR operational programmes for the reference period 1 July 2011 – 30 June 2012 pursuant to Article 62 of Council Regulation (EC) no. 1083/2006, which will be sent to the Commission by 31 December 2012.*

#### *European Commission audit*

In the period from 20 June 2011 to 24 June 2011 auditors from DG Regional Policy – J4 audit OP CEG (2007SK161PO006) performed “*Audit of the functioning of the management and control systems on the basis of the requirement under Article 60 of Council Regulation (EC) no. 1083/2006”* no. 2010/SK/REGION/J4/1010/1. The audit was performed at the MA and IB (SIEA, NADSME, and STB).

The overall objective of the audit was to obtain reasonable assurance that the management and control systems function effectively. To achieve this objective, the audit work carried out in this review focused primarily on obtaining reasonable assurance on the effective functioning of high-risk operational programmes / areas and horizontal themes (2010 – 2012).A special objective of the audit was to obtain reasonable assurance on the effective functioning of the following main requirements / high-risk areas:

* key element 2 – Appropriate procedures for selecting operations for co-financing (Article 60(a) and Article 65(a)) of Council Regulation (EC) no. 1083/2006 and Article 5 and Article 13(1) of Commission Regulation (EC) no. 1828/2006),
* key element 4 – Appropriate verification performed by the MA (Article 60(b) of Council Regulation (EC) no. 1083/2006 and Article 13(2) to Article 13(4) of Commission Regulation (EC) no. 1828/2006), particularly as regards on-the-spot verification and PP procedures.

A draft interim audit report was drawn up from the audit performed, containing preliminary findings, conclusions and recommendations by the Commission auditors, which may be modified on the basis of comments and further information from the audited bodies. On 26 January 2012 the MA sent within the deadline comments regarding the individual findings given in the draft interim audit report. The Commission auditors had not sent the final wording of the audit report to the MA by 31 December 2011.

### *Use of funds by category*

In connection with the need for quantifying the ERDF contribution from approved grant applications, the MA in the table forming Annex 3*:* gives a breakdown of the approved and allocated Community contribution broken down into the required classifications.

### *Contribution to the Lisbon Process and the Europe 2020 strategy*

The OP CEG strategy is in accordance with the priorities of the Renewed **Lisbon Strategy** for Growth and Employment. Interventions, in connection with Article 9(3) of Council Regulation (EC) no. 1083/2006, are focused on promoting competitiveness and creating jobs, primarily by means of supporting the transfer of new production technology, innovation, raising energy efficiency and greater use of renewable energy resources. In the framework of the OP CEG €578 615 440 is allocated for Lisbon activities, which represents 74.47% of the total allocation for OP CEG from ERDF funds.

In the context of the declared calls for measures of the OP CEG, most of the finance from EU funds was directed at projects supporting the implementation of the Lisbon objectives. As at 31 December 2011 the total contracted grant amount for OP CEG projects in the framework of priority themes contributing to the implementation of Lisbon objectives totalled €445 074 627.12, representing 76.92% of total funds allocated in the OP CEG to Lisbon activities.

A relatively high share of funds for contracted OP CEG projects is directed at priority themes promoting the transfer of new innovative technology, including support services for firms, at research, innovation and entrepreneurship in Sees and at renewable.

Table 15: Contracting of funds by priority theme (€)

|  |  |  |  |
| --- | --- | --- | --- |
| Code | Priority themes (Annex IV of Council Regulation (EC) no. 1083/2006) | Contracted funds (EU funds) | % of allocation to Lisbon activities |
| Research & technical development, innovation and entrepreneurship | | | |
| 1 | Activities in the field of research & technical development at research centres | 3 000 000.03 | 0.52 |
| 3 | Technology transfer and improvement in cooperation networks between small and medium sized businesses, between these and other businesses and universities, post-secondary education establishments all kinds, regional authorities and research centres and scientific and technological poles (scientific and technological parks, techno poles, etc.) | 18 277 936.60 | 3.16 |
| 4 | Assistance for research & technical development, particularly at small and medium-sized enterprises (including access to research & technical development services at research centres). | 0 | - |
| 5 | Advanced support services for firms and groups of firms | 47 542 789.75 | 8.22 |
| 6 | Assistance for Sees for the promotion of environmentally-friendly products and production processes (introduction of effective environment managing system, adoption and use of pollution prevention technologies, integration of clean technologies into commercial production) | 6 109 040.27 | 1.06 |
| 7 | Investment in firms directly linked to research and innovation (innovative technologies, establishment of new firms by universities, existing research & technological development centres and firms, etc.) | 26 348 201.61 | 4.55 |
| 8 | Other investment in firms | 158 509 506.21 | 27.39 |
| 9 | Other measures to stimulate research and innovation and entrepreneurship at Sees | 52 018 327.76 | 8.99 |
| Information society | | | |
| 12 | Information and communication technologies (TEN–ICT) | 18 466.61 | 0.00 |
| 14 | Services and applications for Sees (e–commerce, education and training, networking, etc.) | 31 606.77 | 0.01 |
| Energy | | | |
| 40 | Renewables: solar | 26 119 374.26 | 4.81 |
| 41 | Renewables: biomass | 25 599 876.29 | 4.42 |
| 42 | Renewables: hydroelectric, geothermal and other | 21 774 688.12 | 3.76 |
| 43 | Energy efficiency, co-generation, energy economy | 59 501 344.11 | 10.28 |
| Environmental protection and risk prevention | | | |
| 54 | Other measures for environmental protection and risk prevention | 146 277.21 | 0.03 |
| Raising the adaptability of workers and firms, enterprises and entrepreneurs | | | |
| 64 | Development of specific services for employment, training and support in connection with restructuring sectors and firms and development systems for anticipating economic changes and future requirements in terms of jobs and skills | 77 191.52 | 0.01 |

Source: ITMS

Individual priority axes of the OP CEG contribute also to the implementation of selected priorities and objectives of the **Europe 2020 strategy. The three fundamental priorities of the Europe 2020 strategy** consist in a *smart, sustainable and inclusive economy*. In the case of interlinkage of priorities of the Europe 2020 strategy and OP CEG, the implementation of projects in the framework of individual priority axes of the OP CEG significantly contributes to all the mentioned main priorities. Implementation of OP CEG delivers a contribution to the fulfilment of selected objectives of the fundamental priorities of the Europe 2020 strategy according to the following structure:

* **Smart growth** –research and innovation (creating new products and services that will generate growth and new jobs and contribute to solving social problems);
* **Sustainable growth** – a more competitive economy that makes more efficient use of resources and is more environmentally friendly. Sustainable growth is based on:
* building a competitive low-carbon economy based on efficient and sustainable use of resources;
* protecting the environment, reducing emissions and preserving biodiversity;
* profiting from a leading position in new green technologies and production procedures;
* introducing efficient intelligent power grids using information and communication technologies;
* utilising EU-wide networks aimed at providing enterprises (mainly small manufacturing companies) further competitive advantage;
* improving the business environment, especially for SMEs;
* well-informed consumers.
* **Inclusive growth** – a high-employment economy that contributes to economic, social and regional cohesion. Inclusive growth represents:
* increasing the employment rate in Europe – i.e. creating new and better jobs, mainly for women, young people and older people;
* investing in training and skills development to help people of all ages prepare for the expected changes in the labour market;
* modernising labour markets and social security systems;
* transferring the benefits of growth to all EU regions.

Interlinkage of the OP CEG with the fundamental objectives of the Europe 2020 strategy:

The implementation of OP CEG projects in the framework of individual priority axes contributes to the three main objectives of the strategy:

* **Employment** – to increase the employment rate of people aged 20 – 64 years to 75%;
* **Research and development** – to increase the level of investment in research & development 3% of GDP;
* **Climate change and energy (energy)** – to reduce greenhouse gas emissions by 20% (or, under condition of a broader global agreement, by up to 30%) against 1990 levels; to obtain 20% of energy from renewable resources; to achieve a 20%-growth in the efficiency of energy use;

The OP CEG contributes to fulfilling the Integrated Guidelines for the Europe 2020 strategy:

* no. 4: Optimising support for R&D and innovation, strengthening of the knowledge triangle and unleashing the potential of the digital economy,
* no. 5: Improving resource efficiency and reducing greenhouse gas emissions.

The OP CEG strategic document, however, inherently links also to other related strategic documents, both at the EU level, and the SR national level.

Table no. 16 Contribution of the OP CEG to the objectives of the Europe 2020 strategy (as at 31 December 2011)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Europe 2020 – objectives** | **Indicator** | **Plan (2015)** | **Result achieved** | **Contracted** | **Achievement / contracted vs. planned (%)** |
| **Energy** | Increase in capacity for power generation from renewables (MW) | 75 | 41.04 | 104.275 | 54.7 / 139 |
| Energy savings achieved through implementation of supported projects (TJ/year) | 1 800 | impact | 750.13 | 41.7 |
| Number of projects supported | 200 | 51 | 212 | 25.5 / 106 |
| **Research and development** | Number of projects supported | 300 | - | 20 | - / 6.6 |
| **Employment** | Number of newly created jobs | 13 000 | 1 137 | 6 963 | 8.7 / 53.5 |

Source: MA

**National Reform Programme for the years 2010 to 2014**

The NRP is a fundamental national strategy document. The NRP was approved by Government Resolution no. 256/2011 on 20 April 2011. Each of its chapters is devoted to priorities of the programme, institutional provision for the Europe 2020 strategy in the SR, to macroeconomic and fiscal policy, to environmental sustainability and energy and to structural priorities of the NRP SR, which are:

* education, science and innovation,
* employment and social inclusion,
* the business environment,
* transparent environment and law enforcement,
* health.

The NRP also set the national targets of the Europe 2020 strategy for Slovakia to achieve by 2020. The five common sets of objectives for the whole of the EU were approved by the SR Government as follows:

1. employment – 72%,
2. research and development – spending at 1% of GDP,
3. climate change and energy – increase in greenhouse gas emissions by at maximum 13% against their 2005 level; increase in the share of energy created from renewables in total consumption to 14%; increase in energy efficiency measured in final energy consumption by 11% against the average over the years 2001 – 2005,
4. education – decrease in the rate of early school-leavers to below 6% and an increase in the number of people with university education to at minimum 40%,
5. poverty and social exclusion – reduction in the number of people found in poverty or on the edge of poverty by 170 000.

The part of the NRP concerning environmental sustainability and energy sets the national target for the SR as described above. The OP CEG contributes to the fulfilment of the target through Priority Axis 2 Energy.

The part of the NRP concerning education, science and innovation sets, among other things, also another national objective, which is to increase the innovation potential of the economy so as to raise the share of high-tech exports to 14% by 2020. The OP CEG contributes to the gradual fulfilment mainly through its Priority Axis 1 Innovation and growth of competitiveness.

The part of the NRP concerning the business environment describes the objectives in the fields of administrative burden and regulation, strengthening the competitive environment, transparency of the business environment, transport infrastructure and e-government. One of the other national strategy objectives specified in this part is to improve the business environment so that Slovakia by 2020 is placed among the top 15 in the World Bank’s Doing Business ranking and improves in the OECD’s Product Market Regulation Index to 1.2 points.

The OP CEG also contributes to the objective of increasing employment, even though employment is not a priority objective of the OP.

The Council Recommendations of 12 July 2011 relating to the National Reform Programme of Slovakia for 2011 and which present the Council’s opinion regarding Slovakia’s updated stability programme for 2011 – 2014 (2011/C 272/01), do not contain topics directly relating to the OP CEG.

In terms of the priorities of the Annual Growth Survey 2012, published by the Commission Communication COM (2011) 815 in the final wording, the OP CEG contributes to the priority of boosting growth and competitiveness for today and the future, and this through all its priority axes.

### *Description of partnership agreements*

The MA cooperates with socio-economic partners through joint decision-making, or approval of programming and implementation documentation at the **MCKE**, which is the joint monitoring committee for the OP IS, OP R&D and OP CEG. Its membership base comprises representatives of the GO SR, governing bodies involved (individual ministries) and their IBs, the CA (MoF SR), CCA (Ministry of Transport, Construction & Regional Development of the SR), higher territorial units, the Slovak Academy of Sciences, the IT Association of Slovakia, the Association of Research and Development Industrial Organisations, the Slovak Chamber of Commerce, the Confederation of Trade Unions, the Federation of Employers’ Associations of Slovakia, etc. Observers and invited experts at the MCKE comprise representatives of the EC, AA, HP MRC and HP EO.

Individual employees of the MoE SR are members or observers on **monitoring committees** set up for monitoring the implementation of specific OPs of the 2007 – 2013 programming period. Employees of the MoE SR were nominated according to their field of work also to **working groups** established by the CCA and GO SR, which address the issue of protecting financial interests, the issue of irregularities, legislation, publicity, coordination of control activity, the ITMS, PP, evaluations, HPs, Article 280 of the Treaty on EU, etc.

In the field of publicity the MA continues to work closely particularly with HTUs (or their **FCICs[[60]](#footnote-60)**), which provide publicity at the regional level under **Framework Agreements**. The field of publicity is covered also from the side of the **IB**, on the basis of concluded **mandates**.[[61]](#footnote-61)

The MoE SR as the MA for the OP CEG continues to work with MoLSAF as the MA for OP ESI in managing the implementation of the respective OPs on the basis of a **Contract on Mutual Cooperation in the Framework of OP CEG and OP ESI for the 2007 – 2013 Programming Period**. The aim of this cooperation is to achieve synergy in the fulfilment of both OPs in common areas (support for job creation, growth of competitiveness and adaptability of employees), particularly in the implementation of Sub-Measure 1.1.2 Support for job creation through business development.

The MoE SR and its IBs proceed according to the applicable **Contract on Cooperation and Joint Action between a Bank and Bodies Representing the Slovak Republic for the New 2007 – 2013 Period**, the object of which is to determine the joint action of the parties in establishing a lien on assets of a beneficiary for the purpose of securing its receivables and for determining joint action in the execution of a lien, including agreement on seniority of their liens critical to the satisfaction of the bank and state in any distribution of proceeds from realisation of a pledge.

For ensuring implementation of HP MRC in the OP CEG the MoE SR and GO SR / OGPRC concluded in November 2008 a **Contract on Mutual Cooperation and Coordination in Implementing the Horizontal Priority Marginalised Roma Communities**. The details of this contract are given in chapter 4.2 Horizontal priority Marginalised Roma Communities.

In connection with the amendment to Act no. 575/2001 Coll. on the organisation of activity of the government and organisation of central state administration, in this case with the transfer of competences in the tourism sector from the MoE SR to the Ministry of Culture & Tourism of the SR and subsequently to the MoTCRD SR, an **Agreement on Cooperation in the Framework of the Operational Programme Competitiveness & Economic Growth in the 2007 – 2013 Programming Period** was concluded. The object of this agreement is to ensure the efficient coordination of activities of the MoE SR and the MoTCRD SR, to arrange duties in the implementation of activities under Priority Axis 3 OP CEG – tourism in the field of support for business activities in tourism and the development of tourist information services, presentation of regions and Slovakia so that in consequence of the transfer of competences under Act no. 575/2001 Coll. on the organisation of activity of the government and organisation of central state administration, as amended it is not necessary to modify the OP CEG programming document in relation to the Commission and so that there is no disruption or suspension in the OP CEG’s implementation.

In connection with the implementation of SR Government Resolution no. 666 of 29 September 2010 as amended by SR Government Resolution no. 797 of 12 November 2010, by which the SR Government decided to cancel NADSME, the MoE SR, in the context of the decision of the SR Minister of Economy no. 25/2011 of April 2011 with the aim of ensuring the uninterrupted process of implementing the respective measures of the OP CEG in the competence of NADSME, decided to transfer activities of the NADSME Structural Funds Section to SIEA as at 11 June 2011.

In connection with the process of preparing the creation of one agency as the IB implementation of EU SF in those matters falling within the remit of the MoE SR (§ 6 of Act no.575/2001 Coll. on the organisation of activity of the government and organisation of central state administration, as amended), the MoE SR, in the context of the decision of the SR Minister of Economy no. 50/2011 of September 2011, decided to terminate the competence of SARIO as at 31 October 2011 (the date of termination was changed by the decision of the SR Minister of Economy no. 55/2011 of October 2011 to 30 December 2011). At the same time, in order to ensure the uninterrupted process of implementing the respective measures of the OP CEG and SOP I&S in the competence of SARIO, the mentioned decision also transferred the activities of the SARIO Structural Funds Section to the SIEA.

## Information on compliance with Community law

The MA hereby declares that in the reporting period the implementation of the OP CEG was in accordance with EU and SR legislation, with the activities, policies and priorities of the EU and SR, and that complementarity with other EU financial instruments was ensured.

In 2011 the MA did not register any serious problems associated with EU legislation.

## Serious problems associated with the implementation of the OP CEG and measures taken to eliminate them

The MA in 2011 dealt with a number of problems related to the implementation of the OP CEG, mostly in cooperation with the CA, CCA, Commission and SR state institutions. The following table lists the problems that the MA identified in terms of the need to ensure the smooth implementation of the OP CEG.

Table 17: Monitoring of the number of measures adopted for defined problems

|  |  |  |
| --- | --- | --- |
| **Problems identified** | **Measures adopted** | **State of measures adopted** |
| Frequent amendments to Act no. 25/2006 Coll. on public procurement and on the amendment of certain acts – a problem in declaring calls with multiple evaluation rounds, since the statutory conditions for PP changed during the course of the rounds. | Issuing guidance and extending the closing dates of a call’s individual rounds | The MA extended the call KaHR-13SP-1001 till 26.10.2011 and issued guidance regarding PP. |
| Slowdown in contracting due to implementation of SR Government Resolution no. 73/2011 reallocating €350 million from several OPs, including the OP CEG to OP Transport, which limited the declaration of calls in the first half of 2011 in the affected programmes. | Letter of the Minister no. 1138/2011-1000 dated 15 July 2011 addressed to the SR Prime Minister and 1st Deputy Prime Minister with a request to conclude negotiations on the reallocation with the Commission. | After no reallocation having been made, the following calls were declared in the framework of the OP CEG in the 2nd half of 2011: calls KaHR-111SP/LSKxP-1101, KaHR-111SP-1101, KaHR-31SP-1101 |
| Efficient use of the entire financial allocation for OP CEG | Solution of the issue with the Commission, CCA and other relevant bodies for the purpose of “legalising” the pipeline of projects. | In solution as at 31 December 2011.[[62]](#footnote-62) |
| Simplification of the submission of grant applications | Reducing the submission of required attachments to a grant application | The measure was adopted and its effect will be evaluated following application in the framework of currently declared calls |

Source: MA

## Changes in the context of the implementation of the OP CEG

Legislation enacted

Of the SR legislation enacted in 2011, the MA considers the most important to be:

On 1 May 2011 there entered into effect **Act no. 116/2011 Coll. amending Act no. 528/2008 Coll. on assistance and support provided from Community funds.** This amendment changed the individual paragraph wording of the act, with an emphasis on § 26 (**Protection of financial interests**) in relation to the disclosure of data stated in the report on the identified irregularities relating to an irregularity: a) settled by the beneficiary under § 27a(1) or (4) or § 28; b) for the purposes of settling which the MA concluded with the beneficiary an Instalments Agreement under § 28a; c) confirmed by a final court judgement, on the basis of which the beneficiary is obliged to return the contribution or part thereof.

**Act no. 25/2006 Coll. on public procurement and on the amendment of certain acts, as amended was amended seven times during the course of 2011**, which required continuous interventions (in connection to an issued amendment) into individual declared calls by way of issuing guidance regarding the call, or by way of issuing methodological instructions for the MA. The effect of the changes to Act no. 25/2006 in relation to the PP process related also procedures of the MA in terms of the relevant provisions of the SF and CF Management System. The changes related primarily to § 7 (where a contracting authority provides to a person that is not a contracting authority or contracting entity more than 50% of funds for supplying goods, for performing building works and for providing services, this person is obliged to proceed as a contracting authority under that act), to determining the expected price of an order, to the rules for the administrative control in the conduct of PP by a beneficiary on the basis of a grant contract, as well as in the process of the grant application proceedings pursuant to Act no. 528/2008 Coll. on assistance and support provided from Community funds, where the applicant completed the public procurement process prior to submitting the grant application).

On 1 June 2011 there entered into effect **Act no. 102/2011 Coll. amending Act no. 231/1999 Coll. on state aid, as amended and amending SR Act of Parliament no. 233/1995 Coll. on court executors and execution** (the Execution Code) and on the amendment of certain acts as amended, with an emphasis on § 26. The paragraph defines **legal acts that a provider is eligible to perform in the case of a provision of unauthorised state aid**.

System changes at the level of EU SF coordination

In 2011 the CCA issued a number of methodological interpretations regarding the existing **SF and CF Management System**, version 4.4 and corrigenda to selected parts of the document.

No update was made to the **SF and CF Financial Management System** in 2011.

The **CCA** issued the following **methodological instructions** (updated) regarding:

* drafting of a financial analysis of a project, a cost-benefit analysis of a project and a financial analysis of a grant applicant (update no. 1 with effect from 31 January 2011);
* entry of mandatory data in the ITMS (with effect from 1 March 2011);
* implementation of a part of the operational programme through the JEREMIE Initiative (update no. 4 with effect from 24 March 2011);
* the content of the annual and final report on the implementation of an operational programme (update no. 3 with effect from 30 May 2011);
* change management procedures (with effect from 31 August 2011);
* drafting of a financial analysis of a project, cost-benefit analysis of a project and financial analysis of a grant applicant (update no. 2 with effect from 30 September 2011);
* verification of the economy and efficiency of expenditure (with effect from 30 September 2011).

The **CCA** issued (updated) the following **guidelines** regarding:

* irregularities in the framework of the financial management of the Structural Funds, Cohesion Fund and the European Fisheries Fund (update no. 3 in force from 1 April 2011);
* the procedure for financing projects of the Structural Funds, the Cohesion Fund and the European Fisheries Fund in connection with the end of the financial year (with effect from 15 December 2011).

The **MoF SR** on 1 June 2011 issued for ITMS users a Manual regarding the registration of irregularities, requests for the return of funds and notices on settlement of financial relations in the ITMS system in the 2007 – 2013 programming period.

System changes in the framework of OP CEG

In connection to the issued legislation and system changes at the level of EU SF coordination, as well as on the basis of experience with OP CEG implementation, the MA updated the IMPMA and submitted it to **ISMC** members for approval (version 4.2 in force from 20 December 2011).The **MA** continuously issued for the IB **guidelines** that were collectively reflected in the update to the IMPMA document. In 2011 the MA issued 9 guidelines regarding the IMPMA, version 4.1, covering:

* the fulfilment of measures for eliminating deficiencies resulting from the A341 government audit report;
* implementation of the JEREMIE Initiative;
* organisational changes related to the performance and management of OP CEG implementation;
* the fulfilment of tasks arising from the document “Problem areas in implementation of OPs and HPs of the NSRF”;
* implementation of TA;
* modification of a Work Agreement with an external evaluator;
* other changes and modifications to the IMPMA.

The **MA** issued also separate **methodological instructions** (or updates thereto) intended for the IB, regarding:

* control of the implementation of projects;
* settlement of financial relationships in the financial management of structural funds in connection to specific procedures relating to the declaration of bankruptcy or permission for restructuring;
* procedures for monitoring the implementation of OP CEG;
* ensuring management and implementation of OP CEG in accordance with the principle of sound financial management;
* establishing procedures for the grantor (customer) in awarding low-value contracts;
* administrative control of PP.

## Major changes according to Article 57 of Council Regulation (EC) no. 1083/2006

Article 57 of Council Regulation (EC) no. 1083/2006 is not relevant for this annual report.[[63]](#footnote-63)

## Complementarity of the OP CEG with other instruments

The OP CEG interlinks with other OPs, in particular[[64]](#footnote-64):

* through the **Contract on Mutual Cooperation in the Framework of the OP CEG and the SR Rural Development Programme for the 2007 – 2013 Programming Period**, concluded for the purpose of the achieving efficient coordination of activities supported from EU SF, with the aim of achieving synergy in interdepartmental cooperation in any overlap of executive and competence tasks in the field of supporting the competitiveness of businesses in the sectors of the secondary food-processing industry and cooperation in promoting the use of renewable energy. The contract arranges cooperation and partnership between the MoE SR and the Ministry of Agriculture of the SR in the fields of programming activities, implementation, evaluation and mutual provision and exchange of information;
* In the framework of measure 1.1 of the OP CEG, through a **Support Scheme for Start-Up Businesses** (a de minimis aid scheme) – the scheme is intended for supporting new activities of start-up entrepreneurs, is drawn up and implemented by NADSME in cooperation **with the SIEA as the IB for the OP ESI**;
* In the framework of Priority Axis 1 **through the implementation of the JEREMIE Initiative[[65]](#footnote-65)**, where in 2011, briefly:
* Based on the task D.2 from SR Government Resolution no. 12 of 12 January 2011 the SR Government tasked the SR Minister of Agriculture to sign an auxiliary protocol to the contract on financing between the MoE SR and the EIF by 30 June 2011, whereby the total allocation for the JEREMIE Initiative in the SR is increased to €100 million. The additional allocation from the OP CEG thus represents €27 million plus the respective national co-financing.
* On 12 January 2011there was likewise signed between the EIF and the SR (represented by the MoF SR) addendum no. 2 to the Framework Agreement, whereby the EIF became an official partner of the JEREMIE Holding Fund (the SGDF) and thereby acquired the status of a manager responsible for managing implementation. Through the signing of Addendum no. 2 to the Framework Agreement there also entered into effect all corporate contracts of the SGDF, signed between the SGDF and EIF on 22 December 2010.
* On 11 March 2011 the Investment Board of the SGDF approved the JEREMIE Investment Strategy for 2011 – 2015, in which there was approved the use of funds by means of debt products (FLPGs) and capital products (investment risk capital in start-up, pre-seed and venture / growth capital). The investment strategy allocates €68 million for these products.
* On 6 May 2011 the SGDF Investment Board approved orders for FLPGs and risk capital instruments.
* On 28 June 2011 the SGDF Investment Board approved a mezzanine financing facility for SMEs in Slovakia.
* On 8 July 2011 there was signed the Auxiliary Protocol on Increasing the JEREMIE Allocation in the SR from the OP CEG in a volume of approx. €32 million
* On 29 July 2011 there was signed a contract between the SGDF and Všeobecná úverová banka for treasury business for spare cash balances / funds on SGDF accounts. During the course of the first half of 2011 and during summer 2011 the EIF, on behalf of the SGDF (in the framework of its managerial mandate) also procured several external supplies of services, for example legal advice, tax advice, or technical IT services.
* On 8 August 2011 a call for an FLPG product was declared. This call was originally declared open until 14 October 2011, though due to a lack of offers, this deadline was extended to 28 October 2011[[66]](#footnote-66).
* In the framework of Priority Axis 1 through support for secondary processors in the food industry (link to EAFRD);
* In the framework of Measure 2.1 through support for businesses consisting of less than 30% agricultural activity (link to the NSPRD SR);
* In the framework of cooperation with the MoESRS SR, as the MA for the OP R&D, and the MoLSAF, as the MA for OP ESI, on the RIC project. The plan to build RICs resulted primarily from the SR Innovation Strategy for 2007 to 2013, the SR Innovation Policy for 2008 to 2010 and the Regional Innovation Strategies drawn up by self-governing regions in the framework of the 6th EU Framework Programme for Research & Development and was included in the OP CEG, though without funding reserved. This funding was to have been provided from the OP R&D, which though is not focused on promoting innovation. Based on this, the MoE SR and the MoESRS SR reached a compromise as to how to implement this plan and the MoESRS SR declared calls with the condition of participation of partners from the public and private sector. Proposals by HTUs assumed that the centre will be built as soon as possible; the MoE SR does not incline to this, since already to such centres exist in the Prešov region, but are not used. The Prešov region asked the MoE SR for cooperation in creating a pilot project for exploiting innovation potential in the region. The MoE SR drew up an innovation strategy, which should be approved during 2012 and all activities that could be financed from the EU SF and that have not yet been reliably implemented in Slovakia should be included in this strategy. It will also include a feasibility study of the RICs.

## Monitoring and evaluation

### *Monitoring*

**Monitoring** of the OP CEG is in accordance with Council Regulation (EC) no. 1083/2006, Commission Regulation (EC) no. 1828/2006, the SF and CF Management System, the IMPMA and MA Methodological Instruction no. 8 conducted at the level of:

* + Projects – performed by the IB in accordance with the Mandates. The goal is to achieve consistent and regular monitoring of the implementation of activities under projects during their implementation and also monitoring of the sustainability of projects following their completion over the course of 5 years[[67]](#footnote-67) using physical and financial indicators. Monitoring of projects is conducted primarily by way of receiving monitoring reports by beneficiaries, with these subsequently being subject to control from the side of the IB. Monitoring reports are sent via the ITMS portal and also in writing. Where necessary, the IB performs on-the-spot monitoring – primarily for verifying the implementation of activities in accordance with the grant contract.
  + Measures (in the case of Measure 1.1 and Sub-Measures), performed by the IB in accordance with the Mandates. Data sources represent information from monitoring reports from beneficiaries, aggregated at the level of measures[[68]](#footnote-68) (in the case of Measure 1.1 and Sub-Measures). The IB provides the data regularly in the form of table overviews as at the 15th and 31st of each month and annually by 15.3. by way of drawing up an annual report on implementation of the (sub) measure.
  + Priority Axes and OPs, performed by the MA. The MA for this purpose uses the ITMS, or data provided by the IBs, as well as source documentation sent from the MoF SR, or other cooperating bodies. The outputs from this level of monitoring consist of the annual and final reports on the implementation of the OP CEG, or reports required by EU SF coordinators in the SR.

The implementation of OP CEG is **supervised** by the ISMC and MCKE[[69]](#footnote-69) in accordance with Council Regulation (EC) no. 1083/2006, statutes and rules of procedure of these committees.

In the reporting period **11 sessions of the ISMC[[70]](#footnote-70)** were held, of which 4 were approval proceedings outside of meetings based on voting by way of a flying minute, at which members on an on-going basis approved implementation and other supporting documents, TA projects of the OP CEG, etc.

#### *ITMS*

ITMS II, as the information system for the 2007 – 2013 programming period, is based on the organisational, technical and operational bases of the ITMS system for the shortened 2004 – 2006 programming period. The system is continually in operation and simultaneously for both periods.

The system is central, common for all operational programmes of the NSRF financed from EU SF and CF. System users comprise: employees of the CCA, MAs, IBs, PU, CA, AA and HB coordination bodies. The system is operated in the framework of the CTI – a budgetary organisation of the MoF SR – together with the FAIS systems, the State Treasury Information System, the BIS and others. ITMS II is linked in the framework of the CTI with the FAIS, BIS and Manex systems.

ITMS II supports the following main activities:

* programming (setting all attributes of OP down to the level of measures),
* implementation of projects (registration of calls and their parameters; submission processes; evaluation of the selection and approval of grant applications; project contracting and their financial implementation; administrative, certification verification and on-the-spot verification; irregularities),
* implementation of programmes (creation of applications for payment to the Commission, EAE, communication with the SFC2007 system, systemic irregularities),
* monitoring of projects (during and after implementation),
* monitoring of programmes (through aggregation of data from the project level),
* audit (planning and performance of audit actions),
* provides a broad range of data for evaluating programmes from the level of project management and programme management and for evaluating the activity of individual bodies.

The ITMS has two layers of use, i.e. it is interconnected with a public portal, used by aid beneficiaries from the EU SF and CF.

#### *Activity of the Monitoring Committee for the Knowledge Economy*

The **MCKE held 2 regular sessions in 2011** (on 24 March 2011 and 9 June 2011) and **5** approval procedures by flying minute. The sessions (including approval procedures by flying minute), approved or took note of[[71]](#footnote-71):

* Annual Report on the Implementation of the OP CEG for 2010,
* evaluation reports of closed calls,
* Plan of Evaluations of the OP CEG for the 2007 – 2013 programming period, version 1.2,
* revision of the OP CEG[[72]](#footnote-72) which, with regard to the nature of changes in accordance with CCA methodological instruction no. 10 to the revision of the operational programmes was not yet sent for approval to the Commission,
* evaluation and selection criteria for the declaration of the call in the framework of the LSCA and updates to existing evaluation and selection criteria in connection to upcoming calls,
* Programme Manual, version 3.4 and 3.5[[73]](#footnote-73).

#### *Meetings with the European Commission*

On 26 May 2011 a working meeting was held between the MA and Commission, to assess progress in OP CEG implementation and to analyse current problems. The meeting was of an ad hoc nature and no official measures were taken at it.

The **Annual Meeting with the Commission** pursuant to Article 68 of Council Regulation (EC) no. 1083/2006 was held on **18 October 2011** at the level of the **NSRF**. The main subject of the meeting was physical and financial progress in the framework of the individual OPs, adjustments to OPs made particularly in connection with the implementation of the JEREMIE Initiative, OP annual reports for 2010, the JRM for 2011, evaluations and audits performed in the framework of individual OPs and the closure of the shortened programming period 2004 – 2006. The **conclusions** from this meeting concerned:

* the need to speed up the implementation of individual OPs so as to comply with the drawing rule N+3[[74]](#footnote-74) or N+2,
* the effort for growth and better stimulation of job creation,
* the declaration of calls where projects will contribute to the fulfilment of the National Reform Programme and the Europe 2020 strategy,
* the requirement of the European Commission that the MA inform it of anticipated modifications to programmes also in terms of ensuring the compatibility of these changes with the Europe 2020 strategy,
* requirements of the European Commission for Annual Implementation Reports of OPs,
* expedite the implementation of LSCAs (MRC),
* operationalising the JEREMIE and JESSICA initiatives in accordance with the JRM, etc.

The MoE as the MA for the OP CEG will take all steps for successfully fulfilling that the Commission’s requirements defined at the annual meeting. Individual chapters of the annual report analyse the issue of the selected points[[75]](#footnote-75) mentioned above. Due to the nature of the conclusions, some time is needed for their application, and some time interval is needed before the next evaluation, which will be the subject of the Annual Report on the Implementation of the OP CEG for 2012.

In the case of the problems arisen, the MA is currently working with the Commission on solving them, therefore most of the information that is presented at the annual meetings with the European Commission is already known to Commission representatives in advance.

#### *Joint Road Map*

In 2011 the following measures contributed to the JRM:

Point 2 – Management and the control system:

* in order to streamline the activity of the IB, the MA transferred the activities of NADSME and SARIO in the field of implementation of the respective measures of the OP CEG to the SIEA, in connection with which there were also transferred administrative capacities;
* the MA reduced the submission of required attachments to a grant application; the modified rules applied to 2 calls declared in December 2011;

Point 3 – MRC:

* the MA declared a call with LSCA relevance in the framework of support for MRC;

Point 5 – Financial engineering

* activities connected with the implementation of the JEREMIE Initiative are described in detail in chapter 2.6 Complementarity of the OP CEG with other instruments.

#### *Monitoring of measures in the Strategic Environmental Assessment*

No changes were made in 2011 in the OP CEG that would have an environmental impact, and therefore no assessment was made of the strategic document under Act no. 24/2006 Coll. on environmental impact assessment and on the amendment of certain acts.

### *Evaluation*

The MA performed no evaluations of the OP CEG in the reporting period. The evaluation activities that were to be performed in 2011[[76]](#footnote-76) were transposed into the currently applicable plan of evaluations of the OP CEG for 2012, under which it is planned in 2012 to perform, in particular, a **strategic evaluation of the OP CEG**.[[77]](#footnote-77) The evaluation of the OP CEG will give answers to the basic evaluation questions. Issues associated with the identification of areas not sufficiently covered in the current programming period, and which are important particularly in terms of improving competitiveness the innovation environment and energy efficiency and use of renewables, will also be included. The assessment will focus also on assessing the growth in competitiveness of the SR’s regions in connection with the fulfilment of objectives of the Europe 2020 strategy and the National Reform Programme. The evaluation will also propose recommendations and strategic objectives for the next programming period 2014 – 2020 in order to achieve efficient progress in achieving the Europe 2020 objectives (maximizing the qualitative effects of the resources allocated in the OP).

In the reporting period the MA performed 2 contextual analyses of OP CEG implementation, with a proposal for the efficient use of the remaining financial allocation, with an evaluation of the OP at the measures level as at 30 June 2011 and as at 31 October 2011. The conclusions from the analyses led to proposals for internal reallocations, in the framework of:

* Priority Axis 1 Innovation and growth of competitiveness – €35.43 million was reallocated from Measure 1.2 Support for common services for entrepreneurs to Measure 1.1 Innovation and technology transfers;
* Priority Axis 2 Energy – €4.4 million was reallocated from Measure 2.2 Building and upgrading public lighting for towns and municipalities and provision of energy consultancy services to Measure 2.1 Increasing energy efficiency both on the side of generation and consumption; and introducing advanced technologies in the energy sector.

The reallocations were approved by members of the ISMC and reflected in the Programme Manual.

For ensuring and performing the evaluation of the OP CEG, arrangements were made for the participation of the members of the **Working Group for OP CEG Evaluation** (the “Working Group”). The Working Group is involved in solving strategic, conceptual and methodological issues in the field of evaluation; it formulates proposals and recommendations and thereby contributes to the creation and development of an efficient and effective system of evaluation of the OP CEG. The participation of members of the Working Group in the evaluation of OP CEG in 2011 was as follows:

1. Collaboration in preparing an update to the *Statute and Rules of Procedure of the Working Group for OP CEG Evaluation*. *Comment proceedings were held in the period from 17 January 2011 – 21 January 2011.*
2. Collaboration in the preparation of the *Plan of Evaluations of the OP CEG for 2011*. Comment proceedings were held in the period: *17. 1. 2011 – 21. 1. 2011.*
3. Collaboration in the preparation of the *Specification for Drawing Up an Independent Analysis Focusing on the Strategic Evaluation of the OP CEG*.Comment proceedings were held in the period from 9 June 2011 – 17 June 2011.
4. Ad hoc communication regarding the issue of evaluation with Working Group members*.*

The active involvement of working group members will be required in 2012 in connection with the planned performance of the strategic evaluation of the OP CEG in 2012 and in connection with participation in the preparation of the Strategic Report of the Slovak Republic pursuant to Article 29 of Council Regulation (EC) no. 1083/2006.

## National performance reserve

This is not relevant for 2011 for the OP CEG.

## Administrative capacities

As at 31 December 2011 the **administrative capacities** at the MA[[78]](#footnote-78), its IBs and the PU totalled **178 staff**, of which 48 staff at the MA, 118 staff at the IBs and 12 staff at the PU. This staffing level represented 80.91% fulfilment of the planned figure, this having been greatly affected in 2011 by the SR Minister of Economy’s decision to merge the IBs.

During the course of 2011 the IBs implementing the OP CEG were merged under the SIEA based on SR Minister of Economy’s Decisions no. 25/2011, 50/2011 and 55/2011. This represented a merger of parts of SARIO, NADSME and the SIEA, with the exception of the STB. Based on this, modifications were also made to the internal structure within the SIEA, with certain units being merged and more efficient use being made of the existing administrative capacities. Consequently, it is not possible to compare the plans and actual figures for the administrative capacities of the IBs for 2010 and 2011, or evaluate their staff turnover

More detailed data on administrative capacities at the MA, IB and PU, as well as the individual positions that were occupied as at the end of the reporting period, or where it was necessary to recruit new staff, is given in the table below. In this, however, it should be noted that certain staff (such as the monitoring manager, control manager, lawyer, archivist) also in 2011 can currently performed activities relating to the completion of the previous programming period (2004 – 2006) and monitoring of completed projects.

Table 18: Administrative capacities of the MA

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Position** | **Number as at 31.12.2010** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** | **Need to recruit (plan for 2012)[[79]](#footnote-79)** |
| **A** | **B** | **C** | **D** | **E=D/C** | **F** |
| general manager[[80]](#footnote-80) | 1 | 1 | 1 | 100.00 | 0 |
| department head | 4 | 4 | 4 | 100.00 | 0 |
| head of sub-department | 0 | 0 | 0 | 100.00 | 0 |
| department/section assistant | 0 | 0 | 0 | 100.00 | 0 |
| programming manager | 0 | 1 | 0 | 0.00 | 1 |
| monitoring and evaluation manager | 4 | 4 | 5 | 125.00 | 0 |
| information & publicity manager | 1 | 1 | 1 | 100.00 | 0 |
| control manager | 9 | 10 | 8 | 80.00 | 0 |
| ITMS manager | 1 | 1 | 0.5 | 50.00 | 0 |
| financial manager | 1 | 1 | 1 | 100.00 | 0 |
| project manager | 5 | 6 | 8.5 | 141.67 | 0 |
| manager for TA | 4 | 4 | 4 | 100.00 | 0 |
| Other (lawyer, archivist, PP manager, methodologist, sectoral experts) | 15 | 16 | 15 | 93.75 | 2 (PP, methodology) |
| Total | **45** | **49** | **48** | **97.96** | **3** |

Source: MA

With regard to the merger of the respective departments within the various sections at the SIEA (previously separate IBs), it is not possible to adequately evaluate the fulfilment of the planned number of staff as at 31 December 2011.

Table 19: Administrative capacities of the Structural Funds Section for SME Development at the SIEA[[81]](#footnote-81)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Position** | **Number as at 31.12.2010** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** | **Need to recruit**  **(plan for 2012)** |
| **A** | **B** | **C** | **D** | **E=D/C** | **F** |
| general manager | 1 | 1 | 0 | 0.00 | 0 |
| department head | 2 | 2 | 1 | 50.00 | 0 |
| head of sub-department | 4 | 4 | 3 | 75.00 | 0 |
| department/section assistant | 1 | 1 | 0 | 0.00 | 0 |
| programming manager | 0 | 0 | 0 | 100.00 | 0 |
| monitoring and evaluation manager | 5 | 5 | 4 | 80.00 | 0 |
| information & publicity manager | 1 | 1 | 0 | 0.00 | 0 |
| control manager | 7 | 7 | 0 | 0.00 | 0 |
| ITMS manager | 1 | 1 | 1 | 100.00 | 0 |
| financial manager | 4 | 4 | 3 | 75.00 | 0 |
| project manager | 9 | 9 | 5 | 55.56 | 0 |
| manager for TA | 0 | 0 | 0 | 100.00 | 0 |
| Other (lawyer, archivist, PP manager) | 3 | 3 | 3 | 100.00 | 0 |
| Total | **38** | **38** | **20** | **52.63** | **0** |

Source: SIEA

Table 20: Administrative capacities of SARIO[[82]](#footnote-82)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Position** | **Number as at 31.12.2010** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** | **Need to recruit**  **(plan for 2012)[[83]](#footnote-83)** |
| **A** | **B** | **C** | **D** | **E=D/C** | **F** |
| general manager | 1 | 1 | 1 | 100.00 | 0 |
| department head | 3 | 3 | 2 | 66.67 | 0 |
| head of sub-department | 1 | 1 | 2 | 200.00 | 0 |
| department/section assistant | 1 | 1 | 1 | 100.00 | 0 |
| programming manager | 0 | 0 | 0 | 100.00 | 0 |
| monitoring and evaluation manager | 1 | 2 | 0 | 0.00 | 0 |
| information & publicity manager | 1 | 1 | 0 | 0.00 | 0 |
| control manager | 3 | 8 | 1 | 12.50 | 0 |
| ITMS manager | 2 | 2 | 1 | 50.00 | 0 |
| financial manager | 3 | 4 | 6 | 150.00 | 0 |
| project manager | 7 | 11 | 8 | 72.73 | 0 |
| manager for TA | 2 | 2 | 2 | 100.00 | 0 |
| Other (lawyer, archivist, PP manager) | 1 | 2 | 2 | 100.00 | 0 |
| Total | **26** | **38** | **26** | **68.42** | **0** |

Source: SARIO

Table 21: Administrative capacities of the EU Structural Funds Section at the SIEA[[84]](#footnote-84)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Position** | **Number as at 31.12.2010** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** | **Need to recruit**  **(plan for 2012)[[85]](#footnote-85)** |
| **A** | **B** | **C** | **D** | **E=D/C** | **F** |
| general manager | 1 | 1 | 1 | 100.00 | 0 |
| department head | 3 | 3 | 1 | 33.33 | 0 |
| head of sub-department | 1 | 1 | 3 | 300.00 | 0 |
| department/section assistant | 1 | 1 | 0 | 0.00 | 0 |
| programming manager | 0 | 0 | 0 | 100.00 | 0 |
| monitoring and evaluation manager | 2 | 2 | 2 | 100.00 | 0 |
| information & publicity manager | 1 | 1 | 1 | 100.00 | 0 |
| control manager | 5 | 5 | 11 | 220.00 | 0 |
| ITMS manager | 1 | 1 | 0 | 0.00 | 0 |
| financial manager | 10 | 10 | 4 | 40.00 | 0 |
| project manager | 9 | 9 | 8 | 88.89 | 0 |
| manager for TA | 1 | 1 | 1 | 100.00 | 0 |
| Other (lawyer, archivist, PP manager) | 8 | 8 | 9 | 112.50 | 0 |
| Total | **43** | **43** | **41** | **95.35** | **0** |

Source: SIEA

Table 22: Administrative capacities of the STB[[86]](#footnote-86)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Position** | **Number as at 31.12.2010** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** | **Need to recruit**  **(plan for 2012)[[87]](#footnote-87)** |
| **A** | **B** | **C** | **D** | **E=D/C** | **F** |
| general manager | 1 | 1 | 1 | 100.00 | 0 |
| department head | 1 | 1 | 1 | 100.00 | 0 |
| head of sub-department | 4 | 5 | 3 | 60.00 | 0 |
| department/section assistant | 0 | 1 | 0 | 0.00 | 0 |
| programming manager | 0 | 0 | 0 | 100.00 | 0 |
| monitoring and evaluation manager | 3 | 3 | 4 | 133.33 | 0 |
| information & publicity manager | 1 | 1 | 1 | 100.00 | 0 |
| control manager | 5 | 5 | 4 | 80.00 | 0 |
| ITMS manager | 1 | 1 | 1 | 100.00 | 0 |
| financial manager | 3 | 4 | 3 | 75.00 | 0 |
| project manager | 7 | 8 | 7 | 87.50 | 0 |
| manager for TA | 1 | 2 | 2 | 100.00 | 0 |
| Other (lawyer, archivist, PP manager) | 3 | 3 | 4 | 133.33 | 0 |
| Total | **30** | **35** | **31** | **88.57** | **0** |

Source: STB

Table 23: Administrative capacities of the PU

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Position** | **Number as at 31.12.2010** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** | **Need to recruit**  **(plan for 2012)** |
| **A** | **B** | **C** | **D** | **E=D/C** | **F** |
| general manager[[88]](#footnote-88) | 1 | 1 | 1 | 100.00 | 0 |
| department head | 1 | 1 | 1 | 100.00 | 0 |
| head of sub-department | 1 | 1 | 1 | 100.00 | 0 |
| department/section assistant | 0 | 0 | 0 | 100.00 | 0 |
| financial verifier | 3 | 5 | 3 | 60.00 | 1 |
| financial manager | 3 | 5 | 3 | 60.00 | 1 |
| financial accountant | 3 | 4 | 3 | 75.00 | 0 |
| Total | **12** | **17** | **12** | **70.59** | **2** |

Source: PU

Table 24: Comparison with staff numbers in 2010

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Planned number as at 31.12.2010** | **Number as at 31.12.2010** | **% of the planned number** | **Planned number as at 31.12.2011** | **Number as at 31.12.2011** | **% of the planned number** |
| MA | 58 | 45 | 77.59 | 49 | 48 | 97.96 |
| IB | 165 | 138 | 83.64 | 154 | 118 | 76.62 |
| **PU** | **15** | **12** | **80.00** | **17** | **12** | **70.59** |
| **Total** | **238** | **195** | **81.93** | **220** | **178** | **80.91** |

Source: MA, IB, PU

Table 25: Staff turnover in administrative capacities

|  |  |  |
| --- | --- | --- |
| **Body** | **from 1.1.2011 to 31.12.2011** | **from 1.1.2011 to 31.12.2011** |
| **Number of staff departures / transfers within the state administration of EU funds** | **Number of recruits / transfers within the state administration of EU funds** |
| MA | 0 | 2 |
| IB | 21 | 13 |
| PU | 1 | 1/1 |
| Total | **22** | **16/1** |

Source: MA, IB, PU

The under-fulfilment of administrative capacities in 2011 largely related to the SR Minister of Economy’s decision to merge the IBs. During the course of 2011 no new staff were recruited to NADSME or SARIO and, following the establishment of the EU Structural Funds Section at SIEA, the internal structure of SIEA was changed. During the course of 2012 it is expected that the new structure for OP CEG implementation at the SIEA will be completed. Based on this, the data concerning staff turnover do not realistically reflect /staffing numbers / interest in working with EU SF at SIEA.

### *Training*

The training of staff of the MoE SR and IBs was conducted in accordance with the individual annual training plans for 2011, and which depended in large part on the current offer available from training organisations.

In the SR, training in the field of EU SF was performed primarily by the **CCA**, in connection to the issued SF and CF Management System, Financial Management System and experience from practice in the application of both systems. Other trainings organised by the CCA concerned the application of Act no. 528/2008 Coll. on assistance and support provided from European Union funds, the application of Act no. 25/2006 Coll. on public procurement, as well as practice in PP for the field of EU funds, the application of experience from audits in internal procedures in bodies implementing EU funds, from evaluation, monitoring and other fields.

Employees of the MoE SR also attended foreign trainings organised by the company Europäische Akademie für Steuern, Writschaft & Recht for the field of TA implementation and for the creation of methodology for monitoring revenue-generating projects.

In connection to the declared calls for grant applications, the IBs in cooperation with the FCICs organised free information seminars concerning the individual calls.

# *Implementation of OP CEG by priority axis*

This chapter assesses progress in the achievement of physical and financial indicators in each of the OP CEG priority axes, as well as problems encountered and their solution. Data concerning Priority Axis 4 Technical Assistance, with regard to its specific nature are given in the Chapter 5 Technical Assistance.

## Priority Axis 1Innovation and growth of competitiveness

### *Achievement of objectives and analysis of progress achieved*

Priority Axis 1 Innovation and growth of competitiveness is the basis of the OP CEG for the field of industry, innovation and other selected services. Individual areas are incorporated into 3 measures, where Measure 1.1 Innovation and technology transfers, for reason of its diversity and for better clarity is divided into 3 Sub-Measures.

#### *State of physical progress in Priority Axis 1*

Under Priority Axis 1 there were 3 calls declared in 2011, 2 of which were for demand-driven projects and 1for implementation of the JEREMIE Initiative, which was also closed in the same year. Demand-driven calls were declared in the framework of Sub-Measure 1.1.1 Support for introducing innovation and technology transfers, and their focus was on the creation of new jobs and promoting employment of MRCs through involvement of the OP CEG in LSCAs. Call KaHR 13SP-1001 was closed this year. This call was the only one in the framework of the OP CEG having the nature of a continuous call with two evaluation rounds.

Aggregate indicators for Priority Axis 1 are as follows:

* 17 calls and direct awards were declared[[89]](#footnote-89), 1 call of which, in the case of Sub-Measure 1.1.2 Support for job creation through business development, was joint with MoLSAF[[90]](#footnote-90);
* 1560 grant applications were submitted in a total requested grant amount of €1.12 billion;
* 529 grant applications were approved[[91]](#footnote-91) in an allocated to grant amount of €412.34 million;
* 448 projects were contracted in a contracted grant amount of €381.42 million;
* €194.38 million was drawn;
* 41 projects were extraordinarily terminated, primarily for the following reasons:
  + extraordinary circumstances that arose at the company (such as a major fall in the volume of orders mainly for the applicant’s products, brought about by a reduction or postponement of key customers’ investment objectives);
  + failure to conduct CCT within the contractual period, or within a period additionally set by the IB.

The following table lists the indicators divided into the level of (sub)measures, with the addition of other indicators of notice of the implementation of Priority Axis 1.

Table 26: Status of calls and written invitations under Priority Axis 1 in the period from 1 January 2011 to 31 December 2011

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Measure / Sub-Measure** | **Type of call** | **Call declared on** | **Closing date for receiving grant applications** *(planned closing date)* | **Funding allocation for the call (€)** |
| 1.1 – Innovation and technological transfers | written invitation | 24. 6. 2011 | 29. 6. 2011 | 31 764 706.00 |
| 1.1.1 – Support for introducing innovation and technology transfers | time-limited | 30. 9. 2011 | *29. 2. 2012* | 16 470 588.00 |
| time-limited | 16. 12. 2011 | *19. 3. 2012* | 44 000 000.00 |
| 1.1.2 – Support for job creation through business development | - | - | - |  |
| 1.1.3 – Support for participation in Slovak manufacturers at fairs, exhibitions, trade missions | - | - | - |  |
| 1.2 – Support for common services for entrepreneurs | - | - | - |  |
| 1.3 – Support for innovation activities at enterprises | continuous | 26. 4. 2010 | 26. 10. 2011 | 51 226 064.00 |
| **Total for Priority Axis 1** | | | | **143 461 358.00** |

Source: MA

Table 27: Monitoring of implementation of Priority Axis 1 as at 31 December 2011

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Measure** | **Number of grant applications received** | **Number of grant applications rejected** | **Number of grant applications approved** | **Number of contracted projects** | **Number of projects in implementation** | **Number of projects completed** | **Number of projects extraordinarily terminated** |
| 1.1 – Innovation and technological transfers | 1 318 | 837 | 477 | 416 | 155 | 252 | 37 |
| 1.2 – Support for common services for entrepreneurs | 28 | 16 | 11 | 11 | 10 | 0 | 0 |
| 1.3 – Support for innovation activities at enterprises | 214 | 54 | 41 | 21 | 21 | 0 | 4 |
| **Total** | **1 560** | **907** | **529** | **448** | **186** | **252** | **41** |
| **Financial quantification of ERDF and SB in €** | | | | | | |  |
| **Measure** | **Grant amount of requested** | **Requested grant amount not approved** | **Grant amount approved** | **Funds contracted** | **Amount of funds recovered[[92]](#footnote-92)** | **Financial amount of projects completed[[93]](#footnote-93)** | **Financial amount of contracted extraordinarily terminated projects (grant)** |
| 1.1 – Innovation and technological transfers | 715 525 421.93 | 352 923 914.98 | 261 969 484.67 | 248 566 315.01 | 484 015.42 | 75 739 953.52 | 8 091 226.92 |
| 1.2 – Support for common services for entrepreneurs | 197 306 489.50 | 102 281 198.77 | 84 976 162.92 | 83 636 271.49 | 53.75 | 0.00 | 0.00 |
| 1.3 – Support for innovation activities at enterprises | 206 387 740.14 | 46 815 728.32 | 65 398 186.28 | 49 218 122.42 | 0.00 | 0.00 | 3 553 568.03 |
| **Total** | **1 119 219 651.57** | **502 020 842.07** | **412 343 833.87** | **381 420 708.92** | **484 069.17** | **75 739 953.52** | **11 644 794.95** |

Source: ITMS, IB

Table 28: Fulfilment of physical indicators of Priority Axis 1 as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indicators** |  | **2007** | **2008** | **2009** | **2010** | **2011** | **2012** | **2013** | **2014** | **2015** | **Total** | **Plan from contracts** |
| **Indicator 1**: *Number of new jobs created (R) (number)* | Result achieved | 0 | 0 | 0 | 251 | 757 | N/A | N/A | N/A | N/A | 757 | 5895 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 9 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 2:** Number of newly created jobs filled by men *(R) (number)* | Result achieved | 0 | 0 | 0 | 72 | 504 | N/A | N/A | N/A | N/A | 504 | Note[[94]](#footnote-94) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 7 000 | N/A | N/A | N/A |
| Baseline | 0 | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 3:** Number of newly created jobs filled by women *(R) (number)* | Result achieved | 0 | 0 | 0 | 34 | 253 | N/A | N/A | N/A | N/A | 253 | Note[[95]](#footnote-95) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 2 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 4:** Number of jobs created for disadvantaged groups as a result of the project implementation *(R) (number)* | Result achieved | 0 | 0 | 0 | 13 | 50 | N/A | N/A | N/A | N/A | 50 | 334 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 80 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 5:** Number of projects supported *(O)* | Result achieved | 0 | 0 | 239 | 424 | 448 | N/A | N/A | N/A | N/A | 448 | 448 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 100 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 6:** Number of supported projects for helping small and medium-sized enterprises *(O)* | Result achieved | 0 | 0 | 217 | 378 | 419 | N/A | N/A | N/A | N/A | 419 | 419 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 7:** Number of new jobs created in SMEs as a result of implementation of supported projects *(R)* | Result achieved | 0 | 0 | 0 | 251 | 700 | N/A | N/A | N/A | N/A | 700 | 5 576 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 6 700 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 8:** Number of new jobs created in SMEs as a result of implementation of supported projects (men) *(R)* | Result achieved[[96]](#footnote-96) | 0 | 0 | N/A | N/A | 470 | N/A | N/A | N/A | N/A | 470 | Note[[97]](#footnote-97) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 5 700 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 9:** Number of new jobs created in SMEs as a result of implementation of supported projects (women) *(R)* | Result achieved[[98]](#footnote-98) | 0 | 0 | N/A | N/A | 230 | N/A | N/A | N/A | N/A | 230 | Note[[99]](#footnote-99) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 000 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 10:** Private investment in projects being implemented *(R)* | Result achieved | 0 | 0 | 84.3 | 256.6 | 109.8[[100]](#footnote-100) | N/A | N/A | N/A | N/A | 109.8 | 277.7 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 220 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 11:** *Increase in value added at supported businesses (D) (%)* | Result achieved | 0 | 0 | 0 | 0 | 104.58 | N/A | N/A | N/A | N/A | 104.58 | 104.58 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 105.8 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 12:** *Growth in earnings (R)*  *(%)* | Result achieved | 0 | 0 | 75.8 | 130.9 | 120.36 | N/A | N/A | N/A | N/A | 120.36 | 120.36 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 109.6 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 13:** Number of supported projects promoting research, development & innovation *(O)* | Result achieved[[101]](#footnote-101) | 0 | 0 | 0 | 18 | 16 | N/A | N/A | N/A | N/A | 16 | 16 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 300 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 14:** Number of new businesses supported *(O)* | Result achieved[[102]](#footnote-102) | 0 | 0 | 106 | 96 | 89 | N/A | N/A | N/A | N/A | 89 | 89 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 250 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: ITMS, SO SR

Comment on the fulfilment of indicators at the Priority Axis 1 level:

Last year’s increase in the production efficiency of supported companies led to increased earnings and represents 20.4% nominal growth against the baseline, as the direct result of innovated production processes. In the preceding year the growth in earnings represented 30.9%, though this value could have been influenced by the greater sensitivity to extreme values regarding the low number of projects completed. In total 757 new jobs were created, whilst the forecast total creation for all contracted projects is up to 5895 new jobs, which with regard to the contracted grant balance provides the conditions for meetings of the OP CEG objective in the planned scope of creating 9000 new jobs. Under Measure 1.3 Support for innovation activities enterprises, it is planned to create, on the basis of concluded grant contracts, 73 new research & development jobs. Given the importance of technological innovation for competitiveness growth, the creation of new jobs is also important, and is one of the objectives of the Europe 2020 strategy. This has been reflected in terms of the motivation conditions in the framework of the selection criteria of calls in 2011, with selection subject to the subsequent sustainability of projects, which besides delivering technological innovation, must also ensure adequate job creation.

In the number of supported SMEs in 2011 progress was achieved at the level of 41 supported projects, with in total 419 projects being supported. A substantial increase in the number of supported SMEs (pursuant to the approved investment strategy 300 – 500 SMEs) is expected in the framework of the JEREMIE Initiative guarantee scheme for supporting investment loans.

Under Measure 1.2 Support for common services for entrepreneurs has so far supported 11 brownfield industrial parks, which are currently in implementation; it is not planned to support the construction of further industrial parks in the current programming period, until the available capacities at already-built industrial parks are fully used.

Up to 2011 there had been supported 16 projects for promoting exclusively basic and applied research & development; in the framework of the last call KaHR-13SP-1001 2011, 15 grant applications have already been approved in the first round. In consequence of extraordinarily terminated projects the number of supported new enterprises in the framework of Sub-Measure 1.1.2 Support for job creation through business development fell to 89 enterprises. Further support for new businesses is expected in the framework of support through the selected financial engineering project under the JEREMIE Initiative.

The possibility of complementarity on the basis of Article 34 of Council Regulation (EC) no. 1083/2006 under this priority axis was not used.

#### *Financial Implementation of Priority Axis 1*

Drawing of contracted funds under Priority Axis 1 Innovation and growth of competitiveness as at 31 December 2011 for EU + SB funds totalled €194.38 million, representing 38.12% of the allocation for Priority Axis 1. Drawing in 2011 has been the highest to date under this Priority Axis, totalling €104.17 million.

In proportion to the total allocation for OP CEG for this priority axis, so far 21.44% has been drawn.

Table 29: Drawing of funds under Priority Axis 1 for OP CEG as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Priority Axis 1Innovation and growth of competitiveness** | **Commitment  2007-2013  for EU funds**  **(€)** | **Fund drawing in 2011**  **(EU funds) (€)** | | **Cumulative drawing of funds as at 31.12.2011**  **(EU funds) (€)** | |
| **Total** | **% of 2007 -2013 commit-ment** | **Total** | **% of 2007 – 2013 commit-ment** |
| **437 320 000** | **89 014 637.09** | **20.35%** | **166 261 128.29** | **38.02%** |
| Measure 1.1 – Innovation and technological transfers | 236 099 986 | 77 167 095.56 | 32.68% | 110 108 270.11 | 46.64% |
| Measure 1.2 – Support for common services for entrepreneurs | 108 080 014 | 11 027 451.35 | 10.20% | 25 332 768.00 | 23.44% |
| Measure 1.3 – Support for innovation activities at enterprises | 93 140 000 | 820 090.18 | 0.88% | 30 820 090.18 | 33.09% |

Source: CA

Cross-financing pursuant to Article 34(2) of Council Regulation (EC) no. 1083/2006 under both Priority Axis 1 and the whole OP CEG, was not used.

### *Serious problems associated with the implementation of Priority Axis 1 and measures taken to overcome them*

Both the MA and IB consider one problem in relation to the Priority Axis 1 Innovation and growth of competitiveness to be the relatively high number of rejected grant applications and projects in the process of formal control and expert evaluation. This problem was partially eliminated by the IB through the publication, in call evaluation reports, of the most common errors in grant applications and projects. These, as well as other measures taken by the MA and IBs for reducing the error rate are listed in Chapter 2.1.1.2 State of calls and written invitations since the start of the programming period.

In the framework of Priority Axis 1 applications for review of the decision to not approve their grant application were lodged by unsuccessful applicants in accordance with § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget. A total of 35 applicants exercised this option in 2011, of which 33 were rejected, because they were not lodged against the assessment of the fulfilment of the selection criteria pursuant to § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget, as amended, 1 application for review of the decision not to approve a grant application was rejected as unsubstantiated and 1 application was satisfied, having been found to be justified. Further information concerning the lodged applications for review of the decision not to approve a grant application is given in Chapter 2.1.1.2 State of calls and written invitations since the start of the programming period.

The MA did not evaluate any serious problem for 2011 that would have been associated exclusively with the implementation of Priority Axis 1.

Table 30: Monitoring of the number of measures taken in response to identified problems

|  |  |  |
| --- | --- | --- |
| **Problems identified** | **Measures taken** | **Number of measures taken** |
| - |  | - |

Source: MA

### *Qualitative analysis of the results achieved at the level of Priority Axis 1*

The results achieved in the framework of Priority Axis 1 are detailed in the analysis of indicators achieved below table 28. The MA considers fund drawing of 38.12% to be appropriate in terms of the period evaluated. A deeper analysis of the results achieved and of the fulfilment of the objectives of this Priority Axis as well as of the OP will be provided by a strategic evaluation, which is planned for 2012.

## Priority Axis 2 – Energy

### *Achievement of objectives and analysis of progress achieved*

Priority 2 Energy is focused specifically on the promotion of energy efficiency, advanced technologies and on the building and upgrading of public lighting for municipalities. This priority axis creates the conditions for the implementation of demand-driven projects and the national project, focused on supporting consultancy on the possibilities for energy savings for the broad business community and public as regards raising awareness about energy efficiency.

#### *State of physical progress in Priority Axis 2*

No call was declared or closed in 2011 in the framework of Priority Axis 2.

Aggregate indicators for Priority Axis 2 are as follows:

* 6 calls were declared for demand-driven projects, with 1 direct invitation issued to an applicant to submit a national project;
* 1 212 grant applications were submitted in a total requested grant amount of €447.39 million;
* 219 grant applications were approved[[103]](#footnote-103) in an allocated to grant amount of €157.79 million;
* 212 projects were contracted in a contracted grant amount of €141.99 million;
* €52.77 million was drawn;
* 4 projects were extraordinarily terminated, primarily for the following reasons:
* change in ownership relations,
* decision of the beneficiary not to implement the project, based on a review of the altered input parameters of the project and subsequent efficiency and return on the investment,
* time constraints of implementing the project.

The following table lists the indicators divided into the level of measures, with the addition of other indicators of notice of the implementation of Priority Axis 2.

Table 31: Status of calls and written invitations under Priority Axis 2 in the period from 1 January 2011 to 31 December 2011

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Measure** | **Type of call** | **Call declared on** | **Closing date for receiving grant applications** *(planned closing date)* | **Funding allocation for the call (€)** |
| 2.1 – Increasing energy efficiency both on the side of generation and consumption; and introducing advanced technologies in the energy sector | - | - | - | - |
| 2.2 – Building and upgrading of public lighting for towns and municipalities and provision of energy consultancy services | - | - | - | - |
| **Total for Priority Axis 2** | | | | **-** |

Source: MA

Table 32: Monitoring of implementation of Priority Axis 2 as at 31 December 2011

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Measure** | **Number of grant applications received** | **Number of grant applications rejected** | **Number of grant applications approved** | **Number of contracted projects** | **Number of projects in implementation** | **Number of projects completed** | **Number of projects extraordinarily terminated** |
| 2.1 – Increasing energy efficiency both on the side of generation and consumption; and introducing advanced technologies in the energy sector | 257 | 152 | 101 | 94 | 51 | 34 | 4 |
| 2.2 – Building and upgrading of public lighting for towns and municipalities and provision of energy consultancy services | 955 | 405 | 118 | 118 | 73 | 17 | 0 |
| **Total** | **1 212** | **557** | **219** | **212** | **124** | **51** | **4** |
| **Financial quantification of ERDF and SB in €** | | | | | | |  |
| **Measure** | **Grant amount of requested** | **Requested grant amount not approved** | **Grant amount approved** | **Funds contracted** | **Amount of funds recovered[[104]](#footnote-104)** | **Financial amount of projects completed[[105]](#footnote-105)** | **Financial amount of contracted extraordinarily terminated projects (grant)** |
| 2.1 – Increasing energy efficiency both on the side of generation and consumption; and introducing advanced technologies in the energy sector | 271 053 591.19 | 143 397 759.68 | 123 803 581.49 | 112 025 358.69 | 0.00 | 34 348 485.31 | 2 728 978.37 |
| 2.2 – Building and upgrading of public lighting for towns and municipalities and provision of energy consultancy services | 176 331 697.09 | 65 542 687.89 | 33 982 018.52 | 29 967 139.82 | 3 553 803.91 | 2 518 150.03 | 0 |
| **Total** | **447 385 288.28** | **208 940 447.57** | **157 785 600.01** | **141 992 498.51** | **3 553 803.91** | **36 866 635.34** | **2 728 978.37** |

Source: ITMS, IB

Table 33: State of implementation of the national project as at 31 December 2011

|  |  |
| --- | --- |
| ***Project title:*** | Promotion of awareness and consultancy in the efficient use of energy and renewables, including raising public awareness |
| ***Measure:*** | 2.2 – Building and upgrading of public lighting for towns and municipalities and provision of energy consultancy services |
| Beneficiary: | Slovak Innovation and Energy Agency – Department of Communication |
| Budget in €: | 7 346 196.09 |
| Project implementation period: | 10/2008 – 12/2015 |
| Basis for national / regional policy: | Contribution to fulfilling the strategic objectives of the National Sustainable Development Strategy, in these objectives: “Reduction in energy and resource intensity and increase in the efficiency of the SR economy” and “Reduction in the share of the use of non-renewables in the rational use of renewable energy resources” |
| Project objective: | To promote consultancy on energy saving opportunities for the business community and public as regards raising awareness about energy efficiency |
| Implemented activities: | Printed promotional and editorial activities  Free advice in the external environment  Market research  Website:  Promotion of project  Free advice in the internal environment |
| Financial implementation / drawing in € for the reporting period: | 1 172 659.83 |
| Financial implementation/drawing in € cumulatively from the beginning of the programming period: | 1 334 866.91 |
| Fulfilment of indicators for the reporting period: | In 2011 a total of 20 public information events on the use of renewables and energy savings were conducted, out of a planned number of 70 events up to 2015, and 19 information/professional publications were made, out of a planned number of 10 publications up to 2015. Impact indicators will be monitored following the completion of the project’s implementation. |
| Fulfilment of indicators cumulatively from the beginning of the programming period: | Since the beginning of the project a total number of 34 public information events on the use of renewables and energy savings have been conducted, out of a planned number of 70 up to 2015, and 32 information/professional publications have been made, out of a planned number of 10 publications up to 2015. Impact indicators will be monitored following the completion of the project’s implementation. |

Source: MA

The state of implementation of the national project promotion of awareness and consultancy in the efficient use of energy and use of renewables, including raising public awareness as at the end of the reporting period did not exceed 18% on average for the activities implemented, therefore the impact of the activities implemented cannot be realistically assessed at this stage. With regard to the state of individual activities, it can be said that the greatest progress has been recorded in the case of activities project promotion (31.31%) and market research (30.79%).

Table 34: Fulfilment of physical indicators of Priority Axis 2 as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indicators** |  | **2007** | **2008** | **2009** | **2010** | **2011** | **2012** | **2013** | **2014** | **2015** | **Total** | **Plan from contracts** |
| **Indicator 1:** *Number of new jobs created (R) (number)* | Result achieved | 0 | 0 | 0 | 8 | 40 | N/A | N/A | N/A | N/A | 40 | 168 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 400 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 2:** Number of newly created jobs filled by men *(R) (number)* | Result achieved | 0 | 0 | 0 | 5 | 26 | N/A | N/A | N/A | N/A | 26 | Note[[106]](#footnote-106) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 350 | N/A | N/A | N/A |
| Baseline | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 3:** Number of newly created jobs filled by women *(R) (number)* | Result achieved | 0 | 0 | 0 | 3 | 14 | N/A | N/A | N/A | N/A | 14 | Note[[107]](#footnote-107) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 50 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 4:** Number of jobs created for disadvantaged groups as a result of the project implementation *(R) (number)* | Result achieved | 0 | 0 | 0 | 0 | 4 | N/A | N/A | N/A | N/A | 4 | 15 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 20 | N/A | N/A | N/A |
| Baseline | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 5:** Number of projects supported *(O)* | Result achieved | 0 | 0 | 42 | 178 | 212 | N/A | N/A | N/A | N/A | 212 | 212 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 200 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 6:** Number of supported projects for helping small and medium-sized enterprises *(O)* | Result achieved | 0 | 0 | 39 | 58 | 89 | N/A | N/A | N/A | N/A | 89 | 89 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 180 | N/A | N/A | N/A |
| Baseline | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 7:** Number of new jobs created in SMEs as a result of implementation of supported projects *(R)* | Result achieved | 0 | 0 | 0 | 8 | 40 | N/A | N/A | N/A | N/A | 40 | 131 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 360 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 8:** Number of new jobs created in SMEs as a result of implementation of supported projects (men) *(R)* | Result achieved | 0 | 0 | 0 | 5 | 26 | N/A | N/A | N/A | N/A | 26 | Note[[108]](#footnote-108) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 315 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 9:** Number of new jobs created in SMEs as a result of implementation of supported projects (women) *(R)* | Result achieved | 0 | 0 | 0 | 3 | 14 | N/A | N/A | N/A | N/A | 14 | Note[[109]](#footnote-109) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 45 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 10:** Private investment in projects being implemented *(O)* | Result achieved | 0 | 0 | 80.2 | 79.6 | 41.9[[110]](#footnote-110) | N/A | N/A | N/A | N/A | 41.9 | 132.8 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 75 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 11:** *Increase in value added (I)(%)* | Result achieved | 0 | 0 | 0 | 0 | 81.91 | N/A | N/A | N/A | N/A | 81.91 | 81.91 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 105.8 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 12:** *Growth in earnings (I)*  *(%)* | Result achieved | 0 | 0 | 74.5 | 134.9 | 105.62 | N/A | N/A | N/A | N/A | 105.62 | 105.62 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 109.6 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 13:** Number of supported projects focused on renewable energy (O) | Result achieved | 0 | 0 | 1 | 38 | 65 | N/A | N/A | N/A | N/A | 65 | 65 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 110 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 14:** Increase in capacity for power generation from renewables *(R)* (MW) | Result achieved | 0 | 0 | 4.55 | 33.9 | 41.04 | N/A | N/A | N/A | N/A | 41.04 | 104.28 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 75 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 15:** Energy savings achieved through implementation of supported projects *(I)* (TJ/year) | Result achieved | 0 | 0 | 0 | 233 | 7.82[[111]](#footnote-111) | N/A | N/A | N/A | N/A | 7.82 | 750.13 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 800 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: ITMS

Comment on the fulfilment of indicators at the Priority Axis 2 level:

A total of 212 projects were supported; a change against 2010 was seen in a growth in the number of supported projects in the framework of Measure 2.1 Increasing energy efficiency both on the side of generation and consumption and introducing advanced technologies in the energy sector. Over the course of 2011 no public sector project was contracted.

In the framework of the priority axis of the installed capacity of facilities using renewables was increased by 41.04 MW, representing, in comparison with the preceding period, a growth of 7.14 MW. Installation of the planned capacities will fulfil the Priority Axis 2 commitment. In total 47 442.8 m² of exterior walling of factory buildings and premises has been insulated, which, together with other eligible projects, will contribute to the planned energy savings in the amount of 750 132 GJ.

Supported companies that insulated the exterior walls of factory buildings, exchanged their heating distribution systems or achieved through the optimisation and regulation of heat supply energy savings in 2011 of 7.28 TJ. This heat saving provided the supported companies the opportunity to reinvest the funds thereby created directly back into production instead of on payments for heat supply. With regard to the impact nature of the indicator, the increase in savings will be reflected in the following period.

New installed capacity operating on a renewables basis provided for the supported companies an increase in earnings of 5.62% against the baseline. With regard to the nature of projects supported in the framework of Priority Axis 2, the energy savings and growth in capacity for energy production from renewables represent the main indicators; therefore the creation of new jobs has no significant impact on the fulfilment of Priority Axis 2 objectives.

The possibility of complementarity on the basis of Article 34 of Council Regulation (EC) no. 1083/2006 under this priority axis was not used.

#### *Financial implementation of Priority Axis 2*

Drawing of contracted funds under Priority Axis 2 energy as at 31 December 2011 for EU + SB funds totalled €52.77 million, representing 26.94% of the allocation for Priority Axis 2. Drawing in 2011 has been the highest to date under this Priority Axis, totalling €30.89 million.

In proportion to the total allocation for OP CEG for this priority axis, so far 5.82% has been drawn.

Table 35: Drawing of funds under Priority Axis 2 as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Priority Axis 2 – Energy** | **Commitment  2007-2013  for EU funds**  **(€)** | **Fund drawing in 2011**  **(EU funds) (€)** | | **Cumulative drawing of funds as at 31.12.2011**  **(EU funds) (€)** | |
| **Total** | **% of commitment 2007 -2013** | **Total** | **% of 2007 – 2013 commitment** |
| **168 836 400** | **26 501 450.85** | **15.70%** | **45 101 669.65** | **26.71%** |
| Measure 2.1 – Increasing energy efficiency both on the side of generation and consumption and introducing advanced technologies in the energy sector | 122 516 387 | 20 593 237.45 | 16.81% | 39 055 580.25 | 31.88% |
| Measure 2.2 – Building and upgrading of public lighting for towns and municipalities and provision of energy consultancy services | 46 320 013 | 5 908 213.40 | 12.76% | 6 046 089.40 | 13.05% |

Source: CA

Cross-financing pursuant to Article 34(2) of Council Regulation (EC) no. 1083/2006 under both Priority Axis 2 and the whole OP CEG, was not used.

### *Serious problems associated with the implementation of Priority Axis 2 of the OP CEG and measures taken to overcome them*

Both the MA and IB consider one problem in relation to the Priority Axis 2 to be the relatively high number of rejected grant applications and projects in the process of formal control and expert evaluation. This problem was partially eliminated by the IB through the publication, in call evaluation reports, of the most common errors in grant applications and projects. These, as well as other measures taken by the MA and IB for reducing the error rate are listed in Chapter 2.1.1.2 State of calls and written invitations since the start of the programming period.

In the framework of Priority Axis 2 applications for review of the decision to not approve their grant application were lodged by unsuccessful applicants in accordance with § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget. A total of 24 applicants exercised this option in 2011, all of which were rejected, because they were not lodged against the assessment of the fulfilment of the selection criteria pursuant to § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget, as amended. Further information concerning the lodged applications for review of the decision not to approve a grant application is given in Chapter 2.1.1.2 State of calls and written invitations since the start of the programming period.

*Table 36: Monitoring of the number of measures taken in response to identified problems*

|  |  |  |
| --- | --- | --- |
| **Problems identified** | **Measures taken** | **Number of measures taken** |
| Repeated submission of PP by beneficiaries in the framework of Measure 2.2 Building and upgrading public lighting for towns and municipalities and provision of energy consultancy services that were not in accordance with Act no. 25/2006 Coll. on public procurement and on the amendment of certain acts, or with requirements under the grant contract. | The IB held a working meeting with beneficiaries which did not have PP completed. The meeting was attended by IB staff involved in checking PP. The aim of the meeting was to familiarise the beneficiaries present with the most frequently occurring deficiencies in submitted PP, and, on the basis of consultation, ensure their elimination. | A repetition of PP was being conducted by beneficiaries as at 31 December 2011. |

Source: MA

### *Qualitative analysis of the results obtained at the level of Priority Axis 2*

The results achieved in the framework of Priority Axis 2 are detailed in the analysis of indicators achieved below tables 33 and 34. The MA considers fund drawing of 26.94% to be low, this being particularly due to the problems with PP for Measure 2.2, as listed in table 36.A deeper analysis of the results achieved and of the fulfilment of the objectives of this Priority Axis as well as of the OP will be provided by a strategic evaluation, which is planned for 2012.

## Priority 3 – Tourism

### *Achievement of objectives and analysis of progress achieved*

Priority Axis 3 Tourism is focused specifically on the field of tourism. This priority axis creates the conditions for the implementation of demand-driven projects and the national project, focused on supporting the promotion of Slovak tourism both domestic and foreign, with an indirect focus on small and medium enterprises in the case of their presentation in the domestic and foreign market and on image creation in the tourism business.

#### *State of physical progress in Priority Axis 3*

Under Priority Axis 3 a balance of funds arose due to extraordinarily terminated projects and projects that were duly completed, but which had not yet drawn the whole allocated grant. Based on this, a call was declared under Measure 3.1 – support for business activities in tourism, focused on new job creation. The call was still open at the end of the year.

Aggregate indicators for Priority Axis 3 are as follows:

* 4 calls were declared for demand-driven projects, with 1 direct invitation issued to an applicant to submit a national project;
* 581 grant applications were submitted in a total requested grant amount of €849.11 million;
* 105 grant applications were approved[[112]](#footnote-112) in an allocated to grant amount of €171.58 million;
* 86 projects were contracted in a contracted grant amount of €135.12 million;
* €85.22 million was drawn;
* 15 projects were extraordinarily terminated, primarily for the following reasons:
* fundamental breach of grant contracts,
* beneficiaries’ financial problems,
* technical reasons,
* time constraints of implementing the project.

The following table lists the indicators divided into the level of measures, with the addition of other indicators of notice of the implementation of Priority Axis 3.

Table 37: Status of calls and written invitations under Priority Axis 3 in the period from 1 January 2011 to 31 December 2011

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Measure** | **Type of call** | **Call declared on** | **Closing date for receiving grant applications** *(planned closing date)* | **Funding allocation for the call (€)** |
| 3.1 – Support for business activities in tourism | Time-limited | 16. 12. 2011 | 18. 7. 2012 | 36 000 000.00 |
| 3.2 – Development of tourist information services, presentation of regions and Slovakia | - | - | - | - |
| **Total for Priority Axis 3** | | | | **36 000 000.00** |

Source: MA

Table 38: Monitoring of implementation of Priority Axis 3 as at 31 December 2011

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Measure** | **Number of grant applications received** | **Number of grant applications rejected** | **Number of grant applications approved** | **Number of contracted projects** | **Number of projects in implementation** | **Number of projects completed** | **Number of projects extraordinarily terminated** |
| 3.1 – Support for business activities in tourism | 580 | 476 | 104 | 85 | 57 | 28 | 15 |
| 3.2 – Development of tourist information services, presentation of regions and Slovakia | 1 | 0 | 1 | 1 | 1 | 0 | 0 |
| **Total** | **581** | **476** | **105** | **86** | **58** | **28** | **15** |
| **Financial quantification of ERDF and SB in €** | | | | | | |  |
| **Measure** | **Grant amount of requested** | **Requested grant amount not approved** | **Grant amount approved** | **Funds contracted** | **Amount of funds recovered[[113]](#footnote-113)** | **Financial amount of projects completed[[114]](#footnote-114)** | **Financial amount of contracted extraordinarily terminated projects (grant)** |
| 3.1 – Support for business activities in tourism | 821 865 943.75 | 677 534 272.40 | 144 331 671.35 | 107 872 143.20 | 531 147.13 | 29 325 652.00 | 33 463 079.84 |
| 3.2 – Development of tourist information services, presentation of regions and Slovakia | 27 247 078.00 | 0.00 | 27 247 078.00 | 27 247 078.00 | 0.00 | 0.00 | 0.00 |
| **Total** | **849 113 021.75** | **677 534 272.40** | **171 578 749.35** | **135 119 221.20** | **531 147.13** | **29 325 652.00** | **33 463 079.84** |

Source: ITMS, IB

Table 39: State of implementation of the national project as at 31 December 2011

|  |  |
| --- | --- |
| Project title: | Support for promotion of Slovakia as a tourist destination |
| Measure: | 3.2 – Development of tourist information services, presentation of regions and Slovakia |
| Beneficiary: | STB – Tourism Marketing Section, Financing Section |
| Grant budget (€): | 27 247 078.00 € |
| Project implementation period: | December 2008 – present |
| Basis for national / regional policy: | The project has been prepared in accordance with the National Strategy for Regional Development in the field of tourism and in accordance with the New Tourism Development Strategy of the Slovak Republic to 2013. |
| Project objective: | Support for the promotion of the Slovak tourism both domestic and foreign, with an indirect focus on small and medium-sized enterprises in the case of their presentation in the domestic and foreign market and on image creation in the tourism business. |
| Implemented activities: | Strategies, research and analyses  Printing, graphics and audio-visual& multimedia creation  Media and advertising campaign  Promotional – presentational aids and items  Management of marketing events  Infotrips  International cooperation  NUTIS |
| Financial implementation / drawing in € for the reporting period: | 4 340 999.07 € |
| Financial implementation/drawing in € cumulatively from the beginning of the programming period: | 9 589 575.72 € |
| Fulfilment of indicators for the period monitored: | In 2011 there have been 41 presentations of tourism made at international and domestic fairs, out of 100 planned by the end of the project implementation.  In 2011, three publications were issued, out of a total of 20 planned by the end of the project implementation. |
| Fulfilment of indicators from the start of the programming period | Since the start of the programming period, 95 presentations of tourism have been made at international and domestic fairs, out of a total of 100 planned by the end of the project’s implementation.  5 publications have also been issued, out of a total of 20 planned by the end of the project’s implementation.  The number of visitors to the NUTIS system will be monitored following the end of the project’s implementation. |

Source: MA

The state of implementation of the national project support for promotion of Slovakia as a tourist destination reached 35.19% in the reporting period as at 31 December 2011 in total for all project activities. With regard to the state of the individual activities, it may be said that the highest state of implementation is found in the activity “Strategies, Research and Analyses” (approximately 80%), while the lowest state of implementation is found in the activity “Promotional – Presentational Aids and Items” (approximately 10%).

Through intensifying marketing and promotional activity by means of presentations at trade fairs and tourism exhibitions, by distributing information and professional publications on tourism, by increasing the number of marketing activities at home and abroad, Slovakia’s attractiveness as a holiday destination will be improved and thereby strengthen the standing of the tourism sector in the national economy, despite the continuing crisis, which is having a marked negative impact worldwide on all economic sectors, including tourism.

Overall it may be said that the implementation of the national project support for the promotion of Slovakia as a tourist destination and its individual activities is proceeding in accordance with the project’s timeframe and objectives.

Table 40: Implementation of physical indicators of Priority Axis 3 as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indicators** |  | **2007** | **2008** | **2009** | **2010** | **2011** | **2012** | **2013** | **2014** | **2015** | **Total** | **Schedule contracts** |
| **Indicator 1:** *Number of new jobs created (R) (number)* | Result achieved | 0 | 0 | 19 | 143 | 340 | N/A | N/A | N/A | N/A | 340 | 900 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 3 600 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 2:** Number of newly created jobs filled by men *(R) (number)* | Result achieved | 0 | 0 | 9 | 64 | 141 | N/A | N/A | N/A | N/A | 141 | Note[[115]](#footnote-115) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 650 | N/A | N/A | N/A |
| Baseline | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 3:** Number of newly created jobs filled by women *(R) (number)* | Result achieved | 0 | 0 | 10 | 79 | 199 | N/A | N/A | N/A | N/A | 199 | Note[[116]](#footnote-116) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 950 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 4:** Number of jobs created for disadvantaged groups as a result of the project implementation *(R) (number)* | Result achieved | 0 | 0 | 0 | 0 | 8 | N/A | N/A | N/A | N/A | 8 | 15 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 50 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 5:** Number of projects supported *(O)* | Result achieved | 0 | 0 | 51 | 92 | 86 | N/A | N/A | N/A | N/A | 86 | 86 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 200 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 6:** Number of supported projects for helping small and medium-sized enterprises *(O)* | Result achieved | 0 | 0 | 50 | 91 | 86 | N/A | N/A | N/A | N/A | 86 | 86 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 200 | N/A | N/A | N/A |
| Baseline | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 7:** Number of new jobs created in SMEs as a result of implementation of supported projects *(R)* | Result achieved | 0 | 0 | 19 | 143 | 340 | N/A | N/A | N/A | N/A | 340 | 900 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 3 600 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 8:** Number of new jobs created in SMEs as a result of implementation of supported projects (men) *(R)* | Result achieved | 0 | 0 | 9 | 63 | 141 | N/A | N/A | N/A | N/A | 141 | Note[[117]](#footnote-117) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 650 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 9:** Number of new jobs created in SMEs as a result of implementation of supported projects (women) *(R)* | Result achieved | 0 | 0 | 10 | 79 | 199 | N/A | N/A | N/A | N/A | 199 | Note[[118]](#footnote-118) |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 950 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 10:** Private investment in projects being implemented *(R) (€ million)* | Result achieved | 0 | 0 | 129.3 | 142.0 | 77.0[[119]](#footnote-119) | N/A | N/A | N/A | N/A | 77.0 | 117.6 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 75 | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 11:** *Increase in value added (I)(%)* | Result achieved | 0 | 0 | 0 | 0 | 105.71 | N/A | N/A | N/A | N/A | 105.71 | 105.71 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 105.8 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 12:** *Growth in earnings (I)*  *(%)* | Result achieved | 0 | 0 | 0 | 0 | 115.41 | N/A | N/A | N/A | N/A | 115.41 | 115.41 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 109.6 | N/A | N/A | N/A |
| Baseline | 100.0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: ITMS

Comment on the fulfilment of indicators at the Priority Axis 3 level:

Within the allocation intended for tourism activities, support was given to 85 small and medium-sized enterprises and the national project, which in comparison with 2010 represents a fall of 5 projects, which were extraordinarily terminated. In the framework of the supported tourism projects there were created 340 new jobs, of which 141 were filled by men and 199 by women, where, with regard to the eligibility conditions for aid beneficiaries in the framework of the aid schemes, all the jobs were created at SMEs. As part of the projects being implemented 5 new jobs were created for disadvantaged groups.

Currently contracted projects envisage the creation of 900 new jobs, which, with regard to the level of the contracted grant, means that the OP CEG objective of creating 3600 new jobs will likely not be met. The forecast fulfilment of the indicator newly-created jobs (900) thus represents 25% alongside current contracting of 74% (€107.87 million) of the allocation for Measure 3.1 Support for business activities in tourism. This is based on the assumption that an average sum of €40 366 corresponds to each newly-created job. This assumption, however, with regard to the capital intensity of projects, whether they consist in upgrading and reconstruction of existing facilities or the construction of new facilities and tourism components, and also for reason of rationalisation and optimisation of operating and wage costs after 2009, will not be met, also for reason that in the reconstruction of buildings it is often not necessary to recruit new employees, since the essence of the project lies in raising the quality of services provided, which may be reflected both in greater interest from more demanding clientele, as well as in a growth in wages of the original staff. In the framework of the completed projects (28) so far on average 12 new jobs per project have been created. In order to favour those projects that declare a higher and sustainable contribution to new job creation a corresponding criterion was added to the selection process for call KaHR-31SP-1101.

In the framework of projects completed or still in implementation, €77 million has been reinvested from beneficiaries’ private funds, in the form of project co-financing.

Impact indicators are monitored a year after completion of project implementation, for which reason the benefit of the supported projects is evaluated only for 11 projects for which there arose the obligation to submit a follow-up monitoring report by the end of 2011. From the data from these monitoring reports it may be said that the monitored group of beneficiaries reported average nominal growth in earnings and added value in comparison with 2007.

The possibility of complementarity on the basis of Article 34 of Council Regulation (EC) no. 1083/2006 under this priority axis was not used.

#### *Financial implementation of Priority Axis 3*

Drawing of contracted funds in the framework of Priority Axis 3 Tourism as at 31 December 2011 for EU + SB funds totalled €85.22 million, representing 49.39% of the allocation for Priority Axis 3. In a comparison of drawing between years under this priority axis, 2011 saw the highest level of drawing, in the amount of €43.36 million.

In proportion to the total allocation for OP CEG for this priority axis, so far 9.40% has been drawn.

Table 41: Drawing of funds under Priority Axis 3 as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Priority Axis 3 – Tourism** | **Commitment  2007-2013  for EU funds**  **(€)** | **Fund drawing in 2011**  **(EU funds) (€)** | | **Cumulative drawing of funds as at 31.12.2011**  **(EU funds) (€)** | |
| **Total** | **% of 2007 -2013 commit-ment** | **Total** | **% of 2007 – 2013 commitment** |
| **146 680 000** | **36 572 983.69** | **24.93%** | **72 437 821.03** | **49.38%** |
| 3.1 – Support for business activities in tourism | 123 519 983 | 32 883 134.52 | 26.62% | 64 286 681.93 | 52.05% |
| 3.2 – Development of tourist information services, presentation of regions and Slovakia | 23 160 017 | 3 689 849.17 | 15.93% | 8 151 139.10 | 35.19% |

Source: CA

Cross-financing pursuant to Article 34(2) of Council Regulation (EC) no. 1083/2006 under both Priority Axis 2 and the whole OP CEG, was not used.

### *Serious problems associated with the implementation of Priority Axis 3 of the OP CEG and measures taken to overcome them*

In 2011, the MA did not record any specific problem relating exclusively to the implementation of Priority Axis 3.

In the framework of Priority Axis 3 applications for review of the decision to not approve their grant application were not lodged by any unsuccessful applicants in accordance with § 14(6) of Act no. 528/2008 Coll. on assistance and support provided from the Community budget.

### *Qualitative analysis of the results obtained at the level of Priority Axis 3*

The results achieved in the framework of Priority Axis 3 are detailed in the analysis of indicators achieved under tables 39 and 40. The MA considers fund drawing of 49.39% to be appropriate in terms of the period evaluated. A deeper analysis of the results achieved and of the fulfilment of the objectives of this Priority Axis as well as of the OP will be provided by a strategic evaluation, which is planned for 2012.

# *Horizontal priorities*

The OP CEG, in the framework of the interventions made, contains the fulfilment of the horizontal objectives. Assessment of the effect of a project on the fulfilment of HP objectives, where relevant, is monitored in the grant application form by means of measurable indicators. In the grant application evaluation process the project’s contribution to the individual HPs is included among the evaluation criteria and concordance with the HP will then be monitored in the implementation process throughout the whole programming and project cycle. Planned values, set as targets for the fulfilment of measurable indicators, are based on concluded grant contracts, whilst their achieved value reflects the actual state of the indicators of completed projects.

In accordance with the provisions of Council Regulation (EC) no. 1083/2006 coordinators of the individual HPs were involved in the SF management and control system. For improving the efficiency of HP coordination and ensuring the application of the partnership principle, the following working groups were established at the level of the coordinators of the individual HPs:

* Working Group for HP EO
* Working Group for HP MRC
* Working Group for HP IS
* Working Group for HP SD

The following chapters state the specific contribution to date made by the OP CEG toward fulfilling the objectives of the individual HPs and describe the activity of the HP coordinators in 2011. The data are measured from completed projects, with the exception of the number of projects, which reflects all grant contracts signed.

## Horizontal Priority Information society

The main objective of the HP IS is to support greater efficiency, transparency and quality in the implementation of NSRF priorities through the implementation and use of ICT. Specific objectives of the HP IS are focused on three areas: information literacy, efficient e-government and widespread internet availability.

HP IS implementation strengthens the synergistic linkage of the OP and ensures that activities supported on the basis of specific projects take account of IS in all its aspects.

The HP IS Coordinator is involved in the SF management and control system at the level of the NSRF in accordance with the provisions of Council Regulation (EC) no. 1083/2006 and the SR Government decision. The institutional coordinator of HP IS is the GO SR, Department of Crosscutting Priorities (until 30 June 2011 Department for the Coordination of Crosscutting Priorities), which, in accordance with the application of the partnership principle and with the objective of ensuring a coordinated approach between all MAs in implementing the HP IS, heads the HP IS Working Group.

Table 42: Sessions of the HP IS Working Group

|  |  |  |
| --- | --- | --- |
| **Date of session** | **Reason** | **Conclusions** |
| 16.2.2011  Decision by flying minute 2/2011 | Preparation of Addendum no. 3 to the Statute of the HP IS Working Group in the wording of Addendum 1 effective from 25 May 2009 and Addendum 2 effective from 23 August 2010. | approval of Addendum no. 3 to the Statute of the HP IS Working Group in the wording of Addendum 1 effective from 25 May 2009 and Addendum 2 effective from 23 August 2010. |
| 15.03.2011 | update to the SCI of the HP IS, version 6.0 (new competences of the coordinator pursuant to findings from the government audit), problem areas identified by the HP IS coordinator, monitoring of projects with an OP contribution to the HP IS, problem areas in implementation identified by the coordinator | non-approval of the SCI of the HP IS, version 6.0 |
| 24.3.2011  Decision by flying minute 3/2011 | elimination of problematic parts in the updated SCI of the HP IS, version 6.0 | approval of the SCI of the HP IS, version 6.0, effective from 1 April 2011 |
| 22.8.2011 by flying minute 4/2011 | preparation of the Addendum no. 4 to the Statute of the HP IS Working Group in the wording of Addendum 1 effective from 25 May 2009, Addendum 2 effective from 23 August 2010 and Addendum 3 effective from 16 February 2011 | approval of Addendum no. 4 to the Statute of the HP IS Working Group in the wording of Addendum 1 effective from 25 May 2009, Addendum 2 effective from 23 August 2010 and Addendum 3 effective from 16 February 2011. |
| 16.11.2011 decision by flying minute 5/2011 | update to the SCI of the HP IS, version 6.1 (organisational changes of the coordinator) | approval of the SCI of the HP IS, version 6.1, effective from 1 December 2011 |

Source: HP IS Coordinator

During 2011 the coordinator ensured the whole range of tasks related to HP IS implementation:

* **coordination activity**
* continuously provided methodological guidance, advice and consultation for the MA in relation to HP IS implementation;
* commented on draft annual reports of the individual OPs, from the aspect of the coordinator’s responsibility, as well as specifically with regard to the OP’s contribution to the Lisbon Strategy;
* continuously commented on documentation of calls in the framework of the individual OPs, with a focus on the compliance of the information with the SCI of the HP IS (primarily indicators of relevance to the HP, evaluation and selection criteria, guides for applicants);
* prepared source documentation for the Annual Report on the Implementation of the NSRF for 2010 – part HP, part contribution to the Lisbon Process, as well as information on activities carried out in the framework of the EU Strategy for the Danube Region, as well as commenting on other parts of the Annual Report;
* updated the coordinator’s internal manual of procedures for 2007 – 2013 (version 7.0 effective from 1 April 2011 and version 7.1 effective from 15 December 2011);
* actively participated in the work of the CCA Departmental Coordination Group, which deals with draft regulations for the Cohesion Policy for 2014 – 2020;
* **monitoring**
* continuously monitored grant applications and contracted projects in the framework of individual OPs, using the non-public part of the ITMS (CORE) and the defined visibility HP7, which enables the data reading function in the ITMS;
* prepared the HP IS Annual Report for 2010, using information of the MA, results from its monitoring activity and bilateral discussions with the respective MAs of the OPs focused on mutual reconciliation of data;
* participated in meetings of the individual OPs monitoring committees, in the framework of which the coordinator commented on source documentation (revisions of OPs, OP Annual Reports for 2010) from the aspect of HP IS implementation;
* participated in discussions of the internal monitoring committees of OP IS and OP CEG;
* **assessment**
* conducted an internal review as part of the HP IS annual report, which is performed continuously at the level of each OP/priority axis/measure and is of an operational nature;
* commented on suggestions by the European Commission regarding the evaluation system in the next period 2014 – 2020;
* in the framework of the evaluation the coordinator was involved in the work of the evaluation group headed by the CCA;
* **information and publicity**
* the coordinator prepared the seminar “Monitoring and evaluation of HP IS and HP SD in the implementation process”, which was held on 22 June 2011 for employees of the MA/IB and HTUs and at which there were also presented topics on the Europe 2020 strategy, problems of implementation, indicators and evaluations of HP IS and HP SD, Cohesion Policy after 2013, permanently sustainable development, the Danube Strategy;
* continuously updated information and published documents related to the HP IS, the Europe 2020 Strategy and the Danube Strategy on its website;
* in the framework of information and publicity the coordinator was involved in the activity of the Working Group for Publicity, headed by the CCA.

In connection with the solution of conclusions from the **government audit:**

* the coordinator drew up and sent to the AA (MoF SR) the Report on the Fulfilment of Measures Adopted for Correcting Deficiencies Identified by Government Audit nos. A363 and K2338, which concerned the verification of calls and the evaluation process; the purpose of the coordinator’s report was to give assurance as to the adequacy and effectiveness of management and control systems in the management of the HP IS;
* the coordinator prepared an explanation to the Report on the Fulfilment of Measures Adopted for Correcting Deficiencies Identified by Government Audit (in connection to an explanatory report sent by the AA to the HP Coordinator by letter, in which the Audit Authority informed the coordinator that it would support the update to the relevant implementation documents, which should contribute to a more efficient system of SF & CF implementation in the SR, together with a recommendation for initiating discussions between the CCA and MAs of the OPs for the purpose of resolving this issue);
* for reason of improving the efficiency of HP IS implementation and pursuant to the above-mentioned AA opinion, the coordinator sent to the CCA “Substantive Proposals Aimed at Improving the Efficiency of Implementation” for the next update to the SF and CF Management System;
* The AA (MoF SR) performed a documentary verification of the fulfilment of measures adopted for eliminating deficiencies identified by government audit A363 and K2338 (the results of the verification was a statement of fulfilment of all measures adopted by the coordinator).

Table 43: Fulfilment of physical indicators as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Number of projects contributing to HP IS (number) | Result achieved | 0 | 0 | 0 | 0 | 1 | N/A | N/A | N/A | N/A | 1 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Volume of funds of projects contributing to HP IS (€) | Result achieved | 0 | 0 | 0 | 0 | 0.93 | N/A | N/A | N/A | N/A | 0.93 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: ITMS

Table 44: State of contracting under NUTS III as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NUTS III  Territory | Total number of contracted projects | Total value of contracting in € (EU funds) | Number of contracted projects contributing to HP IS | Value of contracted projects in €, contributing to HP IS (EU funds) | % of contracting related to HP IS out of the total volume of funds contracted |
| A | B | C=D in table 7+B in table 8 | D | E | F=E/C |
| Bratislava | - | - | - | - | - |
| Trnava | 69 | 38 528 467.85 | - | - | - |
| Trenčín | 84 | 39 594 117.33 | - | - | - |
| Nitra | 95 | 78 040 639.26 | - | - | - |
| Žilina | 106 | 49 307 668.83 | - | - | - |
| Banská Bystrica | 138 | 106 566 819.47 | - | - | - |
| Prešov | 154 | 96 665 443.82 | 1 | 927 663.56 | 0.96 |
| Košice | 96 | 64 645 124.40 | - | - | - |
| Projects not classified in NUTS III | 4 | 86 404 282.98 | - | - | - |
| Total | 746 | 559 572 563.94 | 1 | 927 663.56 | 0.17 |

Source: ITMS

Table 45: Implementation of physical indicators at the level of Priority Axes 1, 2 and 3[[120]](#footnote-120)

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Plan from contracts |
| **Priority Axis 1 Innovation and growth of competitiveness** | | | | | | | | | | | |
| Number of supported business incubators focused on innovation in the field of ICT (number)[[121]](#footnote-121) | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A |  |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1 | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Priority Axis 2 – Energy** | | | | | | | | | | | |
| Number of new / upgraded monitoring and/or control systems (number) | Result achieved | 0 | 0 | 0 | 0 | 2 | N/A | N/A | N/A | N/A | 11 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 70 | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Priority Axis 3 – Tourism** | | | | | | | | | | | |
| Number of tourism facilities connected to the electronic booking system (number)[[122]](#footnote-122) | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A |  |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 70 | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: ITMS

The contribution to the HP IS is monitored separately by means of the result indicator only at the level of Priority Axis 2 Energy, in the framework of which 1 project was supported, consisting in the implementation of measurement and control systems in the field of energy production and consumption, for the purpose of reducing energy consumption; this does not include the purchase of energy-efficient production equipment, in the framework of which 11 monitoring and control systems will be upgraded. The total number of grant applications in the framework of the priority axis with a declared contribution to the HP IS by means of the indicator “Number of new / upgraded monitoring and/or control systems (number)” was 24 (23 grant applications did not meet the criteria set in the call).

For ensuring sustainable development and increased competitiveness of manufacturing, energy, tourism and selected services, the OP CEG strategy is focused primarily on the transfer of innovative and environmentally friendly technologies, including the creation of conditions for greater use of ICT; whilst support in the framework of OP CEG is not, by priority, directly focused on the development of ICT, nonetheless in the framework of project activities there is support for ICT by means of sub-activities (see Table 46).

In connection to the HP IS objective defined in the NSRF, namely to support and promote greater efficiency, transparency and quality in the implementation of NSRF priorities through the introduction and use of ICT, in the framework of the OP CEG the projects implemented fulfil this objective indirectly through the purchase of hardware and software, which are a component of the transfer of modern technology and the introduction of innovation into manufacturing, as well as through the application of electronic services in specific fields and through the development of tourist information services.

Pursuant to annex 1 to the SCI of the HP IS for 2007-2013, relevance has been identified for the OP CEG as regards fulfilment of specific objective no. 3 Widespread internet availability and the sub-objective to Modernise infrastructure by means of the technical provision of ICT (purchase of hardware and software) in the framework of Priority Axes 1 Innovation and growth of competitiveness, 2 Energy and 3 Tourism. The following table gives an overview of the volume of contracted funds under the OP CEG and the amount drawn. The share of the drawn amount in the contracted funds is 25.02%. From this it is clear that some of the contracted projects under the OP CEG have a contribution to the objectives of HP IS; though this contribution is indirect, since the HP IS objectives in the framework of projects’ activities are not expressed by a result indicator and represent merely a sub-activity of the project, which is how software or hardware equipment is connected, or is a part of a purchase of innovative production, or research & development technological equipment, technological equipment in the use of renewables or reservation systems in the construction / reconstruction of tourist facilities.

Table 46: Volume of contracted funds under OP CEG and the drawn amount (€) – expenditure group (ICT)

|  |  |  |
| --- | --- | --- |
| **Expenditure group (ICT)** | **Volume of contracted projects in OP CEG (€)** | **Drawn Amount (€)** |
| 633002 Computer equipment | 147 294.61 | 37 464.75 |
| 633003 Telecommunications equipment | 1 759.51 | 1 062.08 |
| 633013 Software | 257 266.70 | 9 728.84 |
| 633 | 406 320.82 | 48 255.67 |
| 711003 Purchase of software | 6 258 395.83 | 1 703 408.06 |
| 711 | 6 258 395.83 | 1 703 408.06 |
| 713002 Purchase of computer equipment | 2 155 608.02 | 438 107.89 |
| 713003Purchase of telecommunications equipment | 63 383.76 | 31 404.91 |
| 713006Purchase of communications infrastructure | 2 508.52 | 2 508.52 |
| 713 | 2 221 500.30 | 472 021.32 |
| Total | 8 886 216.95 | 2 223 685.05 |

Source: ITMS

Problems associated with HP IS implementation:

No problems were recorded in the implementation of HP IS.

## Horizontal priority Marginalised Roma Communities

Table 47: Fulfilment of HP MRC physical indicators at the OP level as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Value of projects focused on MRCs (€) *(O)* | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A | 0 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 14 mill. | 14 mill. |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
|  |  |  |  |  |  |  |  |  |  |  |  |
| Number of projects focused on MRCs (number) *(O)* | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A | 0 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | - | - |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
|  |  |  |  |  |  |  |  |  |  |  |  |
| Number of jobs created specifically for MRCs (number) *(R)* | Result achieved[[123]](#footnote-123) | 0 | 0 | 0 | 2 | 19 | N/A | N/A | N/A | N/A | 19 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 240 | 240 |
| Baseline[[124]](#footnote-124) | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

Table 47a: Fulfilment of HP MRC physical indicators as at 31 December 2011 achieved by means of LSCA implementation

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Value of projects focused on MRCs (€) *(O)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | 14 mill. | 14 mill. |
| Baseline | - | - | - | - | - | - | - | - | - | - |
|  |  |  |  |  |  |  |  |  |  |  |  |
| Number of projects focused on MRCs (number) *(O)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | - | - |
| Baseline | - | - | - | - | - | - | - | - | - | - |
|  |  |  |  |  |  |  |  |  |  |  |  |
| Number of jobs created specifically for MRCs (number) *(R)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | - | - |
| Baseline | - | - | - | - | - | - | - | - | - | - |

Source: MA

The main objective of HP MRC is to increase the employment rate and educational level of MRC members and improve their living conditions. The OP CEG generally, by means of the fields of support defined in it, seeks to contribute to the achievement of the HP MRC main objective – to increase the employment rate and educational level of MRC members, by means of fulfilling the specific objective of creating new jobs for MRCs. The objectives of this OP contribute to addressing employment, and thus support for MRCs in the framework of the OP CEG is horizontally addressed in all its priority axes.

In the framework of the OP CEG there have not yet been implemented any demand-driven **projects with direct support relating to the HP MRC**. This results largely from the focus of the OP CEG, which is primarily focused on supporting the development of innovation, industry, energy and tourism, and thus within its priority axes there are no measures predominantly and directly focused on the issue of and support for MRCs.

In the SCI of the HP MRC for 2007 – 2013 there is defined for the OP CEG a measure relevant for a comprehensive approach, in the framework of Priority Axis 1 Innovation and growth of competitiveness, Measure 1.1 Innovation and technology transfers. This measure is intended for the implementation of comprehensive projects in the framework of support for LSCAs, which are defined as projects with direct support relating to the HP MRC. There had been no actual implementation of such projects in the OP CEG by the end of the reporting period. The process of LSCA implementation in the framework of the OP CEG did, however, begin in the framework of mutual cooperation between the MA, HP MRC coordinator and other interested parties. Progress achieved in this field by the end of the reporting period is evaluated in a separate part in the text below.

The OP CEG in the reporting period contributed to the fulfilment of the HP MRC by means of **projects with indirect support relating to the HP MRC**, a side-effect of which is to improve the employment rate of MRCs. This contribution of the OP CEG to the HP MRC is expressed through the fulfilment of measurable indicators set in the SCI of the HP MRC for 2007 – 2013 at the NSRF level, focused on job creation aimed at MRC members.

By the end of 2011 a total of 71 projects contributing to the HP MRC (projects with indirect support relating to the HP MRC) had been contracted in the framework of the OP CEG, with a total contracted amount (EU funds) of €85 033 211.96.

Progress and state achieved in **LSCA implementation** in the framework of the OP CEG in the reporting period:

The OP CEG is one of six OPs, in which a comprehensive approach is applied as a conjunction of multiple projects of an investment and non-investment nature into an overall development strategy for a particular locality with the presence of an MRC. In the OP CEG, Priority Axis 1 Innovation and growth of competitiveness, Measure 1.1 Innovation and technology transfers is defined for the comprehensive approach as relevant in the framework of the priority field of support for MRCs – employment.

For ensuring implementation of the HP MRC in the OP CEG the MoE SR and the GO SR / OGPRC concluded in November 2008 an Agreement on Mutual Cooperation and Coordination in Fulfilling the Horizontal Priority MRC. The essence of this agreement consisted in the:

* indicative allocation within the OP CEG for support of a comprehensive approach in the amount of €14 million,
* declaration of a separate call under Measure 1.1 of the OP CEG for grant applications, focused exclusively on the selection of applications that will form a part of approved LSCAs.

In August 2011 Addendum 1 to the Agreement was concluded, clarifying the indicative allocation in relation to the specification of funding sources so that it was clear that the allocated sum of €14 million represents the contribution exclusively from EU funds and 15% co-financing for this amount is to come from SB funds.

In the framework of the approved LSCAs selected by the Interdepartmental Evaluation and Selection Committee for LSCAs only 5 project plans were submitted in 2010 focused on the OP CEG with a requested funding volume of approximately €2.45 million.

In an effort to address this situation and ensure the implementation of the LSCAs in the OP CEG other solutions were sought during the course of 2010 at the level of the MA for the OP CEG, the HP MRC coordinator and other parties involved, for example the solution of declaring a separate call under the OP CEG for MRC support, modified in relation to the eligibility of grant applicants by expanding the sphere of applicants beyond the approved LSCAs by way of using selection criteria focused exclusively on the fulfilment of HP MRC objectives, which it was planned to undertake by way of a joint call between the OP CEG and OP ESI. For reason of problems declared by the SIA, the MoE SR withdrew from this option and the MoE SR subsequently prepared a separate call for grant applications with emphasis on MRCs with the application of the same selection criteria as defined for the originally planned joint call with the OP ESI. The call should have been declared in the first quarter of 2011.

In the second half of 2010, however, the preparation and declaration of this call were suspended due to a review of the whole process of LSCA implementation; meetings of the interested parties for adopting a procedure concerning the drawing of the indicative allocation in the amount of €14 million allocated in the framework of the OP CEG for supporting the comprehensive approach continued only in 2011.

On 5 March 2011 negotiations began between the MA for the OP CEG and the agency SIA – IB under the MA for the OP ESI regarding the possibilities for joint procedure in declaring calls for supporting employment of MRCs. Negotiations finished on 15 June 2011 with the conclusion that the MA would declare a call for Measure 1.1 Innovation and technology transfers, Sub-Measure 1.1.1 for businesses to promote job creation targeted at MRCs, and the MA for OP ESI would declare a call in the framework of Measure 1.2 Support for the creation and preservation of jobs by increasing adaptability of workers, enterprises and business support, which will be conditional upon the approval of the grant application in the framework of the OP CEG. On 7 July 2011 a working meeting was held between representatives of the MA, SIA, OGPRC and CCA concerning procedure in declaring calls under the OP CEG and OP ESI focused on job creation targeted at MRCs.

On 1 August 2011 the OGPRC as the coordinator of the HP MRC published a call for supplementing project objectives in respect of the approved application for LSCA support (call LSKxP-ÚSVRK-2011/02), by means of which municipalities could supplement project plans from the OP CEG. The call was closed on 31 October 2011.

On 30 September 2011 the MA declared a call under OP CEG, Sub-Measure 1.1.1 Support for introducing innovation and technology transfers, with LSCA relevance, call KaHR-111SP/LSKxP-1101 (hereinafter simply “call KaHR-111SP/LSKxP-1101”). The allocation for call KaHR-111SP/LSKxP-1101 amounts to €14 million (ERDF), i.e. €16 470 588 (ERDF + SB), and consists in the use of the overall allocation from the OP CEG exclusively for this purpose pursuant to the Agreement on Mutual Cooperation and Coordination in Fulfilling the Horizontal Priority MRC concluded between the OG PRC and the MoE SR of 25 November 2008 in connection to the NSRF 2007 – 2013. The closing date for the call KaHR-111SP/LSKxP-1101 was set for 29 February 2012.

The basic eligibility condition for the grant applicant under call KaHR-111SP/LSKxP-1101 is to have its project plan approved as a component of an approved application for LSCA support of a municipality / town / city district /association of municipalities. For this reason call KaHR-111SP/LSKxP-1101 was preceded by a call for applications for supplementing project objectives in respect of the approved application for LSCA support published on 1 August 2011 by the OGPRC.

The MA in cooperation with the OGPRC ensured that during the period from 30 September 2011 to 31 October 2011, these two calls mutually overlapped and that all conditions of the call for grant applications in the framework of the OP CEG with LSCA relevance were known to business subjects at the time of preparing the project objectives for supplementing into the approved LSCA.

The appropriate definition and presentation of the calls of the OGPRC (LSKxP-ÚSVRK-2011/02) and MA (KaHR–111SP/LSKxP–1101) with LSCA relevance to the general public is demonstrated by the high absorption capacity in the framework of call LSKxP-ÚSVRK-2011/02. Of the total number of 149 eligible applicants (municipality / town / city district / association of municipalities) 95 applicants submitted an application for supplementing project objectives in respect of the approved application for LSCA support. In the framework of these 95 applications,179 project objectives of individual businesses were submitted to the OGPRC. Following the control check of formal correctness, 90 applications with 174 project objectives were forwarded for expert evaluation.

The Interdepartmental Evaluation and Selection Committee for LSCAs at its meeting on 21 December 2011 approved 79 applications for supplementing project objectives to the approved application for LSCA support. Within these 79 applications, 137 project objectives of individual businesses were approved (48 applications to supplement the LSCA with 98 project objectives were conditionally approved and 31 applications for supplementing the LSCA with 39 project objectives were unconditionally approved). The requested amount of funds (grant) for the 137 approved project objectives totals €70.77 million, and the total planned number of jobs created specially for MRC members under these projects is 584.

Table 48: State of contracting for projects with direct support for HP MRC[[125]](#footnote-125) by NUTS III as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NUTS III territory (territory) | Total number of contracted projects | Total value of contracted funds (€) (EU funds) | Number of contracted projects contributing to the HP MRC | Amount of contracted funds in € contributing to the HP MRC (EU funds) | % of contracting relating to the HP MRC out of the total volume of contracted funds |
| A | B | C | D | E | F=E/C |
| Bratislava | - | - | - | - | - |
| Trnava | - | - | - | - | - |
| Trenčín | - | - | - | - | - |
| Nitra | - | - | - | - | - |
| Žilina | - | - | - | - | - |
| Banská Bystrica | - | - | - | - | - |
| Prešov | - | - | - | - | - |
| Košice | - | - | - | - | - |
| Projects not classified in NUTS III | - | - | - | - | - |
| Total | - | - | - | - | - |

Source: ITMS, MA

In the framework of the OP CEG there were no contracted projects in the reporting period with direct support for the HP MRC.

Table 48a: State of contracting of LSCA projects by NUTS III as at 31 December 2011

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| NUTS III territory (territory) | LSCA allocation in the OP (EU + SB) | Number of contracted LSCA projects | Contracted amount of LSCA funds (EU + SB) | State of contracting from allocation  (%) |
| A | B | C | D | F=E/C |
| Bratislava | - | - | - | - |
| Trnava | - | - | - | - |
| Trenčín | - | - | - | - |
| Nitra | - | - | - | - |
| Žilina | - | - | - | - |
| Banská Bystrica | - | - | - | - |
| Prešov | - | - | - | - |
| Košice |  |  |  | - |
| Projects not classified in NUTS III | - | - | - | - |
| **Total** | €16 470 588 | - | - | - |

Source ITMS, MA

Table no. 48a applies only to projects being implemented in the framework of the LSCA support for which an allocation has been made under the NSRF. Projects being implemented in the framework of LSCA support are also a part of Table no. 46.

In the framework of the OP CEG there were no LSCA projects contracted in the reporting period.

Table 49: State of contracting for projects with indirect support for HP MRC[[126]](#footnote-126) by NUTS III as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NUTS III territory (territory) | Total number of contracted projects | Total value of contracted funds (€) (EU funds) | Number of contracted projects contributing to the HP MRC | Amount of contracted funds in € contributing to the HP MRC (EU funds) | % of contracting relating to HP MRC out of the total volume of contracted funds |
| A | B | C | D | E | F=E/C |
| Bratislava | 0 | 0.00 | 0 | 0.00 | 0 |
| Trnava | 69 | 38 528 467.85 | 8 | 2 314 798.38 | 6.01 |
| Trenčín | 84 | 39 594 117.33 | 9 | 4 670 087.86 | 11.79 |
| Nitra | 95 | 78 040 639.26 | 16 | 19 397 497.45 | 24.86 |
| Žilina | 106 | 49 307 668.83 | 6 | 6 025 685.24 | 12.22 |
| Banská Bystrica | 138 | 106 566 819.47 | 11 | 5 483 041.49 | 5.15 |
| Prešov | 154 | 96 665 443.82 | 11 | 18 299 260.05 | 18.93 |
| Košice | 96 | 64 645 124.40 | 10 | 28 842 841.49 | 44.62 |
| Projects not classified in NUTS III | 4 | 86 404 283.08 | 0 | 0.00 | 0 |
| Total | 746 | 559 752 564.04 | 71 | 85 033 211.96 | 15.19 |

Source: MA

Table 50: Fulfilment of physical indicators at the level of Priority Axes 1, 2 and 3 of the OP CEG as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Value of projects focused on MRCs (€) *(O)* | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A | 0 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 14 mill. | 14 mill. |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of projects focused on MRCs (number) *(O)* | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A | 0 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | - | - |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of jobs created specifically for MRCs (number) *(R)* | Result achieved[[127]](#footnote-127) | 0 | 0 | 0 | 2 | 18 | N/A | N/A | N/A | N/A | 18 |
| Target[[128]](#footnote-128) | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 120 | 120 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of jobs created specifically for MRCs (number) *(I)* | Result achieved[[129]](#footnote-129) | 0 | 0 | 0 | 0 | 1 | N/A | N/A | N/A | N/A | 1 |
| Target[[130]](#footnote-130) | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 120 | 120 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

Fulfilment of measurable indicators for HP MRC as at 31 December 2011 pursuant to Annex 2 of the MRC SCI for 2007 – 2013 applicable in 2011.

Table no. 50a) fulfilment of physical indicators at the level of Priority Axis 1 of OP CEG as at 31 December 2011 achieved through LSCA

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Value of projects focused on MRCs (€) *(O)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | 14 mill. | 14 mill. |
| Baseline | - | - | - | - | - | - | - | - | - | - |
| Number of projects focused on MRCs (number) *(O)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | - | - |
| Baseline | - | - | - | - | - | - | - | - | - | - |
| Number of jobs created specifically for MRCs (number) *(R)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | - | - |
| Baseline | - | - | - | - | - | - | - | - | - | - |
| Number of jobs created specifically for MRCs (number) *(I)* | Result achieved | - | - | - | - | - | - | - | - | - | - |
| Target | - | - | - | - | - | - | - | - | - | - |
| Baseline | - | - | - | - | - | - | - | - | - | - |

Source: MA

Table 50a) is to be completed in the case of the relevance and in the case of commenced implementation of an LSCA.

The main objective of the HP MRC is through four specific objectives:1. Education, 2. Employment, 3. Health, 4. Housing. Sub-objectives of the HP MRC are also set for the four priority areas identical to the specific objectives, whereby the main objective of the priority area of employment relevant for the OP CEG is to increase the employment and social inclusion of MRCs and to reduce their unemployment and one of the specific objectives of this priority area is to create new jobs for MRCs through support for business in the OP CEG.

In the framework of 71 projects contributing to the HP MRC (projects with indirect support to HP MRC) contracted under the OP CEG a total of 18 jobs for MRC members had been created by the end of 2011, with one job for an MRC member being created in the framework of 1 project that had not declared indirect support for HP MRC.

Contracted projects contributing to HP MRC by OP CEG measure, and the balance of contracting of the measurable HP MRC indicator (R, I) – number of jobs created specifically for MRCs for these projects:

* 49 projects contracted under Measure 1.1 Innovation and technology transfers.

The objective of these projects is generally to raise the competitiveness and performance of an enterprise, or company, as well as a region, by means of the purchase, introduction, or addition of new innovative and advanced technologies, environmental technologies, and in modernisation, expansion and efficiency improvements of production.

Beneficiaries’ activities are focused, for example on: furniture manufacturing, wood processing, metal forging, electrical equipment, manufacture of picture glass, electric motors, plastic tubes, melting, moulding and casting of polystyrene, rubber industry, processing of metal constructions and their servicing, gravel processing.

Contracted measurable indicator – number of jobs created specifically for MRCs: 75 (R + I) total for all projects.

* 4 projects contracted in the framework of Measure 1.2 Support for common services for entrepreneurs.

These are projects in the field of supporting the construction of brownfield and greenfield parks, in regions and municipalities in which there are numerous Roma settlements, specifically – revitalisation of a brownfield industrial zone in the municipality Švedlár, the Palárikovo industrial park, the Krompachy brownfield industrial park and the Levoča – South brownfield industrial zone.

The objective of these projects is to improve conditions for business development, to strengthen contacts between SMEs, with a positive impact on increasing employment in the region.

Contracted measurable indicator – number of created jobs specifically for MRCs: 80 (R + I) total for all projects.

In the case of these projects it is necessary to draw attention to the following fact:

Towns and municipalities as beneficiaries in the framework of Measure 1.2 have a problem to fulfil the measurable indicator – number of jobs created specifically for MRCs, because towns and municipalities as the construction investor do not directly create new jobs. The jobs are created by the building contractor, and these jobs pursuant to applicable regulations and guidelines cannot be considered as fulfilment of the measurable indicator. Also towns and municipalities get into problems with the sustainability of these jobs, since under the grant contract they must maintain these jobs also for several years following completion of the actual structure (or industrial park).

* 4 projects contracted in the framework of Measure 1.3 Support for innovation activities at enterprises.

These are projects focused on the research and development of new technological procedures and equipment, where the projects result also in the creation of new jobs.

Beneficiaries in the projects declare planned requalification and training of unemployed persons, including MRC members for their full inclusion in the workforce.

Contracted measurable indicator – number of created jobs specifically for MRCs: 8 (R + I) total for all projects.

* 5 projects contracted under Measure 2.1 Increasing energy efficiency both on the side of generation and consumption; and introducing advanced technologies in the energy sector.

The objective of these projects is to achieve energy savings, to reduce energy intensity, reduce energy consumption based on the heat insulation of factory buildings, support for the use of renewable energy – construction of renewable energy-based power plants, use of geothermal energy for the operation of recreation centres, production of solar power facilities, raising the efficiency in the use of energy by means of building a cogeneration unit.

Beneficiaries’ activities focus on the production of electricity, production of wooden containers, ceramic products, glass, or the provision of accommodation.

Contracted measurable indicator – number of created jobs specifically for MRCs:10 (R + I) total for all projects.

* 9 projects contracted under Measure 3.1 Support for business activities in tourism.

The objective of these projects is to improve the quality and range of services at existing centres of tourism, to build new tourist facilities and centres, to provide comprehensive tourist services year round, ultimately to increase the efficiency and competitiveness of tourism, with a positive impact on job creation.

Contracted measurable indicator – number of created jobs specifically for MRCs:25 (R + I) total for all projects.

From the data above it follows that in the case of 71 projects with indirect support for HP MRC there is the declared planned creation of in total 198 jobs for MRC members, in the framework of fulfilling the measurable indicators R and I.

The low fulfilment to date of the measurable performance indicator – number of jobs created specifically for MRCs in the OP CEG results partially from the following facts:

* the priority focus of OP CEG on innovation, raising energy efficiency and introducing advanced technology does not allow for the creation of a large number of jobs for MRC members or to employ them to a greater extent because of their education level;
* projects contributing to the HP MRC are largely still in the stage of implementation and also in the aforementioned planned number of jobs to be created for MRCs a significant share is formed by the measurable indicator I, so greater fulfilment of this indicator may be seen at a later stage;
* of the total declared planned number of jobs to be created for MRCs, 80 jobs (40%) are planned in the framework of Measure 1.2 of the OP CEG, the fulfilment of which is problematic due to reasons stated in the previous section;
* a decisive impact on the fulfilment of the measurable indicator – number of jobs created specifically for MRCs is expected on the basis of the implementation of a comprehensive approach in the OP CEG in the framework of a separate call for Sub-Measure 1.1.1 of the OP CEG with LSCA relevance, declared in September 2011.

## Horizontal priority Equal Opportunities

Table 51: Contracted projects contributing to HP EO at the OP and priority axis level as at 31 December 2011

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name of priority axis | Total number of projects | Number of projects contributing to HP EO objective | Total volume of project funds (€) (EU funds) | Volume of funds of projects contributing to HP EO (€) (EU funds) |
| 1 – Innovation and Growth of Competitiveness | 445 | 273 | 267 207 602.18 | 223 592 259.69 |
| 2 – Energy | 212 | 63 | 114 449 357.06 | 89 861 652.39 |
| 3 – Tourism | 85 | 59 | 91 691 321.72 | 40 586 995.96 |
| Projects not classified in NUTS III | 4 | - | 86 404 282.98 | - |
| Total | 746 | 395 | 559 752 563.94 | 354 040 908.04 |

Source: MA

Table 52: Contracted projects contributing to HP EO at the regional level as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NUTS III territory (territory) | Total number of contracted projects | Total amount of contracted funds (€) (EU funds) ΣC = D in Table 7 + B in Table 8 | Number of contracted projects contributing to HP EO | Amount of contracted funds in € contributing to HP EO (EU funds) | % of contracting relating to HP EO out of the total volume of funds contracted |
| A | B | C | D | E | F=E/C |
| Bratislava | 0 | 0.00 | 0 | 0.00 | 0.00 |
| Trnava | 69 | 38 528 467.85 | 35 | 22 818 993.96 | 59.23 |
| Trenčín | 84 | 39 594 117.33 | 43 | 35 304 545.2 | 89.17 |
| Nitra | 95 | 78 040 639.26 | 55 | 74  673  920.89 | 95.69 |
| Žilina | 106 | 49 307 668.83 | 63 | 40  016  093.37 | 81.16 |
| Banská Bystrica | 138 | 106 566 819.47 | 75 | 60 048 298.32 | 56.35 |
| Prešov | 154 | 96 665 443.82 | 71 | 70 706 671.56 | 73.15 |
| Košice | 96 | 64 645 124.40 | 53 | 53 372 114.73 | 82.56 |
| Projects not included in NUTS III | 4 | 86 404 282.98 | 0 | 0.00 | 0 |
| Total | 746 | 559 752 563.94 | 395 | 356 940 638.03 | 63.77 |

Source: MA

**Implementation of physical parameters at the OP level according to the NSRF**[[131]](#footnote-131)

Table 53: HP EO indicators at the OP level according to the NSRF as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Number of projects contributing to equal opportunities (number) | Result achieved | 0 | 0 | 87 | 279 | 395 | N/A | N/A | N/A | N/A | 395 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by men *(€)* | Result achieved[[132]](#footnote-132) | 0 | 0 | 9 | 141 | 671 | N/A | N/A | N/A | N/A | 671 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 9000 | N/A | N/A | 9000 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by women *(number)* | Result achieved[[133]](#footnote-133) | 0 | 0 | 10 | 116 | 466 | N/A | N/A | N/A | N/A | 466 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 4000 | N/A | N/A | 4000 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of jobs created for disadvantaged groups as a result of the project implementation *(€)* | Result achieved[[134]](#footnote-134) | 0 | 0 | 0 | 13 | 62 | N/A | N/A | N/A | N/A | 62 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 150 | N/A | N/A | 150 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

**Priority Axis 1 - Innovation and growth of competitiveness**

Table 54: Fulfilment of physical indicators at the level of Priority Axis 1 as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Number of projects contributing to HP EO *(number)* | Result achieved | 0 | 0 | 30 | 222 | 273 | N/A | N/A | N/A | N/A | 273 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Value of projects contributing to the HP EO (€) | Result achieved | 0 | 0 | 33 564 307.12 | 189 102 159.65 | 223 592 259.69 | N/A | N/A | N/A | N/A | 223 592 259.69 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by women *(number)* | Result achieved[[135]](#footnote-135) | 0 | 0 | 0 | 34 | 253 | N/A | N/A | N/A | N/A | 253 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 2000 | N/A | N/A | 2000 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by men *(number)* | Result achieved[[136]](#footnote-136) | 0 | 0 | 0 | 72 | 504 | N/A | N/A | N/A | N/A | 504 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 7000 | N/A | N/A | 7000 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of jobs created for disadvantaged groups as a result of the project implementation *(number)* | Result achieved[[137]](#footnote-137) | 0 | 0 | 0 | 13 | 50 | N/A | N/A | N/A | N/A | 50 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 80 | N/A | N/A | 80 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

**Priority 2 – Energy**

Table 55: Fulfilment of physical indicators at the level of Priority Axis 2 as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Number of projects contributing to HP EO *(number)* | Result achieved | 0 | 0 | 8 | 17 | 63 | N/A | N/A | N/A | N/A | 63 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Value of projects contributing to the HP EO (€) | Result achieved | 0 | 0 | 14 259 256.57 | 28 048 416.75 | 141 992 498.51 | N/A | N/A | N/A | N/A | 141 992 498.51 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by women *(number)* | Result achieved[[138]](#footnote-138) | 0 | 0 | 0 | 3 | 14 | N/A | N/A | N/A | N/A | 14 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 50 | N/A | N/A | 50 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by men *(number)* | Result achieved[[139]](#footnote-139) | 0 | 0 | 0 | 5 | 26 | N/A | N/A | N/A | N/A | 26 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 350 | N/A | N/A | 350 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of jobs created for disadvantaged groups as a result of the project implementation *(number)* | Result achieved[[140]](#footnote-140) | 0 | 0 | 0 | 0 | 4 | N/A | N/A | N/A | N/A | 4 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 20 | N/A | N/A | 20 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

**Priority 3 – Tourism**

Table 56: Fulfilment of physical indicators at the level of Priority Axis 3 as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Number of projects contributing to HP EO *(number)* | Result achieved | 0 | 0 | 49 | 40 | 59 | N/A | N/A | N/A | N/A | 59 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Value of projects contributing to the HP EO (€) | Result achieved | 0 | 0 | 114 948 214.70 | 16 197 673.61 | 40 586 995.96 | N/A | N/A | N/A | N/A | 40 586 995.96 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by women *(number)* | Result achieved[[141]](#footnote-141) | 0 | 0 | 10 | 79 | 199 | N/A | N/A | N/A | N/A | 199 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1950 | N/A | N/A | 1950 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of newly created jobs filled by men *(number)* | Result achieved[[142]](#footnote-142) | 0 | 0 | 9 | 64 | 141 | N/A | N/A | N/A | N/A | 141 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1650 | N/A | N/A | 1 650 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of jobs created for disadvantaged groups as a result of the project implementation *(number)* | Result achieved[[143]](#footnote-143) | 0 | 0 | 0 | 0 | 8 | N/A | N/A | N/A | N/A | 8 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 50 | N/A | N/A | 50 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

The HP EO in the framework of the relevant measures of the OP CEG contributes to the achievement of horizontal objectives. Its objective is to ensure equal opportunity for all and to prevent all forms of discrimination in the case of each call in every project under the OP CEG.

The implementation of HP EO is reported separately in the OP CEG Annual Report, as well as the NSRF Annual Report.

The HP EO indicators at the level of the OP CEG according to the NSRF, which are given in Table 51, present the results of implementation as at 31 December 2011. The HP EO in this way contributes to the fulfilment of Priority Axis 1 – Innovation and growth of competitiveness, the results of which are given in Table 54. Through supporting innovation, new jobs for men, women and disadvantaged groups are created in consequence of the project’s implementation.

The HP EO in its own way contributes also to the fulfilment of Priority Axis 2 energy, the results of which are given in table 55. In the case of Measure 2.1 Increasing energy efficiency both from the side of generation and consumption; and introducing advanced technologies in the energy sector, the increase in job creation among men helps toward raising energy efficiency on the side of generation and consumption. This measure reports also the creation of jobs in consequence of a project’s implementation.

The HP EO in its own way contributes also to the fulfilment of Priority Axis 3 Tourism – the results of which are given in Table 56. Measure 3.1 Support for business activities in tourism, which helps toward the creation of jobs among men, women and disadvantaged groups in consequence of project realisation, who through the implementation in the framework of further development of tourism contribute to raising the quality of accommodation, catering and other ancillary services at recreational facilities, tourist centres for foreign and domestic visitors.

The MA may, also in other individual cases, specify special monitoring of the fulfilment of HP EO beyond the framework set by the HP coordinator. In the case of the OP CEG, the fulfilment of HP EO is monitored also for Priority Axis 4 Technical Assistance, which in the case of 2011 concerned the measurable indicator R *–* number of employees whose salaries are paid from the TA totalled 198, of which the number of women whose salaries were paid from the TA in 2011 totalled 129 and the number of men 69.

**Implementation of HP EO in the OP CEG**

With regard to the fact that the HP EO is through its nature particularly specific, differing from other HPs, it therefore requires a special approach in the implementation process, with particular emphasis being placed on the principle of gender equality.

Under Measure 3.1 Support for business activities in tourism, at the end of 2011 addenda were prepared to the grant contracts, and which entered into effect following approval of the final monitoring reports of individual projects.

In the framework of the implementation of Sub-Measures 1.1.1[[144]](#footnote-144) and 1.1.3[[145]](#footnote-145) of the OP CEG and Measures 1.2[[146]](#footnote-146), 2.1[[147]](#footnote-147), 3.1[[148]](#footnote-148) of the OP CEG, applicants were informed about HP EO and its objectives were ensured by means of the guides for applicants. The guide for applicants, in addition to providing basic information, also referred applicants to the guide for applying the principle of equal opportunity as a horizontal priority in drawing of structural aid in the 2007 – 2013 programming period, together with a reference to the website: [www.gender.gov.sk](http://www.gender.gov.sk/).

In the first quarter of 2011 addenda to grant contracts were concluded, in the matter of additional contracting of measurable indicators with relevance to the HP. The process was initiated in response to a working meeting held at the MA on 20 August 2010. The period September 2011 through to February 2012 saw extensive, across-the-board preparation of addenda to all grant contracts, particularly in regard to the changes in the projects monitoring system.

As at 31 December 2011 a total of 742 projects[[149]](#footnote-149) were contracted, 395 of which state a contribution to the HP EO, in a total volume of funds contributing to the HP EO of €354 040 908.04.

**Problems connected with HP EO implementation**

In the reporting period no problems of a serious nature arose in connection with HP EO implementation. For this reason, it was not necessary to take any measures.

**Advisory guides and studies**

Information concerning the evaluation and implementation of HP EO in the SF and CF in the 2007 – 2013 programming period is provided in the following guides and studies published on the website:[www.gender.gov.sk](http://www.gender.gov.sk/).

* Guide for Applying Equal Opportunity in Projects Co-Financed in the EU
* [Guide for Applying the Horizontal Priority Equal Opportunity in the Structural Funds](http://www.gender.gov.sk/index.php?id=647)
* [Study – Tools and Methods for Monitoring and Evaluating the Horizontal Priority Equal Opportunity in the Structural Funds](http://www.gender.gov.sk/index.php?id=765)
* [Guide on Gender Equality EQUAL](http://ec.europa.eu/employment_social/equal/data/document/gendermain_sk.pdf),

**Evaluation of the application of HP EO**

From June 2010 to February 2011 an external evaluation of the application of HP EO was performed. The MA (MoE SR) as well as the individual IBs were contacted, which then asked beneficiaries to fill out a questionnaire for the OP CEG, which from the side of the MA as well as the beneficiaries was sent within the set term directly to the sponsor.

The external evaluation of the HP EO’s application results from the approved evaluation plan of the HP EO for 2007 – 2013 and its purpose was to perform an evaluation of the HP EO, to assess the fulfilment of HP EO objectives, which derive from the SCI of the HP EO for the 2007 – 2013 programming period at the level of the NSRF and OP, as well as an assessment of the relevance, expedience, effectiveness and efficiency of the impacts and sustainability of the implemented HP EO.

**Working Group for HP EO**

In 2011 the coordinator for HP EO held one meeting of the Working Group for HP EO, on 16 March 2011. The working group includes one member who is an MA representative, and who in 2011 presented its opinions regarding the SCI of the HP EO, version 6.0, including appendices, regarding Addendum 2 of the Statute of the Working Group for HP EO, presented Information on the Implementation Status of OP CEG Projects for the HP EO as at 30 June 2011, Information on the Implementation of the OP CEG as at 31 December 2010, provided information on the representation of HP EO representatives in committees, the PU, CCA and MA, presented a call for grant applications for Sub-Measure 1.1.1 of OP CEG with LSCA relevance, etc.

## Horizontal priority Sustainable Development

The main objective of the HP SD is to ensure the environmental, economic and social sustainability of economic growth. Specific objectives of HP SD are focused on three areas: increasing economic prosperity, increasing environmental quality, social cohesion and inclusion and balanced regional development.

One of the areas significantly contributing to SD is energy efficiency. Through horizontally coordinating support for energy, the government department for energy (MoE SR), which is responsible for energy policy, ensures and fulfils tasks arising to the SR from EU regulations, directives and strategic documents and is required to inform the Commission on the fulfilment of these commitments. It is responsible for the strategic direction of measures aimed at meeting the set goals of state policy in the field of energy, as well as the tasks arising to the SR from international treaties in the field of energy and from membership in international bodies and institutions. It closely cooperates with the HP SD coordinator.

The HP SD coordinator is involved in the management and control of the SF and CF at the NSRF level in accordance with the provisions of Council Regulation (EC) no. 1083/2006 and Decision of the Government. The institutional coordinator of HP SD is the GO SR, Department of Crosscutting Priorities (until 30 June 2011 Department for the Coordination of Crosscutting Priorities), which, in accordance with the application of the partnership principle and with the objective of ensuring a coordinated approach between all MAs in implementing the HP SD, heads the HP SD Working Group.

Table 57: Sessions of the HP SD Working Group

|  |  |  |
| --- | --- | --- |
| **Date of session** | **Reason** | **Conclusions** |
| 16.2.2011  Decision by flying minute 2/2011 | Preparation of Addendum no. 3 to the Statute of the HP SD Working Group in the wording of Addendum 1 effective from 25 May 2009 and Addendum 2 effective from 23 August 2010. | approval of Addendum no. 3 to the Statute of the HP SD Working Group in the wording of Addendum 1 effective from 25 May 2009 and Addendum 2 effective from 23 August 2010. |
| 15.03.2011 | update to the SCI of the HP SD, version 6.0 (new competences of the coordinator pursuant to findings from the government audit), problem areas identified by the HP SD coordinator, monitoring of projects with an OP contribution to the HP SD, problem areas in implementation identified by the coordinator | non-approval of the SCI of the HP SD, version 6.0 |
| 24.3.2011  Decision by flying minute 3/2011 | elimination of problematic parts in the updated SCI of the HP SD, version 6.0 | approval of the SCI of the HP SD, version 6.0, effective from 1 April 2011 |
| 22.8.2011 decision by flying minute 4/2011 | preparation of the Addendum no. 4 to the Statute of the HP SD Working Group in the wording of Addendum 1 effective from 25 May 2009, Addendum 2 effective from 23 August 2010 and Addendum 3 effective from 16 February 2011 | approval of Addendum no. 4 to the Statute of the HP SD Working Group in the wording of Addendum 1 effective from 25 May 2009, Addendum 2 effective from 23 August 2010 and Addendum 3 effective from 16 February 2011 |
| 16.11.2011 decision by flying minute 5/2011 | update to the SCI of the HP SD, version 6.1 (organisational changes of the coordinator) | approval of the SCI of the HP SD, version 6.1, effective from 1 December 2011 |

Source: HP SD Coordinator

During 2011 the coordinator ensured the whole range of tasks related to HP SD implementation:

**coordination activity**

* continuously provided methodological guidance, advice and consultation for the MA in relation to HP SD implementation;
* commented on draft annual reports of the individual OPs, from the aspect of the coordinator’s responsibility, as well as specifically with regard to the OP’s contribution to the Lisbon Strategy;
* continuously commented on documentation of calls in the framework of the individual OPs, with a focus on the consistency of the information with the SCI of the HP IS (primarily indicators of relevance to the HP, evaluation and selection criteria, guides for applicants);
* prepared source documentation for the Annual Report on the Implementation of the NSRF for 2010 – part horizontal priorities, part contribution to the Lisbon Process, as well as information on activities carried out in the framework of the EU Strategy for the Danube Region, as well as commenting on other parts of the Annual Report;
* updated the coordinator’s Internal Manual of Procedures for 2007 – 2013 (version 7.0 effective from 1 April 2011 and version 7.1 effective from 15 December 2011);
* actively participated in the work of the CCA Departmental Coordination Group, which deals with draft regulations for the Cohesion Policy for 2014 – 2020.

**monitoring**

* continuously monitored grant applications and contracted projects in the framework of individual OPs, using the non-public part of the ITMS (CORE) and the defined visibility HP7, which enables the data reading function in the ITMS;
* prepared the HP SD Annual Report for 2010, using information of the MA, results from its monitoring activity and bilateral discussions with the respective MAs of the OPs focused on mutual reconciliation of data;
* participated in meetings of the individual OPs’ monitoring committees, in the framework of which the coordinator commented on source documentation (revisions to OPs, OP Annual Reports for 2010) from the aspect of HP SD implementation;
* participated in meetings of the ISMC of the OP IS and OP CEG.

**assessment**

* conducted an internal review as part of the HP SD annual report, which is performed continuously at the level of each OP/priority axis/measure and is of an operational nature;
* commented on proposals by the European Commission regarding the evaluation system in the next period 2014 – 2020;
* in the framework of the evaluation the coordinator was involved in the work of the evaluation group headed by the CCA.

**information and publicity**

* the coordinator prepared the seminar “Monitoring and Evaluation of HP IS and HP SD in the Process of Implementation”, which was held on 22 June 2011 for employees of the MA/IBs and HTUs and at which there were also presented topics on the Europe 2020 Strategy, problems of implementation, indicators and evaluations of HP IS and HP SD, Cohesion Policy after 2013, permanently sustainable development and the Danube Strategy;
* continuously updated information and published documents related to the HP SD, the Europe 2020 Strategy and the Danube Strategy on its website;
* in the framework of information and publicity the coordinator was involved in the activity of the Working Group for Publicity, headed by the CCA.

In connection with the solution of conclusions from the **government audit**

* the coordinator drew up and sent to the audit authority (MoF SR) the Report on the Fulfilment of Measures Adopted for Correcting Deficiencies Identified by Government Audit no. A363 and K2338, which concerned the verification of calls and the evaluation process; the purpose of the coordinator’s report was to give assurance as to the adequacy and effectiveness of management and control systems in the management of the HP IS;
* the coordinator prepared an explanation to the Report on the Fulfilment of Measures Adopted for Correcting Deficiencies Identified by Government Audit (in connection to an explanatory report sent by the Audit Authority to the HP Coordinator by letter, in which the Audit Authority informed the coordinator that it would support the update to the relevant implementation documents, which should contribute to a more efficient system of SF & CF implementation in the SR, together with a recommendation for initiating discussions between the CCA and MAs of the OPs for the purpose of resolving this issue);
* for reason of improving the efficiency of HP IS implementation and pursuant to the above-mentioned Audit Authority opinion, the coordinator sent to the CCA “Substantive Proposals Aimed at Improving the Efficiency of Implementation” for the next update to the SF and CF Management System;
* The AA (MoF SR) performed a documentary verification of the fulfilment of measures adopted for eliminating deficiencies identified by government audit A363 and K2338 (the results of the verification was a statement of fulfilment of all measures adopted by the coordinator).

Table 58: Fulfilment of HP SD physical indicators at the OP CEG level as at 31 December 2011

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total |
| Summary innovation index (EIS) (ranking) | Result achieved | 0 | 0 | 21 | 23 | 22 | N/A | N/A | N/A | N/A | 22 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 18 | N/A | N/A | 18 |
| Baseline[[150]](#footnote-150) | 22 | N/A | N/A | 21 | 23 | 22 | N/A | N/A | N/A | 22 |
| Energy intensity of the economy (kgoe/1000 €) | Result achieved | 532.93 | 517.89 | 496.57 | 509.02 | N/A | N/A | N/A | N/A | N/A | 509.02 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 663.4 | N/A | N/A | 663.4 |
| Baseline | 854.3 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 854.3 |
| Share of renewables in gross electricity consumption (%) | Result achieved[[151]](#footnote-151) | 0 | 0 | 16.6 | 20.6 | N/A | N/A | N/A | N/A | N/A | 20.6 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 19 | N/A | N/A | 19 |
| Baseline | 14.4 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 14.4 |
| Number of projects contributing to HP SD (number) | Result achieved[[152]](#footnote-152) | 0 | 0 | 123 | 456 | 530 | N/A | N/A | N/A | N/A | 530 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Volume of funds of projects contributing to HP SD (mill. €) | Result achieved[[153]](#footnote-153) | 0 | 0 | 163.12 | 289.04 | 348.36 | N/A | N/A | N/A | N/A | 348.36 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Energy savings (GJ / year) | Result achieved | 0 | 0 | 0 | 0 | 7822.77 | N/A | N/A | N/A | N/A | 7822.77 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1800 x103 | N/A | N/A | 1800 x103 |
| Baseline | 900x  103 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 900x  103 |
|  |  |  |  |  |  |  |  |  |  |  |  |

Source: MA

In terms of the **summary innovation index**, the SR ranks in 22nd place from among the 27 EU member states. In comparison with the previous year (2010) this represents an improvement in the SR’s position. Slovakia is a country from the group of the moderate innovators with below-average performance. A relatively strong position of the SR is in the field of human resources, economic effect and scientific output (publications, articles).Weaknesses lie in the financing of innovation and science, particularly private investment, the openness and attractiveness of research systems. High growth is recorded particularly in the number of university graduates and PhD graduates and in Community trademarks. There has been a drop in non-scientific – research innovations, licences and patents from abroad. The MoE SR prepared the document innovation strategy 2011 – 2013, which should help improve the situation and position of the SR in the EU. The figure concerning **energy intensity of the SR economy** for 2011 will be available following its publication by the SO SR. The energy intensity of the Slovak economy is affected primarily by the level of economic growth, industrial restructuring and competitiveness growth, which creates pressure to reduce energy intensity, as a cost of production. The share of renewables in electricity consumption shows an increasing trend. Over the course of 2010 there was a notable increase in solar power plants and biogas plants. Official data from the SO SR for 2011 will not, however, be known until autumn 2012. A small number of projects have been completed in 2011, the results of which will have a subsequent impact on energy savings. In the reporting period of 2011 the measured value of the **energy savings** indicator was 7 822.77 GJ.Under the grant contracts signed, however, it is planned to achieve energy savings of 750 132 GJ, which will again be reflected only in the impact over a time horizon of five years from the completion of the implementation of specific projects.

Table 59: Status of OP CEG contracted projects contributing to HP SD by NUTS III as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NUTS III territory | Total number of contracted projects | Total value of contracted funds (€) (EU funds) | Number of contracted projects contributing to HP SD | Amount of contracted funds in € contributing to the HP SD (EU funds) | % of contracting relating to HP SD out of the total volume of contracted funds |
| A | B | C\* | D | E | F=E/C |
| Bratislava | - | - | - | - | - |
| Trnava | 69 | 38 528 467.85 | 55 | 24 922 362.36 | 64.69 |
| Trenčín | 84 | 39 594 117.33 | 62 | 31 989 025.77 | 80.79 |
| Nitra | 95 | 78 040 639.26 | 71 | 66 157 169.25 | 84.77 |
| Žilina | 106 | 49 307 668.83 | 78 | 33 639 680.27 | 68.22 |
| Banská Bystrica | 138 | 106 566 819.47 | 96 | 67 264 319.67 | 63.12 |
| Prešov | 154 | 96 665 443.82 | 108 | 69 829 366.23 | 72.24 |
| Košice | 96 | 64 645 124.40 | 60 | 54 559 156.29 | 84.40 |
| Projects not classified in NUTS III | 4 | 86 404 282.98 | - | - | - |
| Total | 746 | 559 752 563.94 | 530 | 348 361 079.84 | 62.23 |

Source: MA

Table 60: Fulfilment of HP SD physical indicators at the Priority Axis 1 level as at 31 December 2012

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Indicators | | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | Total | Plan from contracts |
| Priority Axis 1 Innovation and growth of competitiveness | | | | | | | | | | | |  |
| Number of newly created jobs (number) | Result achieved\*[[154]](#footnote-154) | 0 | 0 | 0 | 251 | 757 | N/A | N/A | N/A | N/A | 757 | 5895 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 9000 | N/A | N/A | 9000 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of innovated production processes (number) | Result achieved | 0 | 0 | 0 | 67 | 261 | N/A | N/A | N/A | N/A | 261 | 595 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 0 |
| Number of patent applications  (number) | Result achieved | 0 | 0 | 0 | 0 | 0 | N/A | N/A | N/A | N/A | 0 | 19 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 50 | N/A | N/A | 50 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Priority Axis 2 – Energy | | | | | | | | | | | |  |
| Number of newly created jobs (number) | Result achieved\*[[155]](#footnote-155) | 0 | 0 | 0 | 8 | 40 | N/A | N/A | N/A | N/A | 40 | 168 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 400 | N/A | N/A | 400 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Annual energy savings achieved through project implementation /  Energy savings **(GJ / year)** | Result achieved | 0 | 0 | 0 | 0 | 7822.77 | N/A | N/A | N/A | N/A | 7822.77 | 750 132 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 1800 x103 | N/A | N/A | 1800 x103 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of lamps exchanged (number) | Result achieved | 0 | 0 | 0 | 0 | 1808 | N/A | N/A | N/A | N/A | 1808 | 12 737 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 20 000 | N/A | N/A | 20 000 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Increase in installed power facilities based on renewable energy resources (MW) | Result achieved | 0 | 0 | 4.55 | 33.9 | 41.04 | N/A | N/A | N/A | N/A | 41.04 | 104.27 MW |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 75 | N/A | N/A | 75 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Heat-insulated area  (m2 ) | Result achieved | 0 | 0 | 6805.62 | 39160.9 | 47442.8 | N/A | N/A | N/A | N/A | 47442.8 | 140 815 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | N/A | N/A | N/A | 0 | N/A | N/A | N/A | N/A | N/A |
| Priority Axis 3 – Tourism | | | | | | | | | | | |  |
| Number of newly created jobs (number) | Result achieved\*[[156]](#footnote-156) | 0 | 0 | 0 | 143 | 340 | N/A | N/A | N/A | N/A | 340 | 900 |
| Target | N/A | N/A | N/A | N/A | N/A | N/A | 3600 | N/A | N/A | 3600 |
| Baseline | 0 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: MA

*\* / \*\* / \*\*\* Note on the result achieved in the framework of indicators relating to jobs:*

The achieved result of the indicator “Number of Newly Created Jobs (O)” in the framework of priority axes 1, 2 and 3 of the OP CEG represents the number of jobs created as at the end of the project’s implementation. The measured values are generated by the ITMS and correspond to duly completed OP CEG projects relevant to the HP SD objectives and monitor the creation of jobs in the result.

In the framework of the OP CEG implementation was on-going in the case of most projects contributing to the HP SD objectives in 2011, though under applicable and effective grant contracts (concluded as at 31 December 2011) beneficiaries declare, in the framework of Priority Axis 1 of the OP CEG the creation of a total of 5895 new jobs; in the framework of Priority Axis 2 of the OP CEG 168 new jobs and in the framework of Priority Axis 3, a total of 900 new jobs.

Pursuant to Annex 1 of the SCI of the HP SD a relevance was identified for the OP CEG to the fulfilment of HP SD objectives by means of the implementation of Priority Axis 1 Innovation and Growth of Competitiveness, Priority Axis 2 Energy and Priority Axis 3 Tourism. From the achieved results of completed OP CEG project it is possible to then make also an appropriate evaluation of the contribution of the OP CEG priority axes to the fulfilment of HP SD specific and sub-targets.

Relevant priority axes of the OP CEG contributing to the fulfilment of the following HP SD objectives defined in the SCI of the HP SD:

* Identified HP SD Objective 1 *Raising economic prosperity* – Priority Axis 1 Innovation and growth of competitiveness
* Identified HP SD Objective 1 *Raising economic prosperity* – Priority Axis 2 Energy
* Identified HP SD Objective 1 *Raising economic prosperity* and 4 *Balanced regional development* – Priority Axis 3 Tourism

The contribution of the OP CEG priority axes to the fulfilment of HP SD specific and sub-objectives can be monitored and quantified by means of defined measurable indicators pursuant to the above-mentioned table concerning their actual implementation at the priority axis level. Since at present most of the OP CEG projects are still in the implementation phase, it is not possible to currently evaluate the comprehensive contribution of this programme to the HP SD objectives.

Implementation of **Priority Axis 1 Innovation and growth of competitiveness** of the OP CEG contributes to the fulfilment of the specific objective HP SD 1 and contributes to the fulfilment of its sub-objectives:

* *Strengthening the competitiveness and efficiency of the Slovak economy*

A significant contribution to employment growth comes from projects focused on the transfer of innovative technologies with the expectation of the further creation of new jobs. In the framework of the OP CEG projects this concerns primarily the impact effect of the expression of the project results.

* *Increasing the level of research and development[[157]](#footnote-157)*

OP CEG projects aimed at supporting industrial research and experimental development focused on innovation with a view to expanding the research – innovation base and exploiting the results of the research, development and innovation; at raising the competitiveness of products by means of industrial research, experimental development and innovation, the results of which will be directly implemented in production, trade and services; at developing stronger links between implementers of industrial research, experimental development and innovation and users, with particular focus on promoting the positive effects of research and development on the environment. Support is also given to projects focused on promoting innovation activities by means of the introduction of new innovative forms in the field of products, processes and technologies. Importance is also given to the introduction of quality management methods, the pre-certification and certification process, the protection of industrial property rights and trademarks, and support for purchasing industrial property rights relating to new technical solutions.

The implementation of **Priority Axis 2 Energy** of the OP CEG contributes to the fulfilment of the specific objective HP SD 1 and contributes to the fulfilment of its sub-objective:

* *Reducing energy and resource intensity of the economy and increasing the use of renewable energy resources*

The OP CEG’s contribution to the HP SD sub-objective can be declared through the summary measured value of the indicator **Increase in installed capacity of facilities using renewable energy** as at 31 December 2011, which amounted to **41.04 MW**. The OP CEG contributes in this field focused on energy through the implementation of also the following selected projects, in the framework of which there is at present measured significant fulfilment of the indicator and an assumption of sustainability. A project for the comprehensive reconstruction of the heat management of a production plant, being implemented in the Budiš municipality in the Žilina region has a positive impact on sustainable development in all its three dimensions: economic, environmental and social. Through the installation of new technologies there are significantly reduced emissions of toxic substances, thus improving the environmental safety of production. The purpose of this comprehensive reconstruction, together with the introduction of innovative technology for the production of technical steam, will, besides raising the installed capacity of facilities using renewables (4 MW), also deliver significant energy savings on the side of production, with energy consumption being reduced by almost half. There will also be a consequent reduction in fossil fuel consumption. A project for modernising and raising the efficiency of heat generation from renewable energy resources being implemented in the Turzovka municipality in the Žilina region, besides reducing the energy intensity and resource intensity of the economy, also promotes the use of renewable energy resources. The set objectives are to be achieved through the installation of a biomass-burning boiler with an output of 4 MW, the result of which will be a direct reduction in pollution and in environmental degradation. A new job will also be created for the purposes of the project. In the case of connecting other buildings it will be possible to shut down also coal and gas-based heat sources. In the Trenčín region there was again successfully implemented a photovoltaic system project with a capacity of 3366 kWp at Brezová pod Bradlom, which is also directly focused on the use of renewable energy sources – solar. The results of the project consist in commissioning a photovoltaic system with an installed capacity of 3 366 kWp. Solar energy will be converted to electricity by monocrystalline solar cells. The implementation of the next project focused on raising the energy efficiency of the buildings of a private company in Banská Bystrica will deliver a reduction in the share of use of non-renewable natural resources and will achieve significant energy savings. This project also includes the insulation of a total area of 4771 m².A highly-automated installed boiler burning wood chips will heat buildings at an output of 0.149 kW. A project in its five-year sustainability period is, for example, the project for reconstruction of the urban heating plant at Senica on a biomass-combustion basis, where the value of the measured indicator is constantly 8 MW. At the same time there is also maintained the assumption of the permanent sustainability of the achieved objectives of this project and, the removal of dependency on one type of fuel and the use of indigenous renewable fuels. Likewise, sustainability of the achieved objectives can be stated also for the project Kolárovo Photovoltaic Power Plant, which contributes to the rational use of renewable resources, as well as a biofuel plant project on a dairy farm in the framework of which there is measured the value 2.2 MW. Generally, OP CEG projects in the field of promoting the use of renewables can be counted among those projects that significantly contribute to the basic objectives of HP SD, in particular through the sustainable improvement in environmental quality.

The OP CEG’s contribution to the specific objective of HP SD is declared also by the measured value of the indicator ***Insulated area*** as at 31 December 2010, which represents **47 442.8 m²**.The relatively significant fulfilment of the measurable indicator is connected with the insulation of the shell of buildings, including roof areas and exchanging windows for ones with high thermal-installation properties, resulting in a higher energy savings. In this regard, we can mention also the following selected OP CEG projects, in which the measured value of the indicator is significant and thus the projects significantly contribute to its fulfilment. Reduction in the energy intensity of the production process at a company in the energy consumption in the Medzev municipality, Košice Region, will deliver a contribution to the objectives of the National Strategy for Sustainable Development. By improving the thermal-technical properties through insulating more than 12 000 m² of the shell of production halls, the total energy intensity of the operation will also be reduced, resulting also in further growth in the company’s competitiveness and added value. Another OP CEG project aimed at removing deficiencies of energy-inefficient premises and on energy efficiency of the company Belmontklima reckons on insulating an area of 1 690 m2. The implementation of the project’s activities contributes to the greater efficiency of the use of energy resources, which serve for heat production in the company’s premises and for minimising heat losses. Insulating the building, exchanging windows and doors, as well as exchanging the heating element (a new boiler, solar panels, achieves a significant energy saving (measured value as at 30 June 2011 is 1496 GJ / year). All these attributes have an effect on efficient management of energy resources based on new heating systems. Savings that the project’s implementation will bring to the new company can in future be used for further development activities in the region. Insulation of a building shell in a total dimension of more than 1800 m² ensures an overall increase in the energy efficiency of buildings belonging to a private company in the municipality of Rešica in the Košice region. The project, through its focus, contributes primarily to savings in the consumption of energy used for heating buildings and thereby also financial savings, thus ensuring the firm’s economic and environmental stability. The savings and the increased operational efficiency of buildings present also an opportunity to create another job.

A contribution of the OP CEG to the specific objective of HP SD can be declared also by means of the indicator “***Energy savings****”*, which due to its nature is monitored at the project level in the time period following completion of project implementation. The direct effect of the project’s results in the form of energy savings can, therefore, be analysed in details only afterward, once the impact is known. As mentioned above, according to the contracted OP CEG projects, the implementation of the selected projects is planned to achieve energy savings of **750 132 GJ**. The efficient fulfilment of this measurable indicator is connected primarily with building-shell thermal insulation projects in the private sector, projects utilising renewable energy sources, as well as the replacement of old streetlamps for new, more efficient ones. A contribution toward the fulfilment of the specific objective, for example, comes also from the project entitled heating of the Tyrapol building using heat pumps, implemented in the Oravská Lesná municipality in the Žilina region. This concerns the utilisation of geothermal energy by means of abstracting water from hydrogeological wells as the primary heat source, which provides heating of the building, heating of pool and service water. Geothermal energy is considered to be an inexhaustible source of energy. Under this project, as at 31 August 2011, the measured value of the energy savings indicator stood at 731 GJ/year. Another impact on the HP SD is seen also in the field of environmental protection. The project through its activity does not create any harmful waste, thus having no negative impact on air quality. Significant fulfilment of the indicator focused on energy savings is reported also in the framework of the project for the comprehensive reconstruction of the heat management system of the Budiš production plant, which was implemented by the company Stredoslovenské žriedla, a.s. The measured value of this indicator as at 30 September 2011 is 2946 GJ/year. The project, in connection with its sustainable development, will have a positive impact in all aspects – economic, environmental and social. The objective of the comprehensive reconstruction of the heat management system, together with the introduction of innovative technology for the production of technical steam is to achieve significant energy savings on the side of production. The result should be a reduction in fossil fuel consumption. A major saving of 42% will be achieved thanks to the installation of new high-efficiency technological equipment, the option of programming economy modes, independent heating regulation of individual parts of the building and removal of existing two heat transformers. At the technology’s level of 93% efficiency, the energy consumption will be reduced to almost half of today’s volume. An important role in meeting the specific objective of HP SD 1 is played also by projects focused on public lighting. Completed projects include, for example, a project to modernise public lighting in the municipality of Mad in the Trnava region, or the project for the reconstruction and subsequent densification of the public lighting network in the municipality of Neverice in the Nitra region, and others. These projects include also the installation of regulation of lighting, which together with the new lamps and light sources delivers considerable energy savings. The result of the reconstruction of public lighting will be the creation of a modern and functional system of public lighting. The use of new modern technology will allow a substantial reduction in the energy intensity of the lighting system, whilst respecting increased requirements for environmental protection, whereby, besides the economic benefits, there is also created environmental benefit. These will be seen particularly in a decrease in power consumption, accompanied by reduced emissions. A fully functioning system of public lighting will also enhance security in public places. Power savings will allow the local government also to reduce expenses and subsequently use saved public funds to pursue other development plans raising the quality of life for the local community and region. In this regard, note should be taken of the important fact that the OP CEG projects focused on the construction and upgrading of public lighting are, in terms of the objectives of the Priority Axis 2 Energy, of a supplementary nature and contribute primarily to the fulfilment of the indicator “Number of Supported Projects”, but have a very broad impact on the awareness of EU aid among the public.

Implementation of **Priority Axis 3 Tourism** of the OP CEG contributes to the specific objective HP SD 1 and contributes to the fulfilment of its objective:

* *strengthening the competitiveness and efficiency of the Slovak economy*

Implementation of **Priority Axis 3 Tourism** of the OP CEG also contributes to the achievement of specific objective HP SD 4 and contributes to the fulfilment of its sub-objective:

* *strengthening the overall economic, social and cultural potential of regions through creating new jobs*

Under Priority Axis 3, the OP CEG contributes to the fulfilment of the specific objectives of HP SD, for example the project entitled Štós Spa – Reconstruction of the Main Building 2nd stage: pool, installation, passenger lift and heat source. The project’s implementation will ensure the creation of a further 2 new jobs. The aim of the project is to increase the competitiveness of tourism in the field of the provision of comprehensive tourist services with year-round use in the Košice region. A contribution to creating the conditions for providing comprehensive tourist services with year-round use comes from a project for the reconstruction and completion of the Inovec chalet and the restoration of the full operation of a well-known tourist facility under the Inovec mountain. The project ensured the creation of 3 new jobs and at the same time 3 tourism products. The creation of some 38 jobs is declared by the project Business House Mikado in Nitra, which through the construction of a hotel complex will take account also of requirements for the energy intensity of buildings, which is important for preserving the environment and for rationalising the consumption of energy resources. The project created high-quality accommodation facilities for 90 guests per day, convention and social facilities for 154 guests per day and premises for leisure and sport.

The completed OP CEG projects have a high chance of sustainability and further development. **A comprehensive qualitative and quantitative evaluation of the OP CEG strategy and the fulfilment of the objectives of its priority axes as well as in relation to the HP SD will be provided by the strategic evaluation of the OP CEG, which is planned for 2012**. The evaluation report will contain an in-depth assessment of the projects’ results achieved and their contribution to the strategic document – Europe 2020.

**Description of the problems associated implementation of HP SD**

During the reporting period there were not identified any problems connected with the implementation of HP SD.

# *Technical Assistance*

For this part of the OP CEG, which represents support for the management, implementation, control, monitoring, financing and other activities relating to management and implementation of this OP, there was allocated from the total allocation the sum of €28 427 765.00 (of which ERDF €24 163 600.00 and SB €4 264 165.00).

As at 31 December 2011 contracting for Priority Axis 4 for ERDF funds totalled €16 516 564.83, representing 68.36% of the total TA allocation for the OP CEG (for EU funds).The largest part of the contracted amount was used for covering payroll costs of eligible employees (of the MA, IB MA and HTUs). Drawing of EU funds according to the ITMS totalled €9 358 718.36 (€9 368 418.46 after taking account of irregularities declared in applications for payment to the Commission).

Table 61: Drawing of funds under Priority Axis 3 as at 31 December 2011

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Priority Axis 4 – TA** | **Commitment  2007-2013  for EU funds**  **(€)** | **Fund drawing in 2011**  **(EU funds) (€)** | | **Cumulative drawing of funds as at 31.12.2011**  **(EU funds) (€)** | |
| **Total** | **% of commitment 2007 -2013** | **Total** | **% of 2007 – 2013 commitment** |
| **24 163 600** | **3 501 270.47** | **14.49** | **9 358 718.36** | **38.73%** |
| 4.1 – TA | 24 163 000 | 3 501 270.47 | 14.49 | 9 358 718.36 | 38.73% |

Source: CA

More information on the breakdown of contracted projects for priority activities is given in the following table.

Table 62: Overview of the use of TA funds by priority activity as at 31 December 2011

|  |  |  |  |
| --- | --- | --- | --- |
| **Priority activities[[158]](#footnote-158)** | **Contracted amount (EU funds)** | | |
| **(€)** | **as % of allocation for TA for the OP CEG** | **as % of total contracted amount for the TA of the OP CEG** |
| Training, monitoring, working and coordination groups, committees and commissions | 84 677.17 | 0.35 | 0.51 |
| Evaluation and studies | 40 420.96 | 0.17 | 0.24 |
| Information and publicity | 1 372 495.71 | 5.68 | 8.31 |
| Wages (including personnel costs) | 14 033 968.55 | 58.08 | 84.97 |
| Operational activities and travel costs (including salaries of external staff) | 325 168.86 | 1.35 | 1.97 |
| Technical equipment (hardware, software, furniture, cars, etc.) | 4 231.56 | 0.02 | 0.03 |
| Others (e.g. legal services) | 655 602.02 | 2.71 | 3.97 |
| **Total** | **16 516 564.83** | **68.36** | **100.00** |

Source: ITMS

# *Information and publicity*

Information and publicity for the OP CEG in 2011 was conducted pursuant to the CP and relevant articles of Commission Regulation (EC) no. 1828/2006. Pursuant to Article 7 of Commission Regulation (EC) no. 1828/2006 the MA is required to ensure that information and publicity measures are conducted in accordance with the CP, with the objective of achieving the broadest possible media coverage, using various forms and methods of communication at an appropriate territorial level.

For promoting information and publicity of the OP CEG and declared calls, there were conducted, in the framework of the CP, in 2011 the following information and communication activities:

* publication of information about declared calls and opportunities for drawing funds by means of the OP CEG,
* publication and updating of the website [www.mhsr.sk](http://www.mhsr.sk) and IB webpages,
* communication through the mass media, informing the public in accordance with Act no. 211/2000 Coll. on freedom of access to information, as amended, and direct information to the public,
* press conferences,
* printed information materials,
* dissemination of information on the OP CEG in regions,
* publication of the list of beneficiaries.

According to the schedule of calls for 2011, the declared calls were advertised in the influential daily newspaper SME, the business daily newspaper Hospodárske noviny, and the business weekly Trend. In addition to advertising of the calls, publicity during 2011 is supported also by the publication of agency reports in the press agencies TASR and SITA. In the framework of presenting successful projects, 2 articles for Eurokompas were created and published, namely: “Raising competitiveness of the firm HYDREX, s.r.o. through the purchase of innovative technology and the importance of support from the Structural Funds for the development of mechanical engineering in Slovakia” (published in issue 1/2011). The second article, entitled: “Successful Structural Funds project in Eastern Slovakia region, focused on high-efficiency cogeneration of heat and power – How the Structural Funds are helping in Eastern Slovakia” – published in issue 3/2011). All articles published in Eurokompas were also published on the websites at[www.mhsr.sk](http://www.mhsr.sk) and[www.nsrr.sk](http://www.nsrr.sk).

All information regarding the OP CEG, declared calls and complete documentation to calls was published on the websites of the MA[[159]](#footnote-159) and IBs[[160]](#footnote-160), as well as on the website of the CCA[[161]](#footnote-161) information portal. Basic information consisted of the declared calls and the respective links to them and invitations to seminars regarding the current calls. In the period from 1 January 2011 to 31 December 2011 the website [www.mhsr.sk](http://www.mhsr.sk) recorded 28 688 visits and, besides visits from Slovakia, the most frequent visits were recorded from the Czech Republic, Hungary, Sweden and the Netherlands.

In 2011 13 requests were sent to the e-mail address [opkahr@mhsr.sk](mailto:opkahr@mhsr.sk), to which all interested parties can send their questions regarding the OP CEG. In the framework of raising public awareness in accordance with Act no. 211/2000 Coll. on freedom of access to information, 54 written requests were dealt with. Since the number of declared calls is decreasing, there is also falling interest in information concerning possibilities for support connected with the implementation of projects supported under the OP CEG in all its forms.

Information regarding individual calls was continuously updated as needed, in cooperation with the Communications Department of the MoE SR, while the IB and the FCIC made regular updates to their websites. Information was provided by these institutions by telephone, e-mail, in writing or in person.

In connection with the declaration of calls, information on the ways and rules for submitting applications for payment was provided to applicants by way of information seminars, which the respective IB organised for each call. Direct information was given to the public and 4 seminars in respect of declared calls, and which were attended by 191 participants. Staff at the MA and IBs also provided information on opportunities for drawing grants under the OP CEG at various conferences of industry associations and the Union of Towns and Cities of Slovakia. Information materials relating to the state aid scheme were drawn up in respect of the individual calls, in which there was published detailed information concerning the opportunities for drawing funds under the OP CEG, broken down by the individual measures. For the purpose of promoting the information activities conducted by the MA, information and promotional materials were used, as well as a full-colour 36-page brochure and a folding map, which was published at the end of 2011 in Slovak and English versions in a total number of 6500 units.

Given the advanced stage of OP CEG implementation, the MA informed the public at large about the state of the programme’s implementation by means of press conferences by government members on 14 and 19 December 2011. Press releases with a detailed breakdown, as well as a current publication and map were provided to press agencies and distributed to IBs and FCICs. A press conference by the Deputy Prime Minister of the SR and Minister for Transport, Construction & Regional Development as the statutory representative of the CCA in the presence of representatives of the MoE SR focused on a breakdown of the OP CEG, with emphasis on Priority Axis 3 Tourism: <http://www.mindop.sk/index/index.php?ids=36301&prm2=116233> and a press conference by the SR Minister of Economy was focused on a breakdown of the OP CEG: <http://www.economy.gov.sk/aktuality-mh-sr-vyhlasilo-nove-vyzvy-pre-podnikatelsky-sektor/10s137364c>.

In accordance with Article 7(d) of Commission Regulation (EC) no. 1828/2006, lists of beneficiaries are published both on the central website of the CCA[[162]](#footnote-162) and the MA website and on the IB webpages. Lists of approved applications for payment, as well as lists of contracted projects under the OP CEG, stating the name of the beneficiary, project name, grant amount, can be found on the websites: <http://www.mhsr.sk/zoznam-schvalenych-ziadosti-o-nenavratny-financny-prispevok-6903/128588s> and <http://www.mhsr.sk/zoznam-zmluvne-viazanych-projektov/130843s>, [www.siea.sk](http://www.siea.sk) and [www.sacr.sk](http://www.sacr.sk)

All information and publicity activities under the OP CEG were conducted by the MA in 2011 in cooperation with other bodies involved in implementation of this OP. Besides the IBs, this concerned mainly cooperation and support at the regional level by means of the FCICs of the individual HTUs (other than the Bratislava HTU) on the basis of concluded framework agreements pursuant to the respective addenda. The purpose of these agreements is to coordinate the activities of the MoE SR and the HTUs in relation to ensuring the preparation of documents for the field of regional development, awareness among potential beneficiaries and the public at large in the framework of the OP CEG and in relation to fulfilling the CP. Information and advice was provided in the following ways: personal consultations, telephone conversations, electronic and written communication. Information boards concerning the OP CEG and its current calls are placed in the premises of HTUs. By way of these information boards the public is able to obtain general information on the OP CEG, a current overview of the possibilities of obtaining a grant under the OP. Likewise, invitations are published on the information boards to all workshops organised by the individual IBs.

Table 63 shows the fulfilment of the CP indicators:

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indicators** |  | **unit of measure** | **2007** | **2008** | **2009** | | **2010** | **2011** | **2012** | **2013** | **2014** | **2015** | **Total** | **Source** |
| **Indicator 1:** | Achieved impact | *% awareness of the OP, ERDF and tasks of the EU in balancing out disparities between regions* | N/A | N/A | 1 indicator | 2 indicator | N/A | N/A | N/A | N/A | N/A | N/A | N/A | MA, public opinion survey |
| 6% unsupported | 22% of unsupported |
| 48% supported knowledge | 56% supported |
| *Level of public awareness about the OP CEG, CP activities, measures and tasks of the EU in these activities* | Target | N/A | N/A | N/A | | N/A | N/A | N/A | N/A | N/A | N/A | 1 indicator – 20% of the total 18+ population  –––––––––––– 2 indicator – 60% of the target group of entrepreneurs |
| Baseline | N/A | N/A | ascertained in the first public opinion survey in 2009 pursuant to the CP | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 2:** | Achieved impact | *number of grant applicants* | 0 | 1 038 | 1 322 | | 869 | 532 | N/A | N/A | N/A | N/A | 4 005 | MA, IB |
| *Interest in support from the OP* | Target | N/A | N/A | N/A | | N/A | N/A | N/A | N/A | N/A | N/A | aggregate 8000 at 31.12.2015 |
| Baseline | 0 | 0 | 0 | | 0 | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 3:** | Result achieved | *physical number* | 0 | 1 282 | 901 | | 426 | 191 | N/A | N/A | N/A | N/A | 2 374 | MA, IB |
| *Number of participants / visitors to seminars, conferences and other events involving the public* | Target | 600 annually | 600 annually | N/A | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | 0 | 0 | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| **Indicator 4:** | Result achieved | *number of hits / number of registered users* | 0 | N/A | 50 088/- | | 282 044 | 28 688 | N/A | N/A | N/A | N/A | 360 820 | MA |
| *Website visits* | Target | N/A | N/A | 18 000 | | 18 000 | 18 000 | 18 000 | 18 000 | 18 000 | 18 000 | 324 000 |
| Baseline | 0 | 0 | 0 | | 0 | 0 | N/A | N/A | N/A | N/A | N/A |
| **Indicator 5:** | Output achieved | *physical numbers* | 0 | 26 | 34 | | 11 | 4 | N/A | N/A | N/A | N/A | 75 | MA, IB |
| *Number of seminars, conferences and other events involving the public* | Target | 6 annually | 6 annually | 6 annually | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | 0 | 0 | | N/A | 0 | N/A | N/A | N/A | N/A | N/A |
| **Indicator 6:** | Output achieved | *physical number* | 0 | 11/2/2 | 13 | | 2/1/2 | 1/1/20 | N/A | N/A | N/A | N/A | 17/4/24 | MA, IB |
| *Number of published guides for the target group and number of publications (physical number)* | Target | 1 guide for each call on the internet, at minimum 2 printed materials for the programming period, 6 publications for promoting the programme for the period | 1 guide for each call on the internet, at minimum 2 printed materials for the programming period, 6 publications for promoting the programme for the period | N/A | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Baseline | 0 | 0 | 0 | | 0 | 0 | N/A | N/A | N/A | N/A | N/A |
| **Indicator 7:** | Output achieved | *physical number* | 0 | 60 | 997 | | 56 | 22 | N/A | N/A | N/A | N/A | 1 135 | MA, IB |
| *Number of published communications (reports, interviews, advertisements) in all types of media* | Target | 25 annually | 25 annually | 25 | | 25 | 25 | 25 | 25 | 25 | 25 | 225 |
| Baseline | 0 | 0 | 0 | | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Source: IB, MA

# *Annex 1 Overview of declared calls and direct awards as at 31 December 2011*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Priority axis** | **Call code:** | **Date** | | **Allocation of funds for call (€)**  **(SF + SB)** | **Projects received** | | **Approved projects** | | **Contracted projects** | | **Number of projects in implementation** | **Number of projects completed** | **Drawing in €**  **(SF + SB)** |
| Measure | **declaration of call** | **completion of call** | **Number** | **Requested contribution (€) (SF + SB)** | **Number** | **Requested contribution (€) (SF + SB)** | **Number** | **Amount of contracted funds (€) (SF + SB)** |
| Sub-Measure |
|
|
| **1** |  |  |  |  | **1 560** | **1 118 901 330.57** | **529** | **412 343 833.87** | **448** | **381 420 708.92** | **186** | **252** | **194 380 924.97** |
| 1.1 | KaHR-11JER-1101 | 24.6.2011 | 29.6.2011 | 31 764 706.00 | 1 | 31 764 706.00 | 1 | 31 764 706.00 | 1 | 31 764 706.00 | 1 | 0 | 120 756 941.61 |
| 1.1.1 | KaHR-111SP-0801 | 31.3.2008 | 4.7.2008 | 49 790 878.31 | 308 | 250 045 362.66 | 32 | 47 092 632.80 | 28 | 43 105 166.27 | 10 | 18 |
| KaHR-111SP-0902 | 3.8.2009 | 2.12.2009 | 124 905 887.00 | 169 | 204 934 234.39 | 77 | 101 979 354.86 | 75 | 100 245 002.26 | 44 | 28 |
| KaHR-111SP-1001 | 25.1.2010 | 11.5.2010 | 39 000 000.00 | 157 | 130 604 612.91 | 40 | 29 975 264.41 | 39 | 29 475 344.41 | 33 | 0 |
| KaHR-111DM-0801 | 14.7.2008 | 17.10.2008 | 33 193 918.87 | 205 | 26 995 367.42 | 87 | 11 886 992.71 | 66 | 8 739 221.52 | 4 | 62 |
| KaHR-111DM-0901 | 15.6.2009 | 14.9.2009 | 25 000 000.00 | 165 | 46 161 431.80 | 74 | 24 623 852.14 | 72 | 23 641 030.43 | 23 | 49 |
| KaHR-111SP/LSKxP-1101 | 30.9.2011 | *29.2.2012* | 16 470 588.00 |  |  |  |  |  |  |  |  |
| KaHR-111SP-1101 | 16.12.2011 | *19.3.2012* | 44 000 000.00 |  |  |  |  |  |  |  |  |
| 1.1.2 | DOP2008-SIP001 | 28.8.2008 | 28.11.2008 | 9 958 175.66 | 220 | 16 515 079.25 | 108 | 9 921 776.32 | 89 | 8 011 929.39 | 15 | 74 | 6 710 278.47 |
| 1.1.3 | KaHR-113DM-0801 | 12.3.2008 | 13.6.2008 | 8 298 479.72 | 41 | 3 749 556.80 | 28 | 2 213 401.20 | 18 | 1 496 710.34 | 5 | 13 | 2 389 153.88 |
| KaHR-113DM-0901 | 25.5.2009 | 24.8.2009 | 4 000 000.00 | 52 | 4 755 070.70 | 30 | 2 511 504.23 | 28 | 2 087 204.39 | 20 | 8 |
| 1.2 | KaHR-12VS-0801 | 12.5.2008 | 17.10.2008 | 46 471 486.42 | 9 | 62 970 270.90 | 5 | 37 040 967.29 | 5 | 36 013 346.04 | 5 | 0 | 28 265 621.03 |
| KaHR-12VS-0901 | 12.10.2009 | 22.2.2010 | 50 000 000.00 | 19 | 134 336 218.60 | 6 | 47 935 195.63 | 6 | 47 622 925.45 | 5 | 0 |
| 1.3 | KaHR-13SP-0801 | 19.12.2008 | 17.4.2009 | 82 984 797.19 | 46 | 42 556 437.56 | 21 | 18 155 301.02 | 16 | 12 854 773.42 | 16 | 0 | 36 258 929.98 |
| KaHR-13DM-0901 | 3.9.2009 | 27.1.2010 | 15 000 000.00 | 17 | 3 645 204.77 | 4 | 1 106 481.00 | 4 | 1 069 231.00 | 4 | 0 |
| KaHR-13SP-1001 | 26.4.2010 | 26.10.2011 | 51 226 064.00 | 150 | 124 573 658.81 | 15 | 10 842 286.26 |  |  |  |  |
| KaHR-13JER-0902 | 21.10.2009 | 26.10.2009 | 35 294 118.00 | 1 | 35 294 118.00 | 1 | 35 294 118.00 | 1 | 35 294 118.00 | 1 | 0 |
| **2** |  |  |  |  | **1 212** | **447 385 288.28** | **219** | **157 785 600.01** | **212** | **141 992 498.51** | **124** | **51** | **52 771 967.70** |
| 2.1 | KaHR-21SP-0801 | 11.8.2008 | 16.1.2009 | 82 984 797.19 | 87 | 147 650 025.60 | 32 | 69 866 365.14 | 28 | 59 343 604.61 | 10 | 18 | 45 947 827.67 |
| KaHR-21DM-0801 | 12.3.2008 | 13.6.2008 | 23 235 743.21 | 36 | 4 389 873.16 | 11 | 1 365 796.03 | 9 | 948 216.39 | 2 | 7 |
| KaHR-21DM-0901 | 4.5.2009 | 3.8.2009 | 15 000 000.00 | 45 | 4 702 340.38 | 19 | 1 947 115.49 | 19 | 1 946 627.82 | 10 | 9 |
| KaHR-21SP-0901 | 26.10.2009 | 22.3.2010 | 57 000 000.00 | 89 | 114 311 352.05 | 39 | 50 624 304.83 | 38 | 49 786 909.87 | 29 | 0 |
| 2.2 | KaHR-22VS-0801 | 16.9.2008 | 13.2.2009 | 23 235 743.21 | 400 | 63 021 502.53 | 117 | 23 082 018.52 | 117 | 22 620 943.73 | 72 | 17 | 6 824 140.03 |
| KaHR-22VS-1001 | 15.3.2010 | 28.6.2010 | 17 634 856.00 | 554 | 102 410 194.56 |  |  |  |  |  |  |
| KaHR-22NP-0901 | 1.7.2009 | 14.8.2009 | 10 900 000.00 | 1 | 10 900 000.00 | 1 | 10 900 000.00 | 1 | 7 346 196.09 | 1 | 0 |
| **3** |  |  |  |  | **581** | **849 113 021.75** | **105** | **171 578 749.35** | **86** | **135 119 221.20** | **58** | **28** | **85 221 991.82** |
| 3.1 | KaHR-31SP-0801 | 14.4.2008 | 18.7.2008 | 82 984 797.19 | 156 | 373 913 132.84 | 23 | 82 975 539.16 | 19 | 68 526 241.05 | 13 | 6 | 75 632 416.10 |
| KaHR-31DM-0801 | 9.6.2008 | 12.9.2008 | 16 596 959.44 | 62 | 9 858 677.51 | 25 | 4 526 762.71 | 16 | 2 816 043.40 | 7 | 9 |
| KaHR-31SP-0802 | 16.9.2008 | 13.2.2009 | 40 219 472.87 | 158 | 362 651 759.56 | 10 | 38 363 847.53 | 6 | 19 219 294.62 | 5 | 1 |
| KaHR-31DM-0902 | 20.7.2009 | 19.10.2009 | 29 988 706.00 | 204 | 75 442 373.84 | 46 | 18 465 521.95 | 44 | 17 310 564.13 | 32 | 12 |
| KaHR-31SP-1101 | 16.12.2011 | 19.3.2012 | 36 000 000.00 |  |  |  |  |  |  |  |  |
| 3.2 | KaHR-32NP-0901 | 13.7.2009 | 31.7.2009 | 27 247 079.00 | 1 | 27 247 078.00 | 1 | 27 247 078.00 | 1 | 27 247 078.00 | 1 | 0 | 9 589 575.72 |
| **TOTAL** | | | | **1 130 387 253.28** | **3 353** | **2 415 399 640.60** | **853** | **741 708 183.23** | **746** | **658 532 428.63** | **368** | **331** | **332 374 884.49** |

Source: IB, ITMS

Note: The overview does not include direct awards for TA.

Drawing on calls is not monitored by the CA or MO.

The data entered are cumulative. For reason of avoiding duplication, cancelled calls are not shown in the overview.

In the case of the multi-round call, code KaHR-13SP-1001, the overall evaluation had not been completed; the results are only from the first round.

# *Annex 2 Payments received from the European Commission*

Commission reference number: CCI 2007 SK 161 PO 001

Programme name: OP CEG

State as at 31 December 2011

(€)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Fund** | **Application for Payment no.** | **Date of submitting application for payment to the Commission** | **Sum requested** | **Sum paid** | **Date of crediting funds to CA account** | **Difference between the sum requested and sum paid** |
| ERDF | 1st advance payment | x | x | 15 440 000 | 11.12.2007 | x |
| ERDF | 2nd advance payment | x | x | 23 160 000 | 09.04.2008 | x |
| ERDF | 3rd advance payment | x | x | 15 440 000 | 09.02.2009 | x |
| ERDF | 4th advance payment | x | x | 15 440 000 | 21.04.2009 | x |
| ERDF | 2510900701 | 09.11.2009 | 1 334 965.37 | 1 334 965.37 | 03.12.2009 | 0 |
| ERDF | 2510900702 | 18.12.2009 | 41 540 022.87 | 41 540 022.87 | 01.02.2010 | 0 |
| ERDF | 2511000701 | 17.06.2010 | 13 766 841.40 | 13 766 841.40 | 02.07.2010 | 0 |
| ERDF | 2511000702 | 29.10.2010 | 30 229 753.98 | 30 229 753.98 | 30.11.2010 | 0 |
| ERDF | 2511100701 | 03.03.2011 | 50 698 023.73 | 50 698 023.73 | 23.03.2011 | 0 |
| ERDF | 2511100702 | 06.09.2011 | 89 207 299.49 | 89 207 299.49 | 23.09.2011 | 0 |
| ERDF | 2511100703 | 31.10.2011 | 22 425 167.64 | 22 425 167.64 | 25.11.2011 | 0 |
| **Total** | **x** | **x** | **249 202 074.48** | ***318 682 074.48*** | **x** | **0** |

Source: CA

# *Annex 3: Summary breakdown of the allocated Community contribution by category in the annual and final report on implementation*

Commission reference number: CCI 2007 SK 161 PO 001

Programme name: OP CEG

Date of the last Commission decision for the OP C & EG: 15 September 2010 (no. K(2010 6168))

State as at 31 December 2011

Table forms a separate annex to the Annual Report.

Source: ITMS, IB

Explanatory notes:

Priority Theme

|  |  |
| --- | --- |
| **Category name** | **Category code** |
| RTD activities at research centres\* | 01 |
| Technology transfer and improvement in cooperation networks between small and medium sized businesses (SMEs), between these and other businesses and universities, post-secondary education establishments all kinds, regional authorities and research centres and scientific and technological poles (scientific and technological parks, technopoles, etc.)\* | 03 |
| Assistance for RTD, particularly at SMEs (including access RTD services in research centres)\* | 04 |
| Advanced support services for firms and groups of firms\* | 05 |
| Assistance for SMEs for the promotion of environmentally-friendly products and production processes (introduction of effective environment managing system, adoption and use of pollution prevention technologies, integration of clean technologies into firm production)\* | 06 |
| Investment in firms directly linked to research and innovation (innovative technologies, establishment of new firms by universities, existing research & technological development centres and firms, etc.)\* | 07 |
| Other investment in firms \* | 08 |
| Other measures to stimulate research and innovation and entrepreneurship in SMEs \* | 09 |
| Information and Communication Technologies\* | 12 |
| Services and applications for SMEs (e–commerce, education and training, networking, etc.)\* | 14 |
| Renewable energy sources: solar \* | 40 |
| Renewable energy sources: biomass\* | 41 |
| Renewable energy sources: hydroelectric, geothermal and other \* | 42 |
| Energy efficiency, cogeneration, energy management\* | 43 |
| Rehabilitation of industrial sites and contaminated land | 50 |
| Other measures to preserve the environment and prevent risks \* | 54 |
| Protection and development of natural heritage | 56 |
| Other assistance to improve tourist services | 57 |
| Protection and preservation of cultural heritage | 58 |
| Development of cultural infrastructure | 59 |
| Development of specific services for employment, training and support in connection with restructuring sectors and firms and development systems for anticipating economic changes and future requirements in terms of jobs and skills\* | 64 |
| Preparation, implementation, monitoring and inspection | 85 |
| Evaluation and studies; information and communication | 86 |

\* categories contributing to the implementation of the Lisbon objectives under the Convergence and Regional Competitiveness and Employment objectives

Form of funding

|  |  |
| --- | --- |
| Category name | Category code |
| Non-repayable subsidy | 01 |
| Assistance (loan, interest subsidy, guarantees) | 02 |
| Venture capital (participation, venture capital fund) | 03 |

Supported territory

|  |  |
| --- | --- |
| Category name | Category code |
| Urban | 01 |
| Rural areas (other than mountains, islands or sparsely and very sparsely populated areas) | 05 |
| Not applicable | 00 |

Economic activity

|  |  |
| --- | --- |
| Category name | Category code |
| Food and beverages production | 03 |
| Manufacture of textiles and clothing | 04 |
| Manufacture of transport vehicles | 05 |
| Unspecified production industries | 06 |
| Mining and processing of energy raw materials | 07 |
| Supply of electricity, gas, steam and hot water | 08 |
| Hotels and restaurants | 14 |
| General government | 17 |
| Other unspecified services | 22 |
| Not applicable | 00 |

Location

|  |  |
| --- | --- |
| Category name | Category code |
| Trnava region | SK021 |
| Trenčín region | SK022 |
| Nitra region | SK023 |
| Žilina region | SK031 |
| Banská Bystrica region | SK032 |
| Prešov region | SK041 |
| Košice region | SK042 |
| Slovak Republic | SK0 |

# *Annex 4 Applications for payment submitted to the European Commission*

Commission reference number: CCI 2007 SK 161 PO 001

Programme name: OP CEG

State as at 31 December 2011

(€)

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Priority axis | Commitment  2007 – 2013 | AfP no. **2510900701** date:  **09.11.2009** | AfP no. **2510900702** date:  **18.12.2009** | AfP no. **2511000701** date:  **17.06.2010** | AfP no. **2511000702** date:  **29.10.2010** | AfP no. **2511100701** date:  **03.03.2011** | AfP no. **2511100702** date:  **06.09.2011** | AfP no. **2511100703** date:  **31.10.2011** | Total amount of funds for the AfPs | % of 2007 – 2013 commitment |
| 1 | 437 320 000 | 338 013.40 | 35 703 884.57 | 4 990 952.84 | 9 970 832.89 | 26 242 982.41 | 59 034 294.24 | 12 462 910.44 | 148 743 870.79 | 34.01 |
| 2 | 168 836 400 | 0.00 | 0.00 | 389 047.70 | 5 257 148.64 | 12 954 333.47 | 10 651 601.51 | 4 310 535.34 | 33 562 666.66 | 19.88 |
| 3 | 146 680 000 | 0.00 | 4 872 458.63 | 7 232 820.40 | 13 769 124.33 | 9 990 560.14 | 17 412 648.88 | 5 376 295.80 | 58 653 908.18 | 39.99 |
| 4 | 24 163 600 | 996 951.97 | 963 679.67 | 1 154 020.46 | 1 232 648.12 | 1 510 147.71 | 2 108 754.86 | 275 426.06 | 8 241 628.85 | 34.11 |
| **Total** | **777 000 000** | **1 334 965.37** | **41 540 022.87** | **13 766 841.40** | **30 229 753.98** | **50 698 023.73** | **89 207 299.49** | **22 425 167.64** | **249 202 074.48** | **32.07** |

Source: CA

# *Annex 5 List of on-the-spot checks carried out by the MA and IB in 2011*

Inspections performed by the MA

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Name of beneficiary** | **Project name / ITMS code** | **Place and time of physical on the-spot checks** | **Volume of funds checked** | **% share of checked funds to eligible expenses of the project** | **Volume of identified ineligible expenditures** | **Key findings, including any irregularities** | **Draft measures for remedying deficiencies found** | **State of measures adopted** |
| MoE SR | Securing funding of payroll expenses, including the remuneration and the employer’s deductions for MoE staff involved in the management, implementation, monitoring, control and audit of OP CEG for the period April 2009 – December 2011  ITMS code: 25140120009215 | Bratislava  27.05.2011 – 30.05.2011 | 95 416.21 | 28.34 | 0.00 | Failure to keep analytical accounts and special analytical accounts for the project | Measure:  1. Establish analytical records and analytical accounts for the project, code 25140120009 in the period from 1 January 2012.  2. In the period to 30 September 2011 introduced labelling open bracket by creating a separate sponsor for the project with verbal designation of the project subject, the respective OP and the final three digits of the project) in accounting of credit movements in the framework of the reimbursing salaries and insurance fund payments related to funds received from the TA in the framework of the project. Maintain this labelling up until the time of establishing analytical records and special analytical records for all facts related to the project.  These proposed measures derive from the fact that at present at the beneficiary it is not possible for technical reasons (cooperation with the programmer is not possible) to establish analytical records for all facts related to the project. | Deadline for fulfilling adopted measures: 30 September 2011 and 10 January 2012. The measure which the beneficiary was to fulfil by 30 September 2011 has been fulfilled. |

Source: MA

Inspections performed by the SIEA

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Name of beneficiary** | **Project name** | **ITMS code** | **Place and time of physical on the-spot checks** | | | **Volume of funds checked** | **% share of checked funds to eligible expenses of the project** | **Volume of identified ineligible expenditures** | **Key findings, including any irregularities** | **Draft measures for remedying deficiencies found** | **State of measures adopted** |
|
| VÚEZ a.s. | Reduction in energy intensity by improving the thermo-technical properties of buildings | 25120120050 | Rybník, Levice | 18.1.2011 | 18.1.2011 | 50 397.14 | 100.00 | 0.01 | Reduction of eligible expenses due to mathematical differences. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Remeslo strojal, s.r.o. | Innovative technologies for mechanical engineering | 25110120392 | Žiar nad Hronom | 20.1.2011 | 20.1.2011 | 278 795.00 | 31.78 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Štefanov municipality | Reconstruction of public lighting in Štefanov municipality | 25120522084 | Štefanov municipality | 21.1.2011 | 21.1.2011 | 238 619.48 | 95.00 | 0.00 | No breach of contract conditions was found. | No measures were proposed |  |
| BYTES, s.r.o | Central heat source based on biomass – Detva | 25120120010 | Ilava | 1.2.2011 | 1.2.2011 | 24 738.81 | 0.36 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Grafobal, a.s Skalica | Modernisation of polygraphic technology | 25110120046 | Skalica | 2.2.2011 | 2.2.2011 | 2 080 000.00 | 18.85 | 0.00 | Beneficiary declared eligible expenses €11 216.89 lower than budgeted. The difference arose due to exchange rate differences in the budget compared to the works contract. No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| KEREX s.r.o. Michalovce | The introduction of innovative and advanced technology for the surface treatment of metal products | 25110120034 | Michalovce | 26.1.2011 | 26.1.2011 | 2 726 455.00 | 88.83 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| KC INTERIÉR, s.r.o. | Reducing the energy consumption of buildings of the company KC INTERIÉR, s.r.o. by way of insulation, replacement of windows, doors and boiler | 25120120043 | Kežnarok | 28.1.2011 | 28.1.2011 | 120 991.68 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Kozárovce municipality | Building and upgrading of public lighting in the Kozárovce municipality | 25120220028 | Municipality Kozárovce | 8.2.2011 | 8.2.2011 | 237 770.56 | 95.00 | 9 400.71 | Discrepancy in the spatial distribution of certain lamps against the project documentation – reclassification into ineligible expenditures, Irregularity N21100307 | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Chemosvit Energochem, a.s., Svit | Increasing energy efficiency at CHEMOSVIT ENERGOCHEM, a.s. | 25120120018 | Svit | 10.2.2011 | 18.2.2011 | 1 717 056.86 | 15.27 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001 | Bratislava | 14.2.2011 | 16.2.2011 | 361 103.69 | 3.33 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Nitrianska municipality Blatnica | Upgrading and reconstruction of public lighting in the town of Nitra Blatnica | 25120220018 | Municipality Nitrianska Blatnica | 15.2.2011 | 15.2.2011 | 236 682.61 | 94.98 | 64.91 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| PRAVEL spol. s r.o. | Small hydro power plant Prakovce II | 25120120039 | Prakovce | 15.2.2011 | 8.3.2011 | 449 604.68 | 18.09 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | AfP rejected |  |
| DAVID interier desing, spol s r.o. | Purchase innovative technology to modernise production | 25110120397 | Piešťany | 16.2.2011 | 16.2.2011 | 195 837.00 | 8.21 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Prestavlky municipality | Reconstruction of public lighting Prestavlky | 25120220095 | Municipality Prestavlky | 22.2.2011 | 22.2.2011 | 47 179.92 | 99.99 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Senica | Reconstruction and upgrading of public lighting Senica | 25120220091 | Municipality Senica | 22.2.2011 | 22.2.2011 | 141 930.14 | 53.93 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Neverice municipality | Reconstruction and densification of public lighting networks – Neverice | 25120220113 | Municipality of Neverica | 23.2.2011 | 23.2.2011 | 60 361.75 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| ROSENBERG-SLOVAKIA, spol. s r.o.Košice | Reducing energy consumption in the manufacturing process of the company ROSENBERG-SLOVAKIA, s.r.o.. | 25120120032 | Medzev | 23.2.2011 | 23.2.2011 | 232 106.79 | 11.27 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| VILLA PRO,s.r.o. | Replacement windows and insulation of production hall of VILLA PRO, s.r.o. to increase its competitiveness | 25120120048 | Spišská Nová Ves | 8.3.2011 | 15.3.2011 | 40 450.14 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| RANOX, s.r.o. | Product innovation and enhancing the competitiveness of the manufacturing process and the performance of the company RANOX, s.r.o. | 25110120407 | Senica | 8.3.2011 | 8.3.2011 | 886 100.00 | 61.75 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Confal a.s. | The use of waste heat from flue gas fluxing unit at the company Confal a.s., Slovenská Ľupča | 25120120001 | Slovenská Ľupča | 8.3.2011 | 8.3.2011 | 241 611.03 | 99.99 | 224.38 | In checking the list of equipment supplied and work performed on the individual invoices it was found that in the case of two items of expenditure the name of the expenditure on the invoice does not match the name of the expenditure in the grant contract (budget). In verifying the mathematical accuracy of the AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for some items was invoiced incorrectly. Therefore, part of the expenditure was reclassified as ineligible expenditure | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Horný Vadičov municipality | Project for reconstruction of public lighting of the Upper Vadičov municipality | 25120220003 | Horny Municipality Vadičov | 10.3.2011 | 10.3.2011 | 221 839.79 | 85.93 | 13.33 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| ZEN INVEST, a.s. | Reduction in energy demands of buildings of the company ZEN INVEST, a.s. | 25120120051 | Nitra | 15.3.2011 | 15.3.2011 | 452 237.58 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| AHP HYDRAULIKA, a.s. | Technological equipment for the production of axial hydrostatic converters | 25110120002 | Turčianske Teplice | 16.3.2011 | 16.3.2011 | 2 175 900.00 | 34.38 | 41 000.00 | A declared expenditure in the AfP is not listed in the budget to the grant contract; therefore the expenditure was reclassified as an ineligible expenditure. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Oto Bršel GROUP SLOWAKIA | Reduction in the energy demands of property of the firm Oto Bršel GROUP SLOWAKIA | 25120120049 | Nové Zámky | 22.3.2011 | 22.3.2011 | 405 237.59 | 99.99 | 405 237.59 | Following an on-the-spot check the AfP was rejected, because the inspection found that it had been submitted under the incorrect trade name of the beneficiary. On 3.1.2011 the trade name had changed to Oto Bršel Boto enviro, but the AfP had been submitted under the old trade name. | AfP rejected |  |
| UNIGROUP, v.o.s. | Innovation in the production process in furniture production | 25110120403 | Veľký Meder | 21.3.2011 | 21.3.2011 | 633 340.00 | 72.80 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| K & M, a.s., Liptovský Mikuláš | Increasing the competitiveness of K & M, a.s., Liptovský Mikuláš through the introduction of new innovative technologies | 25110120372 | Liptovský Mikuláš | 24.3.2011 | 24.3.2011 | 1 849 500.00 | 33.56 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| KOVACO, spol. s r.o. | Innovation of positioners for manual and robotic welding in peace and small-lot production of weldments. | 25110320019 | Veľká Lehota | 24.3.2011 | 24.3.2011 | 500 000.00 | 25.77 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| OBUV-ŠPECIÁL, spol. s r.o. | Raising the competitiveness of the company OBUV-ŠPECIÁL, spol. s r.o. through investments in innovative technology | 25110120359 | Bardejov | 25.3.2011 | 25.3.2011 | 2 291 868.00 | 48.07 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Devičany municipality | Reconstruction and upgrading of street lighting in the Devičany municipality | 25120220103 | Devičany municipality | 25.3.2011 | 25.3.2011 | 63 859.58 | 100.00 | 2.09 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| ELMAX ŽILINA, a.s. | Raising the competitiveness of the company ELMAX ŽILINA, a.s. | 25110120381 | Žilina Bytčica | 29.3.2011 | 29.3.2011 | 697 072.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Nováky | Reconstruction of public lighting in the town of Nováky | 25120220024 | Town of Nováky | 5.4.2011 | 5.4.2011 | 175 181.96 | 66.57 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Belá municipality | Building and upgrading of public lighting in the municipality of Belá | 25120220023 | Belá municipality | 7.4.2011 | 7.4.2011 | 135 596.58 | 51.54 | 4.91 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| METICON, a.s. | Thermal insulation of facades and exchange of skylights | 25120120059 | Závadka nad Hronom | 19.4.2011 | 19.4.2011 | 396 644.90 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| CHEMES, a.s. Humenné | Raising the energy efficiency of production and supply of energy media at the Chemes industrial park | 25120120040 | Humenné | 14.4.2011 | 14.4.2011 | 434 504.00 | 7.98 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| SPINEA, s.r.o. | Transfer of innovative technology for the introduction of new competitive production of bearing reducers | 25110120022 | Prešov | 19.4.2011 | 28.4.2011 | 3 117 080.00 | 73.89 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| ZEDA B.Bystrica, s.r.o. | Supply and installation of innovative technology for sorting stone aggregates | 25110120394 | Banská Bystrica | 20.4.2011 | 20.4.2011 | 134 077.06 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Turčianske Teplice | Upgrading of public lighting in Turčianske Teplice | 25120220094 | City Turčianske Teplice | 21.4.2011 | 21.4.2011 | 144 167.54 | 55.00 | 3 581.57 | In verifying the mathematical accuracy of the AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for some items had been invoiced incorrectly. In the case of certain items the beneficiary had requested a higher sum of eligible expenses than the approved amount of eligible expenses for the given budget items. Therefore, part of the expenditure was reclassified as ineligible expenditure. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| KWD s.r.o. | Research and new product development at KWD s.r.o. | 25110320003 | Zvolen | 26.4.2011 | 26.4.2011 | 48 300.00 | 6.68 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Tupá municipality | Building and upgrading of public lighting in the municipality of Tupá | 25120220011 | Municipality of Tupá | 28.4.2011 | 28.4.2011 | 210 541.21 | 94.75 | 0.07 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| AVANS s.r.o. | Project for reducing the energy demands of unique historical buildings and raising competitiveness. | 25120120057 | Liptovský Hrádok | 3.5.2011 | 3.5.2011 | 206 410.85 | 54.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| MIKRON SLOVAKIA s.r.o. | raising competitiveness through the introduction of new innovative technologies | 25110120398 | Nové Zámky | 4.5.2011 | 4.5.2011 | 4 026 430.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| BOST SK, a.s. | High-productivity robotic machining centre | 25110320018 | Súvoz | 5.5.2011 | 5.5.2011 | 614 760.00 | 21.94 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| STM POWER a.s. | Reducing energy intensity and utilising solar energy for generating electricity. | 25120120023 | Lieskovec | 27.4.2011 | 27.4.2011 | 2 577 659.95 | 97.13 | 40 335.04 | Inconsistency in the name of an item listed in Annex 11 Budget to the grant contract – “AlMgSi earth-leakage bars, 3200 mm incl. Leakage terminals” and the name of the item stated in the invoice and AfP – “Active lightning conductor”. Therefore it was reclassified as ineligible expenditure. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Skalité municipality | Building and upgrading of public lighting in the Skalité municipality | 25120220006 | Skalité Municipality | 5.5.2011 | 5.5.2011 | 84 550.28 | 32.75 | 3.16 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Čičava municipality | Reconstruction and extension of public street lighting in the Čičava municipality | 25120220059 | Čičava municipality | 3.5.2011 | 9.5.2011 | 106 744.67 | 95.32 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Tesla Liptovský Hrádok a.s. | Increasing the competitiveness of the company TESLA Liptovský Hrádok through the purchase of innovative technologies | 25110120382 | Hronec | 5.5.2011 | 5.5.2011 | 1 149 990.70 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| GEMCASS PROGRESS, s.r.o. | Uzovská Panica solar photovoltaic power plant 0.999 MW. | 25120120036 | Uzovská Panice | 10.5.2011 | 16.5.2011 | 5 530 256.54 | 100.00 | 873.14 | Reduction due to incorrect inclusion in the group of expenditures, namely removal of the soil up to 1 km was classified in the group 713 002 purchase of computer equipment and updating of project documentation – actual state was classified in the expenditure group 717 001 construction of buildings, whereas the expenditure belongs to the expenditure group 716 preparatory and project documentation. Expenditure group 716 preparatory and project documentation under the call KaHR – 21SP – 0801 is not eligible. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Súľov-Hradná municipality | Building and upgrading of public lighting in the Súľov-Hradná municipality | 25120220021 | Súľov-Hradná municipality | 12.5.2011 | 12.5.2011 | 83 895.68 | 52.00 | 7.36 | In verifying the mathematical accuracy of AfP in relation to the approved budget and the attached accounting document it was found that the calculations in relation to the quantity and unit price of certain items had been invoiced incorrectly | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Municipality of Mad | Upgrading street lighting in the municipality of Mad | 25120220030 | Municipality of Mad | 17.5.2011 | 17.5.2011 | 200 799.00 | 95.00 | 0.18 | The beneficiary claimed €0.18 more than in Annex 11 to the Grant Contract | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| O.M.D. KOVO, spol. s r.o. Rožňava | Raising the efficiency and competitiveness of the firm by introducing innovative technology into production | 25110120021 | Brzotín | 17.5.2011 | 23.5.2011 | 75 084.64 | 10.96 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Jozef Paľo – building and freight transport | Improving quality, increasing production capacity and improving competitiveness through the introduction of innovative technology | 25110120396 | Diaková | 19.5.2011 | 26.5.2011 | 666 430.00 | 100.00 | 0 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Dolná Mariková municipality | Building and upgrading of public lighting in the Dolná Mariková municipality | 25120220031 | Dolná Mariková municipality | 19.5.2011 | 19.5.2011 | 80 122.07 | 51.12 | 262.55 | Incorrectly applied 20% VAT. It was therefore reclassified as ineligible expenditure. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Tekovské Lužany municipality | Reconstruction of street lighting in the Tekovské Lužany municipality | 25120220009 | Tekovské Lužany municipality | 19.5.2011 | 19.5.2011 | 188 795.17 | 100.00 | 0.41 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Hubová municipality | Project for reconstruction of public lighting in the Hubová municipality | 25120220017 | Hubová municipality | 24.5.2011 | 24.5.2011 | 168 158.59 | 65.18 | 8.89 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Matador industries, a.s. | Raising competitiveness through innovation and technology transfer at Matador Automotive, a.s. | 25110120412 | Dubnica nad Váhom | 24.5.2011 | 24.5.2011 | 14 599 998.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | AfP was rejected |  |
| Pukanec municipality | Reconstruction and upgrading of the public lighting system in the Pukanec municipality | 25120220014 | Pukanec municipality | 25.5.2011 | 25.5.2011 | 195 563.91 | 91.40 | 11.61 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Jozef Čorba – wood production | Raising competitiveness of the firm Jozef Čorba – wood production | 25110120365 | Chmelov | 23.5.2011 | 3.6.2011 | 494 900.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Žbince municipality | Renovation and expansion of public lighting in the Žbince municipality | 25120220046 | Žbince municipality | 25.5.2011 | 27.5.2011 | 72 323.71 | 93.35 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Dubovany municipality | Reconstruction of public lighting in the Dubovany municipality | 25120220080 | Dubovany municipality | 25.5.2011 | 25.5.2011 | 11 711.44 | 5.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| SPINEA, s.r.o. | Transfer of innovative technology for the introduction of new competitive production of bearing reducers | 25110120022 | Prešov | 27.5.2011 | 30.5.2011 | 1 079 780.00 | 25.6 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Čachtice municipality | Upgrading of street lighting in the Čachtice municipality | 25120220088 | Čachtice municipality | 26.5.2011 | 26.5.2011 | 99 643.78 | 37.87 | 0.69 | In verifying the mathematical accuracy of AfP in relation to the approved budget and the attached accounting document it was found that the calculations in relation to the quantity and unit price of certain items had been invoiced incorrectly | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Nitrianska Blatnica municipality | Upgrading and reconstruction of public lighting in the town of Nitra Blatnica | 25120220018 | Nitrianska Blatnica municipality | 31.5.2011 | 31.5.2011 | 12 497.91 | 5.02 | 55.03 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| S.T.K. s.r.o. | Energy efficiency of S.T.K. Michalovce | 25120120042 | Michalovce | 31.5.2011 | 8.6.2011 | 61 818.79 | 36.96 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001 | Bratislava | 30.5.2011 | 3.6.2011 | 5 637.88 | 13.85 | 0, 00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Senica | Reconstruction and upgrading of public lighting in Senica | 25120220091 | Senica municipality | 2.6.2011 | 2.6.2011 | 107 563.98 | 40.87 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| MINERÁLNE VODY a.s. | Increasing the competitiveness of MINERÁLNE VODY a.s. through the implementation of innovative technologies and technological equipment | 25110120370 | Prešov | 2.6.2011 | 9.6.2011 | 4 931 172.30 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Ipeľský Sokolec municipality | Upgrading street lighting in the Ipeľský Sokolec municipality | 25120220010 | Ipeľský Sokolec municipality | 3.6.2011 | 3.6.2011 | 216 687.01 | 94.43 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001 | Bratislava | 13.6.2011 | 17.6.2011 | 125 157.15 | 1.15 | 0, 00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| ZLH Plus, a.s. | Environmental innovation of the production process at the Hronec foundry | 25110120395 | Bratislava | 15.6.2011 | 17.6.2011 | 1 826 345.00 | 40.93 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Kobyly municipality | Upgrading street lighting in the Kobyly municipality | 25120220044 | Kobyly municipality | 16.6.2011 | 16.6.2011 | 209 302.20 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| STROPTEL, s.r.o. | Increase productivity and automation of coils at the firm Stroptel | 25110120376 | Stropkov | 17.6.2011 | 17.6.2011 | 482 537.36 | 52.32 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Čierne municipality | Upgrading of street lighting in the Čierne municipality | 25120220019 | Čierne municipality | 9.6.2011 | 9.6.2011 | 90 152.20 | 100.00 | 33.27 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Demandice municipality | Reconstruction of street lighting in the Demandice municipality | 25120220015 | Demandice municipality | 13.6.2011 | 13.6.2011 | 10 979.40 | 4.82 | 0.05 | In verifying the mathematical accuracy of AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for some items invoiced incorrectly. In the case of these items the audit team reduced the amount of the eligible expenditure. For this reason the audit team reduced the amount of the eligible expenditure in the AfP by €0.05. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Town of Krásno nad Kysucou | Reconstruction of street lighting in Krasno nad Kysucou | 25120220005 | Town of Krasno nad Kysucou | 15.6.2011 | 15.6.2011 | 83 196.47 | 32.77 | 3.09 | Reduction of eligible expenses due to mathematical differences.. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Kamil Kubik | Acquisition of innovative technologies for production of coffins | 25110120361 | Šurany | 16.6.2011 | 16.6.2011 | 3 394 632.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| C m c, spol. s r.o. | Biogas plant for dairy farm Detva | 25120120026 | Detva | 23.6.2011 | 23.6.2011 | 33 380.00 | 81.98 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| TREVA s.r.o. | Introduction of technologically advanced, efficient and competitive blacksmith manufacturing | 25110120374 | Trebišov | 27.6.2011 | 27.6.2011 | 1 650 315.00 | 35.21 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Vrbová nad Váhom municipality | Reconstruction and upgrading of street lighting in the Vrbová nad Váhom municipality | 25120220089 | Vrbová nad Váhom municipality | 21.6.2011 | 21.6.2011 | 248 163.14 | 100.00 | 0.03 | At the time of the on-the-spot check the beneficiary had not marked the project with a permanent explanatory notice board (plaque). It is required to do so within 6 months of completing the project, i.e. by 3 July 2011. Reduction of eligible costs due to incorrect rounding of one item. | The beneficiary is required to mark the project with a permanent explanatory notice board (plaque) within 6 months of completing the project, i.e. by 3 July 2011. A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). | Verified by interim controls. |
| RANOX, s.r.o. | Product innovation and innovation of the production process, strengthening the competitiveness and performance of the company RANOX, s.r.o. | 25110120407 | Senica | 13.6.2011 | 13.6.2011 | 147 500.00 | 10.25 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| RC NÁBYTOK, s.r.o. | Improving the quality of furniture production through the acquisition of innovative technology | 25110120387 | Šurany | 13.7.2011 | 13.7.2011 | 3 300 000.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Hontianska energetická, s.r.o. | Increasing efficiency of the district heating system in Veľký Krtíš | 25120120017 | Hriňová | 10.8.2011 | 10.8.2011 | 1 991.64 | 4.89 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| MIKON, spol. s r.o. | Improving energy efficiency of generation from renewable energy resources, heating, insulation and preparation of hot service water | 25120120012 | Pruské | 22.6.2011 | 22.6.2011 | 152 733.35 | 100.00 | 0.02 | The audit group reduced the amount of eligible expenditure in the AfP by €0.02 due to mathematical rounding. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| ENERGYWOOD a.s. | Uzovská Panica solar photovoltaic power 0.999 MWth | 25120120037 | Uzovská Panica | 24.6.2011 | 24.6.2011 | 5 551 168.88 | 98.99 | 990.00 | Incorrect classification of the expenditure “Backfilling of trench width 35, depth 60, grade 3 earth”, €150 (item in row 107 of declared expenditures) in the expenditure group 713002 Purchase of computer equipment (correct expenditure group 717001 Construction of new structures). This expenditure is ineligible for the type classified. It was also found that in expenditure group 717001 Construction of new structures there were classified expenditures relating to the updating of project documentation (item in line no. 55 of the declared expenditures. Updating of project documentation – actual state in the amount of €600.00 and item in the row no. 111 of declared expenditures Updating of project documentation – actual state in the amount of €240.00). According to measure no. MF/010 175/2004-42, payments for project work, including changes and amendments, in the framework of budgetary classification belong to expenditure group 716 Preparatory and project documentation. In this call, this expenditure group is not included among eligible expenditures. For these reasons, there is proposed a reduction of eligible expenses in the total amount of €990.00. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Lednické Rovne municipality | Reconstruction of public lighting systems in the Lednické municipality | 25120220033 | Lednické Rovne municipality | 27.6.2011 | 27.6.2011 | 90 401.68 | 34.35 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| DAVID interier design, spol. s r.o. | Purchase of innovative technology for modernising production | 25110120397 | Piešťany | 13.7.2011 | 13.7.2011 | 657 136.00 | 27.54 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| IPEĽSKÉ ŠTRKOPIESKY, s.r.o. | Raising efficiency and improving the quality of the production process by broadening the range and incorporating elements of automation and control | 25110120369 | Lučenec | 11.7.2011 | 11.7.2011 | 472 400.00 | 50.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Divín municipality | Reconstruction, expansion and upgrading of public lighting in the Divín municipality | 25120220097 | Municipality Divín | 12.7.2011 | 12.7.2011 | 221 928.84 | 87.31 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Námestovo | Reconstruction of public lighting in Námestovo | 25120220020 | Town of Námestovo | 11.8.2011 | 11.8.2011 | 93 168.39 | 35.57 | 0.19 | In verifying the mathematical accuracy of the AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for some items were incorrect. In the case of these items the audit team reduced the amount of the eligible expenditure. For this reason the audit team reduced the amount of the eligible expenditure claimed in the AfP by €0.19. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001 | Bratislava | 25.7.2011 | 27.7.2011 | 118 455.16 | 1.09 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Michalovce | Upgrading and construction of public lighting in urban parts in Michalovce | 25120220071 | Town of Michalovce | 28.7.2011 | 28.7.2011 | 261 695.40 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Anna Pavličková – Fi PAVANNA | Increase the competitiveness of the firm PAVANNA through the introduction of innovative production technologies | 25110120353 | Svidník | 2.8.2011 | 2.8.2011 | 272 188.00 | 53.19 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Kozárovce municipality | Building and upgrading of public lighting in the Kozárovce municipality | 25120220028 | Kozárovce municipality | 14.7.2011 | 14.7.2011 | 12 297.76 | 30.19 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| ZLATNER, spol. s r.o. | Increasing competitiveness of the firm ZLATNER, spol. s.r.o. through the introduction of innovative technology into production | 25110120037 | Uzovská Panica | 18.7.2011 | 18.7.2011 | 868 535.35 | 43.35 | 0.01 | The audit group reduced the amount of eligible expenditure in the AfP by €0.01 due to mathematical rounding. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| FAUN spol. s r.o. | Improving the quality of bakery products through innovation of production technology | 25110120404 | Senica | 4.8.2011 | 4.8.2011 | 209 244.00 | 40.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Lodno municipality | Building and upgrading of public lighting in the Lodno municipality | 25120220002 | Lodno municipality | 4.8.2011 | 4.8.2011 | 106 230.60 | 100.00 | 2.82 | Expenditure declared by the beneficiary in the amount of €106 230.60 (inclusive of VAT) was reduced by €2.82 due to mathematical differences arising from different rounding methods. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Ľubotín municipality | Reconstruction for upgrading public lighting in the Ľubotín municipality | 25120220041 | Municipality Ľubotín | 12.8.2011 | 12.8.2011 | 234 608.22 | 94.80 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| K-system, spol. s r.o. | Research and development of innovative procedures and technology at the company | 25110320020 | Žiar nad Hronom | 15.8.2011 | 15.8.2011 | 847 150.00 | 47.10 | 0.00 | The inspection found no breach of grant contract no. KaHR-13SP-0801/0020/09 dated 23.04.2010 and addenda 1-4. The expenditure is that were recognised by the audit group as eligible meet the criteria of being real, correct, current and non-overlapping and a physical check of them was made in the case of individual budget items. In the framework of the administrative control check and physical control check of the project implementation, no irregularities of a financial or non-financial nature were identified. | No measures were proposed |  |
| TREVA s.r.o. | Introduction of technologically advanced, efficient and competitive blacksmith production | 25110120374 | Trebišov | 16.8.2011 | 17.8.2011 | 430 486.00 | 9.19 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| EVPÚ a.s. | Innovative technology of the company EVPÚ | 25110120400 | Nová Dubnica | 17.8.2011 | 17.8.2011 | 688 897.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Bajč municipality | Reconstruction of street lighting in the Bajč municipality | 25120220102 | Municipality Bajč | 18.8.2011 | 18.8.2011 | 43 297.67 | 95.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Oto Bršel Boto enviro | Reducing energy demands of property owned by the firm Oto Bršel GROUP SLOWAKIA | 25120120049 | Nové Zámky | 25.8.2011 | 25.8.2011 | 405 234.01 | 100.00 | 3.58 | In verifying the mathematical accuracy of the AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for some items was invoiced incorrectly. In the case of these items the audit team reduced the amount of the eligible expenditure. For this reason the audit team reduced the amount of the eligible expenditure in the AfP by €3.58. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Repejov municipality | Reconstruction and supplementing of street lighting in the Repejov municipality | 25120220051 | Municipality Repejov | 19.8.2011 | 30.8.2011 | 56 954.59 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| TUBEX SLOVAKIA, s.r.o. | Increasing the competitiveness of the company TUBEX SLOVAKIA, s.r.o., by means of investment in plastic tubes production. | 25110120350 | Žarnovica | 23.8.2011 | 23.8.2011 | 2 888 000.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Žbince municipality | Reconstruction and extension of public street lighting in the Žbince municipality | 25120220046 | Žbince municipality | 24.8.2011 | 26.8.2011 | 5 132.30 | 6.63 | 380.8 | Reduction of expenditure incorrectly included in expenditure group 717002 | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| DARNER s.r.o. | Insulation of buildings to improve their energy efficiency | 25120120060 | Lučenec | 12.9.2011 | 12.9.2011 | 185 910.80 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Kremnická banská spoločnosť, s.r.o. | Introduction of innovative technology for production of granular bentonite product | 25110120379 | Kremnica | 13.9.2011 | 13.9.2011 | 840 312.80 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| TATRAMAT-ohrievače vody, s.r.o. | Modernisation of operations at the company TATRAMAT-ohrievače vody, s.r.o. Through the purchase of innovative equipment | 25110120353 | Svidník | 13.9.2011 | 13.9.2011 | 877 997.44 | 100.00 | 444.40 | Reduction of eligible expenditures due to the fact that the audit team in the on-the-spot check identified in the accounting records (the supplier’s sales ledger), that the beneficiary had issued invoice no. 1030170 to the technology supplier for 4 pieces of Reflex Sensors in the amount of €444.40 exclusive of VAT, which concerns a project (oven conveyor belt) that it had purchased from a different supplier. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| ZTS Sabinov, a.s. | Innovation of technology equipment at the firm ZTS Sabinov | 25110120362 | Sabinov | 13.9.2011 | 13.9.2011 | 733 940.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Kremnická banská spoločnosť, s.r.o. | Introduction of innovative technology to produce granular bentonite | 25110120379 | Kremnica | 13.9.2011 | 13.9.2011 | 840 312.80 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| P M R, s.r.o. | Improving the competitiveness of P M R, s.r.o. in the market for wroughting dense material | 25110120016 | Martin | 13.9.2011 | 13.9.2011 | 1 105 410 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| AVANS s.r.o. | Project for reducing the energy demands of unique historical buildings and raising competitiveness | 25120120057 | Liptovský Hrádok | 16.9.2011 | 16.9.2011 | 56 447.14 | 14.77 | 0.01 | Reduction due to mathematical differences arising due to rounding methods. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001 | Bratislava | 19.9.2011 | 23.9.2011 | 46 414.04 | 0.43 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| HBM Pharma s.r.o. | Acquisition of blistering and packaging line for blister packaging of tablets | 25110120383 | Martin | 20.9.2011 | 20.9.2011 | 1 197 150.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Miroslav Šimon AUTODOPRAVA | MVE Poruba pod Vihorlatom | 25120120081 | MVE Poruba pod Vihorlatom | 21.9.2011 | 21.9.2011 | 450 340.42 | 46.38 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| ALUPRINT, s.r.o. | Increasing the competitiveness of the firm ALUPRINT, s.r.o. | 25110120421 | Vrútky | 22.9.2011 | 22.9.2011 | 1 050 000.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Town of Spišská Nová Ves | Upgrading and reconstruction of public lighting in Nová Ves | 25120220069 | Town of Spišská Nová Ves | 23.9.2011 | 23.9.2011 | 139 573.93 | 53.39 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| FOR Clean, a.s. | Purchase of innovative machines and technology for metalworking. Manual workplace for powder coating, including surface pre-treatment. | 25110120418 | Piešťany | 18.8.2011 | 18.8.2011 | 389 100.00 | 100.00 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Šurice municipality | Renovation and expansion of public lighting in the Šurice municipality | 25120220092 | Šurice municipality | 18.8.2011 | 18.8.2011 | 35 031.59 | 93.78 | 15.15 | In verifying the mathematical accuracy of the AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for some items was incorrect. In the case of these items the audit team reduced the amount of the eligible expenditure. For this reason the audit team reduced the amount of the eligible expenditure claimed in the AfP by €15.15. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Herb-Pharma Sk, s.r.o. | Innovation in the production of Herb-Pharma Sk, s.r.o. | 25110120408 | Veľké Ludenice | 23.8.2011 | 23.8.2011 | 1 895 896.00 | 57.48 | 0.00 | Reduction based on audit calculation in rounding. | No measures were proposed |  |
| BUČINA ZVOLEN, a.s. | Electricity generation in condensing regime, i.e. biomass | 25120120024 | Zvolen | 17.8.2011 | 17.8.2011 | 3 718 424.41 | 30.75 | 15.95 | Reduction based on audit calculation in rounding. | No measures were proposed |  |
| STAVMEX Komjatice, s.r.o. | Production of traditional solid wood furniture and interior elements | 25110120414 | Komjatice | 19.8.2011 | 19.8.2011 | 97 400.00 | 1.18 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Town of Nováky | Reconstruction of public lighting in the town of Nováky | 25120220024 | Town of Nováky | 30.8.2011 | 30.8.2011 | 68 536.71 | 26.04 | 0.02 | In verifying the mathematical accuracy of the AfP and the accompanying accounting documents, it was found that the calculations in relation to the quantity and the unit price for one item (35300001 – RVO 6-terminals, IP 43, Free standing with base, a central control unit, communication, SPP, GSM) was incorrect. In the case of this item, the audit team decreased the amount of eligible expenditure. For this reason the audit team reduced the amount of the eligible expenditure claimed in the AfP by €0.02. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Marcelová municipality | Reconstruction and upgrading of public lighting in the Marcelová municipality | 25120220013 | Marcelová municipality | 31.8.2011 | 31.8.2011 | 226 680.56 | 94.37 | 10.17 | A reduction was made to the declared expenditure is from the side of the beneficiary based on a recalculation of the mathematical accuracy of the AfP (on paper form) in relation to the invoiced quantity and unit price. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Belá municipality | Building and upgrading of public lighting in the Bela municipality | 25120220023 | Bela municipality | 6.9.2011 | 6.9.2011 | 93 513.83 | 35.54 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001 | Bratislava | 9.9.2011 | 16.9.2011 | 39 250.82 | 0.36 | 0.00 | No facts were identified on the basis of which eligible expenses would be reduced. | No measures were proposed |  |
| Chemolak a.s. | Increasing the competitiveness of the company CHEMOLAK through the introduction of new innovative technologies | 25110120410 | Smolenice | 20.9.2011 | 20.9.2011 | 2 046 896.10 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| UNIGROUP, v.o.s. | Innovation in the production process in furniture production | 25110120403 | Meder | 3.10.2011 | 3.10.2011 | 236 660.00 | 27.20 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Horné Orešany municipality | Reconstruction of public lighting of the Horné Orešany municipality | 25120220085 | Horné Orešany municipality | 4.10.2011 | 4.10.2011 | 207 677.35 | 82.07 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Town of Senica | Reconstruction and upgrading of public lighting in the town of Senica | 25120220091 | Town of Senica | 13.9.2011 | 13.9.2011 | 13 663.48 | 5.19 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| ALUPRINT, s.r.o. | Increasing the competitiveness of the firm ALUPRINT, s.r.o. | 25110120421 | Vrútky | 22.9.2011 | 22.9.2011 | 1 050 000.00 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Ing.Jozef Flosník – Flosník bakery | Improving the thermal insulation properties of the, bakery building | 25120120058 | Brezno | 27.9.2011 | 27.9.2011 | 50 038.96 | 100.00 | 2.69 | A reduction in the amount of €2.69 was made to the declared expenditure is from the side of the beneficiary based on a recalculation of the mathematical accuracy of the AfP (on paper form) in relation to the invoiced quantity and unit price. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Kálnica municipality | Reconstruction of public lighting in the Kálnica municipality | 25120220114 | Kálnica municipality | 27.9.2011 | 27.9.2011 | 193 272.12 | 95.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| KOVACO, spol. s r.o. | Innovation of production at the firm KOVACO, spol. s r.o. | 25110120424 | Veľká Lehota | 30.9.2011 | 30.9.2011 | 500 000.00 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Neporádza municipality | Reconstruction of public lighting Neporadza municipality | 25120220111 | Neporadza municipality | 22.9.2011 | 22.9.2011 | 205 133.29 | 94.99 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Trenčianska Turná municipality | Reconstruction of street lighting in the Trenčianska Turná municipality | 25120220108 | Trenčianska Turná municipality | 4.10.2011 | 4.10.2011 | 199 506.28 | 78.84 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Confal a.s. | Innovation of recycling technology at the firm Confal a.s. | 25110120380 | Slovenská Ľupča | 6.10.2011 | 6.10.2011 | 1 806 680.00 | 59.76 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Gajdoš – Consulting Engineers, s.r.o. | Small hydro power plant on the river Váh Trnovec – extension. | 25120120030 | Liptovský Ján | 10.10.2011 | 10.10.2011 | 630 797.43 | 42.69 | 15.81 | A reduction was made to the declared expenditure is from the side of the beneficiary based on a recalculation of the mathematical accuracy of the AfP (on paper form) in relation to the invoiced quantity and unit price. | No measures were proposed |  |
| BOST SK, a.s. | High-productivity productive robotic machining centre | 25110320018 | Súvoz | 11.10.2011 | 11.10.2011 | 466 240.00 | 16.64 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| BUČINA ZVOLEN, a.s. | Electricity generation in condensing regime, i.e. biomass | 25120120024 | Zvolen | 11.10.2011 | 11.10.2011 | 4 345 976.46 | 35.94 | 5 667.58 | In verifying the mathematical accuracy of the AfP it was found that the calculations in relation to the quantity and the unit price for some items was incorrectly, therefore the eligible expenditure was reduced. | No measures were proposed |  |
| Borský Svätý Jur municipality | Reconstruction of street lighting in the Borský Svätý Jur municipality | 25120220081 | Borský Svätý Jur municipality | 12.10.2011 | 12.10.2011 | 239 236.80 | 94.73 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Svätoplukovo municipality | Reconstruction and upgrading of the lighting system in the Svätoplukovo municipality | 25120220027 | Svätoplukovo municipality | 12.10.2011 | 12.10.2011 | 152 726.45 | 93.93 | 104.53 | In verifying the mathematical accuracy of the AfP it was found that the calculations in relation to the quantity and the unit price for some items was incorrectly, therefore the eligible expenditure was reduced. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Bonfiglioli, s.r.o. | Increasing production efficiency | 25110120413 | Považská Bystrica | 13.10.2011 | 13.10.2011 | 1 530 565.05 | 100.00 | 0.10 | Reduced by €0.10 due to inconsistency between the grant amount in the ITMS (€612 226.06) and the grant amount in the grant contract (€612 226.02) | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| EDEMI,s.r.o. Rešica | Increasing the energy efficiency of buildings of the firm EDEMA, s.r.o. | 25120120046 | Rešica | 13.10.2011 | 20.10.2011 | 153 527.92 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| CHIRANA T.Injecta, a.s. | Modernisation and expansion of production capacity at the firm CHIRANA T. Injecta, a.s. | 25110120411 | Stará Tura | 17.10.2011 | 17.10.2011 | 1 238 569.00 | 10.84 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Plevník–Drienové municipality | Reconstruction and upgrading of public lighting | 25120220034 | Plevník–Drienové municipality | 18.10.2011 | 18.10.2011 | 66 683.24 | 100.00 | 744.84 | In verifying the mathematical accuracy of the AfP it was found that the calculations in relation to the quantity and the unit price for some items was incorrectly, therefore the eligible expenditure was reduced. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Town of Lipany | Upgrading of public lighting in Lipany | 25120220039 | City Lipany | 18.10.2011 | 24.10.2011 | 232 004.00 | 94.94 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| B O T O spol. s r.o. | Innovative technology for manufacture of machinery for processing biomass | 25110120415 | Šurany | 18.10.2011 | 18.10.2011 | 3 245 000.00 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Skalité municipality | Building and upgrading of public lighting in the Skalité municipality | 25120220006 | Skalité municipality | 18.10.2011 | 18.10.2011 | 157 138.62 | 60.88 | 5.20 | Requested eligible expenditure reduced by €5.20 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| GRAFOBAL, a.s. | Modernisation of polygraphic technology | 25110120046 | Skalica | 19.10.2011 | 19.10.2011 | 3 359 625.00 | 30.45 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Čičava municipality | Renovation and extension of street lighting in the Čičava municipality | 25120220059 | Čičava municipality | 19.10.2011 | 26.10.2011 | 5 230.13 | 4.67 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Poľný Kesov municipality | Building and upgrading of public lighting in the Poľný Kesov municipality | 25120220025 | Poľný Kesov municipality | 19.10.2011 | 19.10.2011 | 114 779.00 | 100.00 | 3.52 | Requested eligible expenditure reduced by €3.52 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| Dolná Maríková municipality | Building and upgrading of public lighting in Dolná Maríková | 25120220031 | Dolná Maríková municipality | 20.10.2011 | 20.10.2011 | 9 182.68 | 5.86 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| S.T.K. s.r.o. | Energy efficiency STK | 25120120042 | Michalovce | 20.10.2011 | 24.10.2011 | 105 426.21 | 63.04 | 0.01 | Exceedance of the total amount of eligible expenditure in the budget of €0.01. | No measures were proposed |  |
| Veľká Hradná municipality | Reconstruction of public lighting in the Veľká Hradná municipality | 25120220109 | Veľká Hradná municipality | 21.10.2011 | 21.10.2011 | 197 658.41 | 94.92 | 0.02 | Reduced by €0.02due to inconsistency between the grant amount in the ITMS and the grant amount in the grant contract | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Kuenz – SK, s.r.o. | Increasing the efficiency of production of large welded structures with concurrent extension of the portfolio | 25110120354 | Kechnec | 25.10.2011 | 26.10.2011 | 850 000.00 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Cerová municipality | Reconstruction of street lighting in the Cerová municipality | 25120220087 | Cerová municipality | 25.10.2011 | 25.10.2011 | 237 628.27 | 94.91 | 8.13 | Requested eligible expenditure reduced by an amount due to mathematical differences between the AfP and ITMS. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Town of Krásno nad Kysucou | Reconstruction of street lighting in Krasno nad Kysucou | 25120220005 | Town of Krasno nad Kysucou | 25.10.2011 | 25.10.2011 | 135 287.16 | 53.28 | 0.35 | Requested eligible expenditure reduced by €0.35 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| Confal a.s. | Innovation of recycling technology at the firm Confal a.s. | 25110120380 | Slovenská Ľupča | 25.10.2011 | 25.10.2011 | 673 205.00 | 22.27 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Výskumno-realizačný ústav obnoviteľných zdrojov energie, s.r.o. (Research – implementation Institute for renewable energy resources) | Photovoltaic power plant Kolárovo I and II | 25120120034 | Kolárovo | 26.10.2011 | 26.10.2011 | 14 979 999.97 | 100.00 | 0.07 | Reduced by €0.07 due to correction of rounding error. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Keraming Trenčín s.r.o. | Introduction of modern technology for special processing of metal structures and their components for construction purposes | 25110120422 | Trenčín | 27.10.2011 | 27.10.2011 | 1 430 500.00 | 93.24 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Horný Vadičov municipality | Project for reconstruction of public lighting of the Horný Vadičov municipality | 25120220003 | Horný Vadičov municipality | 27.10.2011 | 27.10.2011 | 21 251.36 | 8.23 | 0.13 | Requested eligible expenditure reduced by €0.13 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| DAVID interier design, spol. s r.o. | Purchase of innovative technology for modernising production | 25110120397 | Piešťany | 27.10.2011 | 27.10.2011 | 204 045.00 | 8.55 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Kysucké pekárne, a.s. | Increasing the competitiveness of the bakery firm Kysucké pekárne, a.s. | 25110120378 | Čadca | 27.10.2011 | 27.10.2011 | 534 042.00 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Brusno municipality | Reconstruction of public lighting in the Brusno municipality | 25120220007 | Brusno municipality | 28.10.2011 | 28.10.2011 | 240 965.36 | 92.80 | 32.48 | Requested eligible expenditure reduced by €32.48 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| FINES, a.s. | Modern technology – a guarantee of quality and increased efficiency | 25110120377 | Žilina | 2.11.2011 | 2.11.2011 | 129 650.00 | 23.58 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Súľov – Hradná municipality | Building and upgrading of public lighting in the Súľov – Hradná municipality | 25120220021 | Súľov – Hradná municipality | 3.11.2011 | 3.11.2011 | 65 889.05 | 40.89 | 314.47 | In verifying the mathematical accuracy of AfP in relation to the approved budget and the attached accounting document it was found that the calculations in relation to the quantity and unit price of certain items had been invoiced incorrectly. In the case of these items the audit team reduced the amount of the eligible expenditure. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| DoMo-GLASS s.r.o. | Increasing the energy efficiency of buildings at the firm DoMo-GLASS, s.r.o. | 25120120072 | Banská Bystrica | 3.11.2011 | 3.11.2011 | 624 801.40 | 100.00 | 0.12 | Requested eligible expenditure reduced by €0.13 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| Čachtice municipality | Upgrading street lighting in the Čachtice municipality | 25120220088 | Čachtice municipality | 7.11.2011 | 7.11.2011 | 100 862.58 | 38.34 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Hubová municipality | Project for reconstruction of public lighting in the Hubová municipality | 25120220017 | Hubová municipality | 10.11.2011 | 10.11.2011 | 74 193.67 | 28.76 | 8.80 | Requested eligible expenditure reduced by €8.80 due to mathematical differences arising from different rounding methods. | No measures were proposed |  |
| Oravská Polhora municipality | Reconstruction of street lighting in the Oravská Polhora municipality | 25120220004 | Oravská Polhora municipality | 11.11.2011 | 11.11.2011 | 80 211.02 | 31.60 | 0.45 | Requested eligible expenditure reduced by €0.45 due to mathematical differences arising from different rounding methods. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Mojzesovo municipality | Reconstruction of public lighting in the Mojzesovo municipality | 25120220105 | Mojzesovo municipality | 15.11.2011 | 15.11.2011 | 167 283.41 | 94.79 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Róbert Jurových – NIKARA | Increasing competitiveness of the printer Nikara | 25110120368 | Krupina | 15.11.2011 | 15.11.2011 | 964 299.00 | 100.00 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Bátorove Kosihy municipality | Reconstruction of street lighting in the Bátorove Kosihy municipality | 25120220016 | Bátorove Kosihy municipality | 16.11.2011 | 16.11.2011 | 219 030.55 | 94.97 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Nitrianske Hrnčiarovce municipality | Upgrading street lighting in the Nitrianske Hrnčiarovce municipality | 25120220026 | Nitrianske Hrnčiarovce municipality | 22.11.2011 | 22.11.2011 | 237 334.51 | 95.00 | 1 970.19 | Part of the claimed the expenditure was reduced by the IB audit team based on a control calculation | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| ETOP – Trading, s.r.o. | Project to develop a construction for converting solar energy and wind energy into electricity | 25110320025 | Púchov | 24.11.2011 | 24.11.2011 | 27 773.86 | 67.95 | 3 257.57 | A part of the claimed expenditure was reclassified by the IB audit team as ineligible expenditure | No measures were proposed |  |
| Town of Turčianske Teplice | Upgrading of public lighting in Turčianske Teplice | 25120220094 | Town of Turčianske Teplice | 29.11.2011 | 29.11.2011 | 120 464.37 | 46.09 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| ZLH Plus, a.s. | Environmental innovation of the production process at the Hronec foundry | 25110120395 | Bratislava | 29.11.2011 | 29.11.2011 | 1 189 778.00 | 26.67 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Diakovce municipality | Upgrading of street lighting in the Diakovce municipality | 25120220101 | Diakovce municipality | 30.11.2011 | 30.11.2011 | 171 788.40 | 100.00 | 0.56 | The amount claimed by the beneficiary was reduced by the IB audit team by €0.56 due to mathematical differences arising through rounding. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Town of Topoľčany | Building and upgrading of public lighting in Malé Bedzany and Veľké Bedzany | 25120220117 | Town of Topoľčany | 1.12.2011 | 1.12.2011 | 147 791.60 | 100.00 | 2 467.27 | Some equipment did not have the technical parameters required by the call, therefore the requested eligible expenditure was reduced by €2467.27; Irregularity N21101251 | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Gajdoš – Consulting Engineers, s.r.o. | Small hydro power plant on the river Váh Trnovec – extension. | 25120120030 | Liptovský Ján | 2.12.2011 | 2.12.2011 | 208 429.33 | 14.11 | 5.32 | Requested eligible expenditure reduced by €5.32 due to mathematical differences arising from different rounding methods. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| Ľubotín municipality | Reconstruction for upgrading of public lighting in the Ľubotín municipality | 25120220041 | Ľubotín municipality | 2.12.2011 | 2.12.2011 | 12 981.60 | 5.25 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Town of Strážske | Strážske – upgrading of public lighting | 25120220062 | Town of Strážske | 7.12.2011 | 7.12.2011 | 105 893.93 | 90.13 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |
| Town of Detva | Upgrading of public lighting on the street M.R. Štefánika in Detva | 25120220098 | Town of Detva | 14.12.2011 | 14.12.2011 | 180 849.64 | 94.99 | 18.24 | The IB changed the amount of expenditure declared by the beneficiary due to mathematical differences arising from rounding. | A part of eligible expenses in the AfP was reclassified by the inspection group to ineligible expenses (reduction of eligible expenses). |  |
| PRAVEL spol. s r.o. | Small hydro power plant Prakovce II. | 25120120039 | Prakovce | 16.12.2011 | 16.12.2011 | 1 809 286.89 | 30.50 | 0.00 | No breach of contract terms was identified. | No measures were proposed |  |

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| **Name of beneficiary** | **Project name / ITMS code** | **Place and time of physical on-the-spot check** | **Volume of funds checked** | **% share of checked funds to eligible expenses of the project** | **Volume of identified ineligible expenditures** | **Key findings, including any irregularities** | **Draft measures for remedying deficiencies found** | **State of measures adopted** |
| TRANSBET, s.r.o. | Innovative technology for the production and recycling of concrete mixes /25110120336 | Oravský Podzámok / 04/01/2011 | 989999.98 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| WOODMETAL, s.r.o. | Innovation of production at the firm WOODMETAL, s.r.o./ 25110120301 | Trstená/18.1.2011 | 734080.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| LOMAN s.r.o | LOMAN – modern CNC technology /25110120220 | Levice/20.1.2011 | 289182.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| PMB Assemblies, s.r.o. | Improving competitiveness through the introduction of advanced technologies and the development of human resources/25110120080 | Púchov/21.1.2011 | 142539.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| BOTO spol. s r.o. | Investing in technology for the production of environmental equipment for processing biomass/25110120300 | Nové Zámky/28.1.2011 | 1249600.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| VALEUR, s.r.o | Purchase of innovative technologies in the field of polygraphic prepress, press and postpress/25110120346 | Dunajská Streda/2.2.2011 | 163118.38 | 13.05 | 0.00 | No deficiencies were identified. | x |  |
| METALPORT, s.r.o. | Modernisation and expansion of mechanical engineering /25110120321 | Košice/10.2.2011 | 295774.78 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| AUTOLUX KOŠICE, spol. s r.o. | Technical improvements and modernisation of car servicing garage /25110120204 | Košice/10.2.2011 | 101367.72 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Interiér Halán s. r. o. | Production of furniture using innovative technology at the company Ogio Interiér Halan, s.r.o./ 25110120119 | Dolná Krupá/10.2.2011 | 106776.17 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| BarCom spol. s r.o. | Improving competitiveness through the introduction of innovative technology by a combined dividing line for transverse and longitudinal /25110120319 | Košice/11.2.2011 | 620000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| PRINTON s.r.o. | Introduction of innovative and advanced technologies in the production process of the company Printon, s.r.o./ 25110120277 | Vranov nad Topľou/22.2.2011 | 190000.00 | 43.18 | 0.00 | No deficiencies were identified. | x |  |
| PESTE s.r.o. | Powder coating room – technological, economic and personnel development at the firm PESTE s.r.o. / 25110120082 | Humenné  22.2.2011 | 56893.56 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| UMBILA s.r.o. | UMBILA, s.r.o./ 25110120191 | Hontinaske Moravce/23.2.2011 | 131870.13 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| ROKOKO, s.r.o. | ROKOKO – establishment of a modern bakery production /25110120237 | Moldava nad Bodvou/28.3.2011 | 234350.21 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| DUVALO TRADE, s.r.o. | Increasing the competitiveness of the company through the acquisition of innovative technology and creating new jobs /25110120195 | Vranov nad Topľou/29.3.2011 | 350448.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Ján Cibulka – CIBI | Increasing competitiveness and quality management – Ján Cibulka – CIBI/25110120317 | Košice/1.4.2011 | 1187140.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| STANLEY s.r.o. | Innovation of machinery at the company STANLEY, s.r.o. / 25110120288 | Tvarožná/6.4.2011 | 987000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Ing.Peter Kačmár – MANNA | Purchase of innovative technologies – bakery MANNA/25110120334 | Kapušany/7.4.2011 | 278611.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| EIP Services, s.r.o. | Print production centre /25110120073 | Žilina 13.4.2011 | 127511.00 | 99.99 | 0.00 | No deficiencies were identified. | x |  |
| EKOMETAL, spol. s r.o. | Modernisation and innovation of technology as the next step to increasing competitiveness at the firm EKOMETAL, spol. s.r.o./ 25110120207 | Tisovec/13.4.2011 | 138103.30 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| DREVONA SLOVAKIA s.r.o. | Increasing competitiveness of the firm DREVONA / 25110120303 | Bratislava/14.4.2011 | 677080.00 | 67.71 | 0.00 | No deficiencies were identified. | x |  |
| SLOVPOL EXIM, spol. s r.o. | Manufacturing HDPE canisters at Kysuce/25110120091 | Radoľa/21.4.2011 | 83714.83 | 98.90 | 0.00 | No deficiencies were identified. | x |  |
| RSH, s.r.o. | Establishment of plumbing workshops through the purchase of innovative technology and creating new jobs /25110120171 | Humenné/21.4.2011 | 232040.43 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| PAP-PEX SLOVAKIA, s.r.o. | Increasing the competitiveness of the company PAP-PEX SLOVAKIA, s.r.o. by introducing innovative and advanced technology / 25110120280 | Topoľčany/28.4.2011 | 1216808.45 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Vojtech Ondrovič – HEVRON VO | Traditional products in an innovated way – technology for production of meat products / 25110120306 | Senica 4.5.2011 | 656980.00 | 85.20 | 0.00 | No deficiencies were identified. | x |  |
| MARIANNA, s.r.o. | Acquisition of modern machinery for bakery and confectionery production, connected with advice and employee training /25110120111 | Stará Ľubovňa/11.5.2011 | 362445.38 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| K-KART spol. s r.o. | implementation of advanced technological equipment at the company K-KART, spol. s.r.o. So as to increase the competitiveness of production /25110120342 | Lučenec/12.5.2011 | 275535.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| BOURBON FABI SK, s.r.o. | Introduction of new production technology for processing plastic mouldings through multicomponent technology /25110120341 | Čaba/13.5.2011 | 493518.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Roll and Klokner s.r.o. | Increasing competitiveness of the company Roll and Klokner, s.r.o. Through the introduction of innovative technologies /25110120087 | Sládkovičovo/18.5.2011 | 193765.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| DREVITAL, s.r.o. | Expansion of production by new technology in the field of processing large-scale materials connected with the modernisation of production /25110120291 | Bošany/19.5.2011 | 1248000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| CNC Ferrum, s.r.o. | Innovation and growth of metal production at the company CNC Ferrum, s.r.o./25110120205 | Dubnica nad Váhom/20.5.2011 | 330881.22 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| KOVOMAT SLOVAKIA, s.r.o. | Increasing competitiveness by introducing innovative technology for processing metal scrap/25110120320 | Leopoldov/23.5.2011 | 1250000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| ARENA CEDENT, s.r.o. | Reconstruction of the restaurant facility KOLIBA and the creation of new jobs with the aim of supporting competitiveness /25110120229 | Vranov nad Topľou/6.6.2011 | 323672.69 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| ARTRA s.r.o. | Expansion of production – ARTRA, s.r.o./ 25110120308 | Oslany/13.7.2011 | 509240.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| SioTech Global, s.r.o. | Introduction of ecological operation for the production of supra-light, porous, thermal and sound insulation silicates from diatomite /25110120126 | Lučenec/13.7.2011 | 306278.30 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| ŠIMKOVIČ – PROTEKTOR spoločnosť s ručením obmedzeným | Innovation of technology for tire re-treading / 25110120281 | Sečovce/15.7.2011 | 252000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| LENCOS spol. s r.o. | Supply of advanced technological equipment in order to increase competitiveness of the firm LENCOS spol. s r.o. / 25110120340 | Levice/20.7.2011 | 448950.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| LPH, a.s. | High energy-efficient workplaces for processing thermoplastics /25110120236 | Poprad/20.7.2011 | 399000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| SYNERGY TRADE, a. s. | Modern technology and staff training – a path to increasing employment and improving the environment in the Bardejov district /25110120136 | Bardejov/21.7.2011 | 127300.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| WENS DOOR s.r.o. | Purchase of advanced technology for furniture production / 25110120285 | Prievidza/27.7.2011 | 697428.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Kamil Krupec – production of seating furniture | Acquisition of innovative technologies for the production of a wide range of furniture /25110120295 | Martin/27.7.2011 | 439833.00 | 43.98 | 0.00 | No deficiencies were identified. | x |  |
| Anna Šmehilová EFFETA – protected workshop | Increasing the company's competitiveness through technological innovation of printing production and support for job adaptability of deaf employees /25110120117 | Nitra/28.7.2011 | 111738.94 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Ing.Miloš Foltán | Innovation of production processes and technologies at the Žarnovica bakery / 25110120347 | Banská Bystrica/3.8.2011 | 391501.20 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Harona s.r.o. | Production of honeycombs and new jobs /25110120108 | Humenné/4.8.2011 | 207 461.99 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| KC INTERIÉR, s.r.o. | Innovation in furniture production at the company KC INTERIÉR, s.r.o. / 25110120294 | Kežmarok / 04/08/2011 | 752319.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Mgr.Jarmila Pastoreková – ACADEMY | Purchase of innovative technology for processing bioplastics /25110120299 | Hurbanovo/9.8.2011 | 257900.00 | 100, 00 | 0.00 | No deficiencies were identified. | x |  |
| Ing.Ján Ivanko – UNIPROJEKT | Modernisation, increasing competitiveness and personnel development of design firm /25110120196 | Vranov nad Topľou/12.8.2011 | 9 704.45 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| ELEKTRORECYCLING, s.r.o. | introduction of innovative technological equipment at the company ELEKTRO RECYCLING, s.r.o./ 25110120302 | Banská Bystrica/16.8.2011 | 771000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Artweger spol. s r.o. | Innovative injection-moulding technology as an opportunity for raising competitiveness of the company Artweger, spol. s r.o./25110120298 | Ľubotice/17.8.2011 | 155990.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Gregor Hudák-GORAĽ | Increasing competitiveness of GORAL bakery, through the introduction of innovative and advanced technology /25110120335 | Nová Ľubovňa/18.8.2011 | 188767.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| UNICA holding, s.r.o. | Purchase of innovative technology for the purpose of raising the firm’s competitiveness /25110120322 | Žiar nad Hronom/18.8.2011 | 997932.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Onyx s.r.o. | Acquisition of innovative technologies for the production of concrete for the purpose of increasing the competitiveness of the company ONYX, s.r.o./ 25110120327 | New Zámky/25.8.2011 | 603913.70 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| PRINTON s.r.o. | Introduction of innovative and advanced technologies in the production process of the company Printon s.r.o. /25110120277 | Vranov nad Topľou/8.9.2011 | 250000.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| R.J.R. s.r.o. | Introduction of innovative technology in production at the firm RJR, s.r.o. / 25110120296 | Snina/9.9.2011 | 924074.20 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| Umelecké remeslá-stolárstvo s.r.o. | Innovation of technology in atypical artistic craft production of furniture /25110120135 | Kláštor pod Znievom/19.9.2011 | 325499.57 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| BARINOX s.r.o. | Innovation in production of pipe components /25110120152 | Huncovce/20.9.2011 | 104200.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| RELAXOS | Centre for Support of Applied Data and Communications Online Services (SPADKOS)./ 25110120203 | Žilina/18.10.2011 | 74254.85 | 99.85 | 0.00 | No deficiencies were identified. | x |  |
| LPH Vranov n/T.s.r.o. | Increasing capacities in the field of injection moulding thermoplastic’s through the purchase of innovative technology / 25110120241 | Vranov Topľou/18.10.2011 | 382074.06 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| AGRICOM | Modernisation and technical improvement of production capacities /25110120112 | Košice/26.10.2011 | 147754.99 | 99.99 | 0.00 | No deficiencies were identified. | x |  |
| VDD Orava, s.r.o. | Modernisation of furniture production through the purchase of innovative technology /25110120289 | Novoť/2.11.2011 | 968688.00 | 100.00 | 0.00 | No deficiencies were identified. | x |  |
| K-System | Innovative technology for production of shielding equipment /25110120328 | Žiar nad Hronom/13.10.2011 | 389252.31 | 54.86 | 0.00 | No deficiencies were identified. | x |  |
| HEVRON VO | Traditional products in an innovated way – technology for production of meat products / 25110120306 | Senica/3.11.2011 | 113150.00 | 14.00 | 0.00 | No deficiencies were identified. | x |  |
| RBS Tatry,s.r.o. | Innovation of production and expansion of the product portfolio of the company RBS Tatry, s.r.o./ 25110120315 | Spišská Nová Ves/15.12.2011 | 999828.96 | 100.00 | 0.00 | No deficiencies were identified. | x |  |

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| **Name of beneficiary** | **Project name** | **AfP code in ITMS** | **Date of commencing on-the-spot check** | **Date of finishing on-the-spot check** | **Date of report from the on-the-spot check of the project** | **Eligible project costs (€)** | **Checked funds** | | **Volume of identified ineligible expenditure** | **Main findings** | **Measures** | **Information on compliance / non-compliance with the measures taken to remedy the deficiencies** |
| **volume in €** | **%** |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001204 | 14.02.2011 | 16.02.2011 | 16.02.2011 | 10 846 196.09 | 188 862.30 | 1.74 | €0.00 | - | - | - |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001510 | 30.05.2011 | 03.06.2011 | 03.06.2011 | 10 846 196.09 | 5 637.88 | 0.05 | €0.00 | - | - | - |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001211 | 13.06.2011 | 17.06.2011 | 17.06.2011 | 10 846 196.09 | 125 157.15 | 1.15 | €0.00 | - | - | - |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001212 | 25.07.2011 | 27.07.2011 | 28.07.2011 | 10 846 196.09 | 118 455.16 | 1.09 | €0.00 | An e-mail dated 18.2.2011 (annex 1 to the report from the on-the-spot check) in which Mr Pecho (for the supplier) confirms receipt of an order was not a part of supporting documentation to the AfP, but the audit team received it whilst performing the on-the-spot check. The supporting documentation for AfP no. 212 was an e-mail dated 9 February 2011 (Annex 2 to the report from the on-the-spot check), in which the supplier sends the requested data (the beneficiary attached this to the application for payment). The audit team understands that the beneficiary did not attach to the AfP this output which was the subject of the order; therefore the real existence of the expenditure is not a proven; the audit team in this regard is asking the beneficiary to present the supply to which the expense relates. | The beneficiary’s statement during the on-the-spot check (annex 3 to the report from the on-the-spot check):  “The order was issued on 7 February 2011; the requested data was received by e-mail on 9 February 2011 for preliminary checking, which the aid beneficiary submitted in its documentation to the AfP. The e-mail from the supplier states that the official data will be sent after receiving the order.  The economic section has in its documentation the confirmation on receipt of the order instead of the written receipt of the order. The official table with data was attached to the invoice from the supplier, which was delivered to the SIEA on 1.3.2011.  I am sending data from the supplier to you scanned in the attachment.” | The audit team accepts the beneficiary’s explanation and considers the findings withdrawn. The beneficiary was advised by the audit team to provide more thorough documenting of supporting documentation to an application for payment so that no doubts as to the actual existence of the expenditure arise in future. |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001212 | 25.07.2011 | 27.07.2011 | 28.07.2011 | 10 846 196.09 | 118 455.16 | 1.09 | €0.00 | An e-mail dated 18.2.2011 (annex 1 to the report from the spot inspection) in which Mr Pecho (for the supplier) confirms receipt of an order was not a part of supporting documentation to the AfP, but the audit team received it whilst performing the on-the-spot check. The supporting documentation for AfP no. 212 was an e-mail dated 9 February 2011 (Annex 2 to the report from the on-the-spot check), in which the supplier sends the requested data (the beneficiary attached this to the application for payment). The audit team understands that the beneficiary did not attach to the AfP this output which was the subject of the order; therefore the actual existence of the expenditure is not a proven; the audit team in this regard is asking the beneficiary to present the supply to which the expense relates. | The beneficiary was advised by the audit team to provide more thorough documenting of supporting documentation to an application for payment so that no doubts as to the actual existence of the expenditure arise in future. | The beneficiary’s statement during the on-the-spot check:  “The order was issued on 7 February 2011; the requested data was received by e-mail on 9 February 2011 for preliminary checking, which the aid beneficiary submitted in its documentation to the AfP. The e-mail from the supplier states that the official data will be sent after receiving the order: The economic section has in its documentation the confirmation on receipt of the order instead of the written receipt of the order. The official table with data was attached to the invoice from the supplier, which was delivered to the SIEA on 1.3.2011.I am sending data from the supplier to you scanned in the attachment.”  The audit team accepts the beneficiary’s explanation and considers the findings withdrawn. |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001517 | 09.09.2011 | 16.09.2011 | 19.09.2011 | 10 846 196.09 | 39 250.82 | 0.36 | €0.00 | - | - | - |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001215 | 19.09.2011 | 23.09.2011 | 26.09.2011 | 10 846 196.09 | 19 309.22 | 0.18 | €0.00 | - | - | - |
| SIEA (national project) | Support for education and advice on the efficient use of energy and use of renewable energy, including raising public awareness | 25120220001516 | 19.09.2011 | 23.09.2011 | 26.09.2011 | 10 846 196.09 | 27 104.82 | 0.25 | €0.00 | - | - | - |

Source: SIEA

Inspections performed by the STB

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| **Name of beneficiary** | **Project name / ITMS code** | **Place and time of physical on the-spot inspections** | **Volume of funds checked** | **% share of checked funds to eligible expenses of the project** | **Volume of identified ineligible expenditures** | **Key findings, including any irregularities** | **Draft measures for remedying deficiencies found** | **State of measures adopted** |
| 1. Tatranská, a. s. | 25130120019 | High Tatras  4.1.2011-5.1.2011 | 2 263 471.52 | 21.45% | 11 333.71 | reduction pursuant to the report from the on-the-spot check | reduction | adopted |
| JUDr. Vladimír Urblík – VALIGA O.S.S. | 25130120010 | Krahule  14.1.2011-9.2.2011 | 35 152.08 | 42.83% | 428.4 | The on-the-spot check found an inconsistency in the supply of invoiced items. | Reduction of items not supplied. | adopted |
| Equis Invest Consulting, s. r. o. | 25130120059 | High Tatras  13.1.2011-13.1.2011 | 280 750.88 | 49.07% | 0.00 | reduction pursuant to the report from the on-the-spot check (different rounding method) | reduction | adopted |
| Aspect Vyhne, a. s. | 25130120086 | Vyhne  19.1.2011-20.1.2011 | 268 438.21 | 40.05% | 0.00 | none | none |  |
| COUNTRY SALOON Belá, s.r.o. | 25130120093 | Belá  27.1.2011-27.1.2011 | 487 514.36 | 48.76% | 0.00 | none | none |  |
| HARMANIAK, spol s.r.o. | 25130120032 | Králiky.  28.1.2011-28.12011 | 268 181.83 | 30.89% | 0.00 | none | none |  |
| ŠAMO AKTIV, s.r.o. | 25130120046 | Lazy pod Makytou  26.1.2011-26.1.2011 | 788 099.08 | 17.99% | 0.00 | none | none |  |
| LL real invest, s. r. o. | 25130120045 | Nitra  1.2.2011-1.2.2011 | 1 787 637.83 | 21.14% | 0.00 | The audit team could not identify certain items from the budget or at the site of project implementation. | An on-the-spot check will be re-performed at the beneficiary. |  |
| LL real invest, s. r. o. | 25130120045 | Nitra  1.2.2011-1.2.2011 | 0.00 | 0.00% | 0.00 | none | none |  |
| LL real invest, s. r. o. | 25130120045 | Nitra  1.2.2011-1.2.2011 | 0.00 | 0.00% | 0.00 | none | none |  |
| Tichý a Kubík, s. r. o. | 25130120085 | Lazy pod Makytou  2.2.2011-2.2.2011 | 291 380.48 | 23.32% | 0.00 | none | none |  |
| Hotel HVIEZDA, s.r.o. | 25130120055 | Dudince  3.2.2011-3.2.2011 | 645 771.54 | 77.11% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  4.2.2011-4.2.2011 | 250 592.28 | 3.23% | 0.00 | none | none |  |
| Alena Kublová – MARLENE | 25130120076 | Oščadnica  9.2.2011-10.2.2011 | 209 999.98 | 36.32% | 0.00 | none | none |  |
| Ing.Ľubomír Siták | 25130120028 | Rožňavaž  16.2.2011-17.2.2011 | 117 740.4 | 40.99% | 0.00 | none |  |  |
| Tenis centrum, s. r. o. | 25130120013 | Zvolen  22.2.2011-22.2.2011 | 182 371.89 | 4.16% | 0.00 | none | none |  |
| Hotel AVENA, s.r.o. | 25130120051 | Liptovský Ján  23.2.2011-23.2.2011 | 1 456 387.2 | 14.35% | 0.00 | none | none |  |
| KÚPELE ŠTÓS, a.s. | 25130120060 | 23.2.2011-23.2.2011 | 345 581.15 | 46.50% | 0.00 | none | none |  |
| Equis Invest Consulting, s. r. o. | 25130120059 | Vysoké Tatry  24.2.2011-25.2.2011 | 35 586.02 | 6.22% | 0.00 | none | none |  |
| Ing.Jaroslav Žaludek LIGNUM | 25130120037 | Ilava  23.2.2011-23.2.2011 | 133 616.36 | 29.00% | 0.00 | Inconsistency between the actual state and the invoicing, or the project budget; use of the current account of the executive of the supplier company instead of the business account, the account holder of which is a different company. | Addendum to the works contract, defining the business account number of the supplier, proof of payment of expenditures concerning AfP no. 1 to the supplier’s business account from the beneficiary’s available funds, charging of the financial operation in the beneficiary’s accounting records, reconciliation of the actual state with invoicing, performance of a repeat on-the-spot check. | The beneficiary has agreed to the performance of a repeat on-the-spot check, in the framework of which there will be verified the accordance of the actual state with invoicing, the beneficiary as well as the supplier have supplied bank statements and excerpts from accounting records declaring the transfer of funds concerning the AfP no. 1 from the current account of the executive of the supplier company to the beneficiary’s account and subsequently the transfer from the beneficiary’s account to the newly-created business account of the supplier company. |
| Róbert Vavrek – RMG | 25130120025 | Brezovica  3.3.2011-3.3.2011 | 77 794.15 | 21.33% | 212.83 | Inconsistency between the actual state and the invoicing, or the project budget. | Curtailment of expenditure claimed. | received |
| I&P Slovakia,a.s. | 25130120039 | Oponice  4.3.2011-4.3.2011 | 351 136.43 | 4.52% | 0.00 | none | none |  |
| LL real invest, s. r. o. | 25130120045 | Nitra  7.3.2011-7.3.2011 | 252 619.16 | 3.00% | 0.00 | none | none |  |
| LL real invest, s. r. o. | 25130120045 | Nitra  7.3.2011-7.3.2011 | 1 787 637.83 | 21.15 | 19 126.8 | Stalking the items that were in conflict with the project budget. | reduction | received |
| TRADIMEX spol.s.r.o. | 25130120057 | Banská Bystrica  9.3.2011-16.3.2011 | 99 673.85 | 23.68% |  | none | none |  |
| Hotely a penzióny, a. s. | 25130120004 | Bystrá  16.3.2011-17.3.2011 | 2 914 863.67 | 38.67% | 0.00 | none | none |  |
| Ing.Jaroslav Žaludek LIGNUM | 25130120037 | Ilava  17.3.2011-17.3.2011 | 133 616.36 | 29.00% | 4 367.88 | Inconsistency between the actual state and the invoicing, or the project budget. | Reduction of expenditure claimed. | adopted |
| Hotel AVENA, s.r.o. | 25130120051 | Liptovský Ján  25.3.2011-25.3.2011 | 1 339 158.21 | 13.19% | 0.00 |  |  |  |
| ZÁMOK VIGĽAŠ s.r.o. | 25130120041 | Vígľaš  24.3.2011-24.3.2011 | 521 366.62 | 3.80% | 0.00 | none | none |  |
| Samtreid, s.r.o. | 25130120044 | Kežmarokž  24.3.2011-25.3.2011 | 416 963.4 | 15.95% | 0.00 | none | none |  |
| STOFING, spol. s r.o. | 25130120009 | Hlohovec  30.3.2011-30.3.2011 | 160 430.79 | 32.09 | 0.00 | none | none |  |
| Alena Kublová – MARLENE | 25130120076 | Oščadnica  30.3.2011-30.3.2011 | 122 217.04 | 21.14% | 0.00 | none | none |  |
| ŠOVAR, s.r.o. | 25130120014 | Prešov  30.3.2011-30.3.2011 | 294 524.77 | 3.43% | 0.00 | none | none |  |
| BBF elektro s.r.o. | 25130120042 | 31.3.2011-31.3.2011 | 412 506.41 | 19.04% | 1 206.4 | Inconsistency between the invoiced and supplied amounts of budget items. Certain items were supplied in a different type design, though the purpose had been maintained. Some items were not supplied at all. | The beneficiary on 15.4.2011 submitted to the STB photo documentation regarding the removed deficiencies, from which it results that the beneficiary had partially removed findings from the on-the-spot check no. 4. The subject of the proposal for reducing the payment will be the sum for the items that were not supplied in accordance with the applicable project budget. | fulfilled |
| HOTEL PARTIZÁN, s.r.o. | 25130120054 | Bystrá  4.4.2011-4.4.2011 | 2 510 769.34 | 36.65% | 0.00 | none | none |  |
| OMOSS spol. s.r.o. | 25130120040 | ž  5.4.2011-5.4.2011 | 303 717.74 | 7.88% | 0.00 | none | none |  |
| Mgr.Ľubica Skusilová | 25130120064 | Demänovská Dolina  5.4.2011-5.4.2011 | 999 750.34 | 100.00% | 0.00 | Inconsistency between the actual state and the invoicing, or the project budget. | Reduction of expenditure claimed. | Inspection not completed. |
| Štefan Traj – TRAY | 25130120084 | Sihelné  4.4.2011-4.5.2011 | 114 250.16 | 11.95% | 0.00 | none | none |  |
| SKI LIFT, s.r.o. | 25130120033 | Králiky.  7.4.2011-7.4.2011 | 628 891.1 | 8.51% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  8.4.2011-8.4.2011 | 328 710.21 | 4.24% | 0.00 | none | none |  |
| Dušan Cicko – HRATEGA | 25130120069 | Snina  14.4.2011-14.4.2011 | 676 247.16 | 100.00% | 21 566.62 | Finding no. 1 – error in CCT – is being solved in cooperation with the MA; finding no. 2 – inconsistency between the invoicing of certain items and supply | Finding no. 1 – based on a statement by the MA, it has no effect on the CCT results, nor on the eligibility of the expenditures; Finding no. 2 – proposal for reducing payment in the amount of €21 566.62. | fulfilled |
| HOTEL PARTIZÁN, s.r.o. | 25130120054 | Bystrá  19.4.2011-19.4.2011 | 1 034 150.37 | 15.09% | 0.00 | none | none |  |
| LL real invest, s. r. o. | 25130120045 | Nitra  20.4.2011-20.4.2011 | 4 811.35 | 0.06% | 0.00 | none | none |  |
| Ing.Ľubomír Siták | 25130120028 | Rožňavaž  20.4.2011-9.5.2011 | 42 361.21 | 14.75% | 0.00 | none | none |  |
| GPW Capital s.r.o. | 25130120088 | Medzev  2.5.2011-2.5.2011 | 245 990.42 | 24.60% | 0.00 | Inconsistency between invoicing and actual state. | Re-checking the AfP. | fulfilled |
| Hotel HVIEZDA, s.r.o. | 25130120055 | Dudince  9.5.2011-17.5.2011 | 191 642.26 | 22.89% | 0.00 | none | none |  |
| SKI LIFT, s.r.o. | 25130120033 | Králiky.  12.5.2011-18.5.2011 | 42 112.13 | 0.57% | 0.00 | none | none |  |
| Elevation, s.r.o. | 25130120007 | Tatranská Polianka  3.5.2011-4.5.2011 | 3 614 576.23 | 34.15% | 247 805.13 | Inconsistency between the actual state and the invoicing, or the project budget. | Reconcile the actual state with bills of quantities for the works performed and supplies. | The beneficiary has undertaken to remove the deficiencies in cooperation with the supplier and has agreed to the performance of a repeat on-the-spot check; ineligible expenditures identified in the administrative check will be included in the proposal for reducing the payment. |
| I&P Slovakia,a.s. | 25130120039 | Oponice  10.5.2011-10.5.2011 | 358 978.66 | 4.63% | 0.00 | none | none |  |
| TRADIMEX spol.s.r.o. | 25130120057 | Banská Bystrica  5.5.2011-27.5.2011 | 122 231.57 | 29.04% | 0.00 | none | none |  |
| ŠOVAR, s.r.o. | 25130120014 | Prešov  16.5.2011-17.5.2011 | 184 770.29 | 2.15% | 0.00 | none | none |  |
| Z.E.N., s.r.o. | 25130120099 | Poprad  17.5.2011-17.5.2011 | 909 545.22 | 91.17% | 258 338.78 | Inconsistency of the actual state with invoicing or project budget; use of room no. 030 in the hotel basement – hairdressers, not submitted required supporting documentation to the AfP, discrepancy regarding the start of the project implementation, i.e. a different date in the report and commencement of project implementation and the site diary. | Provide an opinion on the findings contained in the on-the-spot check report, then perform a repeat on-the-spot check. | The beneficiary provided the letter, though did not to express an opinion regarding the findings stated in the report from the on-the-spot check, the STB responded by sending an e-mail, in which it again requests the sending of an opinion regarding the identified facts. |
| BABYOS, s.r.o. | 25130120058 | Banská Bystrica  16.5.2011-2.6.2011 | 330 015.95 | 33.00% | 564.00 | Inconsistency between the actual state and the invoicing, or the project budget. | Reduction of expenditure claimed. | adopted |
| Doddy, spol. s r. o. | 25130120016 | Hodruša-Hámre :  18.5.2011-18.5.2011 | 158 209.91 | 1.32% | 0.00 | none | none |  |
| Mária Palášthyová – MIMI | 25130120062 | Banská Štiavnica  16.5.2011-26.5.2011 | 40 649.5 | 12.18% | 12 027.14 | Inconsistency between the actual state and invoicing, or project budget; failure to comply with the timeframe for project implementation; during the on-the-spot check the required documentation regarding the project and AfP no. 1 was not presented. | Request for an opinion regarding the identified deficiencies listed in the report from the on-the-spot check, subsequently rejection of the AfP. | The beneficiary delivered an opinion regarding the report from the on-the-spot check; Request for permission to alter the grant contract – change of construction supplier; Request to suspend project for the time necessary for remediation of foundations of the building, referring to a circumstance excluding liability. |
| SIROŇ plus s.r.o. | 25130120075 | 25.5.2011-25.5.2011 | 973 460.01 | 100.00% | 0.00 | none | none |  |
| GPW Capital s.r.o. | 25130120088 | Medzev  24.5.2011-24.5.2011 | 245 990.42 | 24.60% | 401.28 | Inconsistency between the actual state and the invoicing, the item was not supplied in accordance with invoicing. | Reduction to the AfP | fulfilled |
| TERMAL DS, s.r.o. | 25130120006 | Zvolen  24.5.2011-24.5.2011 | 2 010 375.35 | 19.45% | 0.00 | none | none |  |
| HARMANIAK, spol. s.r.o. | 25130120032 | Dolná Strehová  31.5.2011-31.5.2011 | 66 634.47 | 7.90% | 0.00 | none | none |  |
| JUDr.Vladimír Urblík – VALIGA O.S.S. | 25130120010 | Krahule  3.6.2011-15.6.2011 | 50 057.12 | 19.61% |  | Inconsistency between invoicing and supply of individual budget items. | Reduction to the AfP | fulfilled |
| AGT REAL, s. r. o. | 25130120096 | Hokovce  7.6.2011-7.6.2011 | 319 591.21 | 33.64% | 587.2 | Reduction of items that were not in accordance with the project budget. | reduction | fulfilled |
| Kúpele Dudince, a. s. | 25130120082 | Dudince  8.6.2011-8.6.2011 | 718 726.76 | 71.87% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  7.6.2011-7.6.2011 | 551 999.02 | 7.11% | 0.00 | none | none |  |
| Doddy, spol. s r. o. | 25130120016 | Hodruša-Hámre :  7.6.2011-7.6.2011 | 225 550.8 | 1.88% | 0.00 | none | none |  |
| Tichý a Kubík, s. r. o. | 25130120085 | Lazy pod Makytou  9.6.2011-9.6.2011 | 204 223.83 | 16.34% | 0.00 | none | none |  |
| Ing.Anton Titko, AN TI | 25130120068 | Klokočov  14.6.2011-15.6.2011 | 166 797.61 | 16.75% | 3 451.36 | Non-supply of curbs next to built object 02 playgrounds – a small grass playground for increasing the safety of players. | Reduction of eligible expenses, representing the amount for the curbs | fulfilled |
| EUROCLUB s.r.o. | 25130120092 | Kluknava  15.6.2011-16.6.2011 | 170 795.02 | 17.08% | 0.00 | none | none |  |
| KÚPELE ŠTÓS, a.s. | 25130120060 | 21.6.2011-21.6.2011 | 232 488.51 | 31.28% | 0.00 | none | none |  |
| Elevation, s.r.o. | 25130120007 | Tatranská Polianka  21.6.2011-21.6.2011 | 247 805.13 | 2.34% | 127 563.57 | Inconsistency between the actual state and the invoicing, or the project budget. | Reduction of expenditure claimed. | adopted |
| Kúpele Dudince, a. s. | 25130120082 | Dudince  21.6.2011-21.6.2011 | 164 285.27 | 16.43% | 0.00 | none | none |  |
| JUDr.Vladimír Urblík – VALIGA O.S.S. | 25130120010 | Krahule  23.6.2011-24.6.2011 | 50 057.12 | 19.61% | 16 687.61 | Inconsistency between invoicing and supply of individual budget items. | Reduction to the AfP | fulfilled |
| Ing.Ivan Bulík | 25130120097 | TrenčianskeJastrabie  29.6.2011-29.6.2011 | 1 006 129.66 | 100.00% | 330 | Inconsistency between invoicing and supply of individual budget items. | Reduction to the AfP | fulfilled |
| Róbert Vavrek – RMG | 25130120025 | Brezovica  27.6.2011-28.6.2011 | 100 659.89 | 27.59% | 0.00 | none | none |  |
| Ing.Ľubomír Pazderka ESSEN – TRINKEN | 25130120074 | Dolná Tížina ž  27.6.2011-27.6.2011 | 36 622.23 | 10.04% | 0.00 | none | none |  |
| Róbert Vavrek – RMG | 25130120025 | Brezovica  27.6.2011-28.6.2011 | 28 906.55 | 7.92% | 0.00 | none | none |  |
| Samtreid, s.r.o. | 25130120044 | Kežmarokž  30.6.2011-30.6.2011 | 330 691.19 | 12.65% | 0.00 | none | none |  |
| TRADIMEX spol.s.r.o. | 25130120057 | Banská Bystrica  1.7.2011-1.7.2011 | 23 270.09 | 5.53% | 2 758.17 | Inconsistency between invoicing and actual state (budget items no. 120, 129, 130). | Reduction to the AfP | fulfilled |
| ZÁMOK VIGĽAŠ s.r.o. | 25130120041 | Vígľaš  6.7.2011-6.7.2011 | 1 239 696.19 | 9.00% | 0.00 | none | none |  |
| HOTEL PARTIZÁN, s.r.o. | 25130120054 | Bystrá  13.7.2011-13.7.2011 | 1 767 686.79 | 25.80% | 0.00 | none | none |  |
| IMMOBAU s.r.o. | 25130120020 | Jezersko  14.7.2011-20.7.2011 | 1 160 760.18 | 14.08% | 0.00 | none | none |  |
| František Laurenčík LA 205 | 25130120024 | 13.7.2011-13.7.2011 | 75 673.4 | 22.30% | 3 866.64 | Inconsistency between the actual state and invoicing, or project budget. | Reduction of expenditure claimed. | irrelevant |
| I&P Slovakia, a.s. | 25130120039 | Oponice  14.7.2011-14.7.2011 | 242 028.63 | 3.12% | 0.00 | none | none |  |
| SLOVAKIA REAL – TOUR s.r.o. | 25130120087 | Malá Ida  19.7.2011-19.7.2011 | 176 464.98 | 17.72% | 0.00 | none | none |  |
| SYNOT GASTRO SLOVAKIA, s.r.o. | 25130120049 | Trenčín  21.7.2011-21.7.2011 | 301 863 | 3.12% | 0.00 | In the documentation at the beneficiary there is missing Addendum 1 to the grant contract and the original of the envelope of the bid in the CCT. | Beneficiary will archive the missing documents. | unfulfilled |
| Mgr.Martin Pukáč | 25130120070 | Zuberec  20.7.2011-20.7.2011 | 169 120.07 | 30.41% | 0.00 | none | none |  |
| SYNOT GASTRO SLOVAKIA, s.r.o. | 25130120049 | Trenčín  21.7.2011-21.7.2011 | 828 298.88 | 8.57% | 0.00 | In the documentation at the beneficiary there is missing Addendum 1 to the grant contract and the original of the envelope of the bid in the CCT. | Beneficiary will archive the missing documents. | fulfilled |
| AGT REAL, s. r. o. | 25130120096 | Hokovce  21.7.2011-21.7.2011 | 192 923.3 | 20.30 | 0.00 | none | none |  |
| MECOM, spol. s.r.o. | 25130120094 | Košice  26.7.2011-26.7.2011 | 97 171.67 | 30.59% | 0.00 | none | none |  |
| HARMANIAK, spol s.r.o. | 25130120032 | Králiky.  2.8.2011-2.8.2011 | 31 682.3 | 3.76% | 238.66 | The beneficiary made budget item no. 505 (1 piece of the item) in a different type design from that in the applicable budget. | Reduction of the AfP | fulfilled |
| INDOPO spol.s.r.o. | 25130120079 | Palárikovo  3.8.2011-3.8.2011 | 49 710.07 | 12.27% |  | Inspection not completed | Inspection not completed | Inspection not completed |
| Doddy, spol. s r. o. | 25130120016 | Hodruša-Hámre :  10.8.2011-10.8.2011 | 339 402.41 | 2.8% | 0.00 | none | none |  |
| Z.E.N., s.r.o. | 25130120099 | Poprad  10.8.2011-11.8.2011 | 261 450.5 | 26.21% | 27 665.05 | Inconsistency between the actual state and invoicing. | Reduction of expenditure claimed. | fulfilled |
| KAISAR, s.r.o. | 25130120050 | Humenné  12.8.2011-16.8.2011 | 619 054.73 | 7.99% | 0.00 | none | none |  |
| Tenis centrum, s. r. o. | 25130120083 | Zvolen  18.8.2011-18.8.2011 | 997 500.00 | 99.80% | 0.00 | none | none |  |
| ŠOVAR, s.r.o. | 25130120014 | Prešov  24.8.2011-25.8.2011 | 413 959.56 | 4.81% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  22.8.2011-23.8.2011 | 509 304.62 | 6.56% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  22.8.2011-23.8.2011 | 469 181.31 | 6.05% | 11 318.48 | Inconsistency between the actual state and invoicing. | Reduction of expenditure claimed in the AfP. | irrelevant |
| COUNTRY SALOON Belá, s.r.o. | 25130120093 | 25.8.2011-26.8.2011 | 502 231.37 | 50.74% | 0.00 | none | none |  |
| KAISAR, s.r.o. | 25130120050 | Humenné  5.9.2011-7.9.2011 | 337 341.49 | 4.35% | 0.00 | none | none |  |
| BBF elektro s.r.o. | 25130120042 | 8.9.2011-8.9.2011 | 344 728.91 | 15.91% | 4 121.62 | Inconsistency between the supply and invoicing of budget items no. 359, 360, 363, 367, 368 and 369. This finding has no effect on the project objectives. | Reduction of eligible expenses. | fulfilled |
| STOFING, spol. s r.o. | 25130120009 | Hlohovec  8.9.2011-8.9.2011 | 122 853.45 | 25.24% | 0.00 | none | none |  |
| OMOSS spol. s.r.o. | 25130120040 | ž  12.9.2011-12.9.2011 | 313 446.04 | 8.13% | 0.00 | none | none |  |
| NALIM, s.r.o., Sečovce | 25130120056 | Dargov  13.9.2011-13.9.2011 | 193 476.58 | 40.39% | 40.6 | Inconsistency between the supply and invoicing of items no. 120 and 139. | Reduction of eligible expenses. | fulfilled |
| Kúpele Dudince, a. s. | 25130120082 | Dudince  13.9.2011-13.9.2011 | 118 067.48 | 11.79 | 0.00 | none | none |  |
| HVT, s. r. o. | 25130120003 | Dudince  14.9.2011-14.9.2011 | 320 8333.59 | 34.27% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  9.9.2011-9.9.2011 | 480 401.28 | 6.19% | 0.00 | none | none |  |
| Ing.Helena Melková – Hotel Heľpa | 25130120065 | 12.9.2011-12.9.2011 | 127 695.63 | 19.23% | 2523 | Inconsistency between the invoicing and the actual state | Reduction to the AfP | fulfilled |
| ZÁMOK VIGĽAŠ s.r.o. | 25130120041 | Vígľaš  14.9.2011-21.9.2011 | 1 022 182.9 | 7.45% | 0.00 | none | none |  |
| Monika Zichová | 25130120078 | Terchová  19.9.2011-19.9.2011 | 234 275.76 | 53.23% | 0.00 | none | none |  |
| Samtreid, s.r.o. | 25130120044 | Kežmarokž  19.9.2011-20.9.2011 | 612 461.33 | 23.42% | 12 059.49 | Discrepancy between invoicing and the actual state | Reduction of eligible expenses. | fulfilled |
| MAJEROVSKÝ KAŠTIEĽ, s.r.o. | 25130120066 | Majerovce  3.10.2011-4.10.2011 | 397 236.14 | 42.55% | 0.00 | none | none |  |
| TERMAL DS, s.r.o. | 25130120006 | Dolná Strehová  10.10.2011-10.10.2011 | 2 962 450.36 | 29.02% | 0.00 | none | none |  |
| Tenis centrum, s. r. o. | 25130120083 | Zvolen  5.10.2011-5.10.2011 | 2 000.00 | 0.2% | 0.00 | none | none |  |
| Tenis centrum, s. r. o. | 25130120013 | Zvolen  6.10.2011-6.10.2011 | 1 216 814.6 | 28.08% | 0.00 | none | none |  |
| Tenis centrum, s. r. o. | 25130120013 | Zvolen  6.10.2011-6.10.2011 | 7 553.64 | 0.01% | 0.00 | none | none |  |
| ČAJKA BOJNICE, a.s. | 25130120061 | Bojnice  7.10.2011-7.10.2011 | 1 154 419.03 | 100% | 584.42 | Inconsistency between the supply of budget items no. 391, 401 and 403 and the applicable version of the project budget. | Reduction to the AfP | fulfilled |
| I&P Slovakia,a.s. | 25130120039 | Oponice  12.10.2011-12.10.2011 | 202 498.4 | 2.61% | 0.00 | none | reduction | irrelevant |
| I&P Slovakia,a.s. | 25130120039 | Oponice  12.10.2011-12.10.2011 | 346 295.42 | 4.46% | 0.03 | Inconsistency between the actual state and invoicing. | Reduction of expenditure claimed in the AfP. | irrelevant |
| BABYOS, s.r.o. | 25130120058 | Banská Bystrica  13.10.2011-14.10.2011 | 256 532.84 | 25.66% | 5 784.68 | Inconsistency between invoicing and the actual state. The beneficiary did not present to the audit team the required documentation in the required scope, and also does not state the correct dates on the notice board. | The beneficiary is obliged to take measures to correct the identified deficiencies, and to provide a written opinion by 28 October 2011. For verifying the corrective measures taken the audit team will perform a repeat on-the-spot check. The audit team will then assess the eligibility of expenditures and prepare an addendum to the report from the on-the-spot check. |  |
| MHC HRON, s. r. o. | 25130120067 | 18.10.2011-18.10.2011 | 185 805.16 | 18.58 | 0.00 | none | none |  |
| Green investments, s. r. o. | 25130120080 | Sliač  19.10.2011-19.10.2011 | 243 350.00 | 100% | 0.00 | none | none |  |
| HOTEL PARTIZÁN, s.r.o. | 25130120054 | Bystrá  17.10.2011-17.10.2011 | 722 628.43 | 10.55% | 0.00 | none | none |  |
| KÚPELE ŠTÓS, a.s. | 25130120060 | 19.10.2011-20.10.2011 | 159 993.96 | 21.68% | 0.00 | none | none | fulfilled |
| IMMOBAU s.r.o. | 25130120020 | Jezersko  18.10.2011-18.10.2011 | 1 486 642.12 | 18.03% | 0.00 | none | none |  |
| ŠAMO AKTIV, s.r.o. | 25130120046 | Lazy pod Makytou  24.10.2011-24.10.2011 | 675 998.83 | 15.43% | 0.00 | none | none | fulfilled |
| KAISAR, s.r.o. | 25130120050 | Humenné  25.10.2011-26.10.2011 | 811 679.86 | 10.47% | 0.00 | none | none | fulfilled |
| SYNOT GASTRO SLOVAKIA, s.r.o. | 25130120049 | Trenčín  21.10.2011-21.10.2011 | 1 692 018.53 | 17.50% | 0.00 | none | none | fulfilled |
| MECOM, spol. s.r.o. | 25130120094 | Košice  24.10.2011-25.10.2011 | 217 195.18 | 69.09% | 0.00 | none | none | fulfilled |
| Ing.Ľubomír Siták | 25130120028 | Rožňava  24.10.2011-25.10.2011 | 37 351.35 | 13.27% | 0.00 | The beneficiary failed to notify the STB of a change to the purchase price for the set of room furnishing at the time of this fact arising. It subsequently presented Annex 3 to the purchase contract no. 2008/04 with a condition precedent. The beneficiary did not publish information on support for the project from European Union funds on its website and did not have its property labelled according to instructions defined in the applicable Awareness and Publicity Manual.... | The audit team requires the beneficiary to provide a written statement regarding the audit team’s findings. The audit team will then draw up an addendum to the report from the on-the-spot check no. 4, with a final statement. | fulfilled |
| JUDr.Vladimír Urblík – VALIGA O.S.S. | 25130120010 | Krahule  2.11.2011-2.11.20113.11.2011-3.11.2011 | 9 273.64 | 1.96% | 0.00 | none | none |  |
| InDevel, s.r.o. | 25130120098 | Oščadnica  28.10.2011-28.10.2011 | 182 473.74 | 68.57% | 0.00 | none | none |  |
| BABYOS, s.r.o. | 25130120058 | Banská Bystrica  26.10.2011-26.10.2011 | 46 710.43 | 5.61% | 0.00 | The findings defined in the report from the on-the-spot check no. 3 were removed in their entirety. | None | fulfilled |
| Alena Kublová – MARLENE | 25130120076 | Oščadnica  25.10.2011-25.10.2011 | 245 968.41 | 42.54% | 0.00 | Pursuant to the final report from the on-the-spot check, an irregularity regarding AfP no. 3 and 4 was identified at the beneficiary. | Recovery of calculated funds by means of a request for funds settlement. | The beneficiary returned the requested funds. |
| Doddy, spol. s r. o. | 25130120016 | Hodruša-Hámre:  27.10.2011-28.10.2011 | 1 037 761.32 | 8.6% | 0.00 | none | none |  |
| REMONTA, spol. s.r.o. | 25130120038 | 2.11.2011-2.11.2011 | 148 957.93 | 57.21% | 0.00 | none | none | fulfilled |
| Webis, s.r.o. | 25130120002 | Demänovská Dolina  3.11.2011-3.11.2011 | 303 359.35 | 2.53% | 7 159.52 | Inconsistency between invoicing and the actual state; required documents not submitted. | The audit team is asking the beneficiary for a written statement regarding the findings. A repeat inspection will focus on the required documentation as well as reconciliation of the actual state with invoicing. | unfulfilled |
| AGT REAL, s. r. o. | 25130120096 | Hokovce  2.11.2011-2.11.2011 | 355 023.2 | 37.37% | 0.00 | none | none |  |
| Hotely a penzióny, a. s. | 25130120004 | Bystrá  3.11.2011-3.11.2011 | 1 255 136.98 | 17.06% | 0.00 | none | none |  |
| I&P Slovakia,a.s. | 25130120039 | Oponice  7.11.2011-8.11.2011 | 289 083.14 | 3.72% | 0.00 | Inconsistency between the actual state and invoicing. | Reduction of expenditure claimed in the AfP. | fulfilled |
| Z.E.N., s.r.o. | 25130120099 | Poprad  10.11.2011-11.11.2011 | 88 118.71 | 8.83% | 0.00 | Due to rounding in mathematical operations. | Reduction of expenditure claimed. | fulfilled |
| ALEXANDRA WELLNESS HOTEL, s.r.o. | 25130120051 | Liptovský Ján  9.11.2011-10.11.2011 | 2 953 131.35 | 29.25% | 5 851.2 | Inconsistency between the supply of budget items no. 809 and the project budget. | Reduction to the AfP. | fulfilled |
| ALEXANDRA WELLNESS HOTEL, s.r.o. | 25130120051 | Liptovský J9.11.2011-10.11.2011án 9.11.2011-10.11.2011 | 2 662 268.17 | 26.37% | 581.4 | Inconsistency between the supply of budget items no. 308 and the project budget. | Reduction to the AfP | fulfilled |
| APHRODITE – Slovenské liečebné kúpele Rajecké Teplice, s.r.o. | 25130120081 | Rajecké Teplice 14.11.2011-14.11.2011 14.11.2011-14.11.2011 | 707 788.09 | 70.78 | 0.00 | none | none | fulfilled |
| GPW Capital s.r.o. | 25130120088 | Medzev  22.11.2011-22.11.20111 | 233 965.18 | 23.40% | 0.00 | none | none | fulfilled |
| Tichý a Kubík, s. r. o. | 25130120085 | Lazy pod Makytou  22.11.2011-22.11.2011 | 182 857.22 | 14.63% | 0.00 | none | none |  |
| SLOVAKIA REAL – TOUR s.r.o. | 25130120087 | Malá Ida  23.11.2011-23.11.2011 | 247 298.03 | 24.84% | 0.00 | none | none | fulfilled |
| ŠOVAR, s.r.o. | 25130120014 | Prešov  30.11.2011-30.11.2011 | 326 681.13 | 3.80% | 0.00 | none | none |  |
| NALIM, s.r.o., Sečovce | 25130120056 | Dargov  1.12.2011-1.12.2011 | 27 388.67 | 48.22% | 0.00 | none | none |  |
| Webis, s.r.o. | 25130120002 | Demänovská Dolina  5.12.2011-6.12.2011 | 7 159.52 | 0.06% | 5 944.34 | Inconsistency between invoicing and the actual state. | Reduction to the AfP | fulfilled |
| PARTNER PROGRESS, s.r.o. | 25130120027 | Považská Bystricaž  14.12.2011-4.1.2012 | 648 237.56 | 99.9974% | 18 805.35 | Inconsistency between the supply and budget in the case of several items. Failure to fulfil the measurable results indicator “Number of newly created jobs” (total, filled by women and filled by men) | Reduction to the AfP Extension to the deadline for fulfilling the unfulfilled result indicators until 1.6.2012. | fulfilled |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| STB | 25130220001 | Bratislava  13.1.2011 | 93 031.44 | 0.34 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  23.3.2011 | 123 510.78 | 0.45 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  6.5.2011 | 124 636.04 | 0.46 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  10.5.2011 | 102 959.97 | 0.38 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  24.5.2011 | 105 797.53 | 0.39 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  24.5.2011 | 112 105.00 | 0.41 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  30.5.2011 | 41 983.28 | 0.15 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  27.6.2011 | 90 234.43 | 0.33 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  4.7.2011 | 4 775.82 | 0.02 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  11.7.2011 | 20 130.97 | 0.07 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  20.7.2011 | 36 377.20 | 0.13 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  3.8.2011 | 1 149.98 | 0.004 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  3.8.2011 | 121 395.67 | 0.45 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  18.8.2011 | 214 159.75 | 0.78 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  18.8.2011 | 96 279.40 | 0.35 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  30.8.2011 | 4 826.27 | 0.02 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  30.8.2011 | 16 972.50 | 0.06 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  5.9.2011 | 76 720.00 | 0.28 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  20.9.2011 | 2 233.80 | 0.008 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  20.9..2011 | 137 866.10 | 0.51 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  26.9.2011 | 129 477.35 | 0.48 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  27.9.2011 | 180 788.00 | 0.66 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  11.10.2011 | 91 478.63 | 0.34 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  19.10.2011 | 14 684.00 | 0.05 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  26.10.2011 | 17 050.93 | 0.06 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  2.11.2011 | 27 909.90 | 0.10 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  10.11.-11.11.2011 | 32 000.00 | 0.12 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  21.11.2011 | 168 304.50 | 0.62 |  | partial breach of awareness and publicity rules | reasoning from the beneficiary | fulfilled |
| STB | 25130220001 | Bratislava  5.12.-8.12.2011 | 261 807.00 | 0.96 | 0 | None | none |  |
| STB | 25130220001 | Bratislava  19.12.2011 | 13 121.63 | 0.05 | 0 | None | none |  |

Source: STB

Inspections performed by SARIO

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Name of beneficiary** | **Project name / ITMS code** | **Place and time of physical on-the-spot check** | **Volume of funds checked** | **% share of checked funds to eligible expenses of the project** | **Volume of identified ineligible expenditures** | **Key findings, including any irregularities** | **Draft measures for remedying deficiencies found** | **State of measures adopted** |
| Town of Trnava | Urban industrial and technological park in Trnava | Trnava  24.01.2011 | 846 563.88 | 8.39% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Gelnica | Industrial Park in Gelnica | Gelnica  07.02.2011 – 08.02.2011 | 271 783.60 | 6.39% | 0.00 | No discrepancies were identified | No measures needed |  |
| STROPTEL, s.r.o. | Improving the position of the firm STROPTEL, s.r.o. in competition on EU markets by means of a higher level of presentational activities at the international trade fair in Munich. | Stropkov  17.02.2011 – 18.02.2011 | 84390.76 | 39.95% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Tornaľa | Tornaľa brownfield industrial park | Tornaľa,  31.03.2011– 01.04.2011 | 129127.75 | 1.23% | 0.00 | No discrepancies were identified | No measures needed |  |
| EMTEST, as | Participation of the company EMTEST, a.s. at exhibitions and trade fairs in Slovakia and in the EU08 countries | Žilina  07.04.2011 | 38 673.20 | 20.44% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Gelnica | Industrial Park in Gelnica | Gelnica,  13.04.2011- 15.04.2011 | 613 501.08 | 14.43% | 0.00 | No discrepancies were identified | No measures needed |  |
| TOOLS s.r.o. | YADO sews for you | Handlová  5.5.2011 | 68 980.00 | 45.77% | 0.00 | No discrepancies were identified | No measures needed |  |
| EFEKTA, spol. s r.o. | Increasing the competitiveness and improving the position of products of AXA, spol. s r.o. in foreign markets | Žilina  24.05.2011 | 98 791.44 | 40.44% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Tornaľa | Brownfield industrial park in Tornaľa | Tornaľa,  19.05.2011- 20.05.2011 | 291720.96 | 2.79% | 0.00 | No discrepancies were identified | No measures needed |  |
| Bottling printing, s.r.o. | Presentation of the company at domestic and international trade fairs | Liptovský Hrádok  20.05.2011 | 98265.00 | 100.00% | 3 893.76 | Overpriced translation of catalogues, graphic design of stand | Eligible expenditures in the AfP were reduced. |  |
| MARPEX, s.r.o. | Participation of the firm Hengstler, s.r.o. at the exhibitions Industry Expo Bratislava, MSV Nitra, EloSys Trenčín and Amper Prague | Dubnica nad Váhom,  23.05.2011 | 20934.38 | 21.17% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Gelnica | Industrial Park in Gelnica | Gelnica,  25.05.2011- 27.05.2011 | 275940.01 | 6.49% | 0.00 | No discrepancies were identified | No measures needed |  |
| CHIRANA Medical, a.s. | Support for the sale of medical equipment in Balkan markets and in developed European markets. | Myjava,  09.06.2011 | 216 816.57 | 100.00% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Levoča | Levoča Brownfield Industrial Area Levoča – South | Levoča  08.06.2011- 10.06.2011 | 2 610 184.64 | 31.28% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Stropkov | Brownfield Industrial Park Stropkov – Stage I | Stropkov,  30.06.2011- 01.07.2011 | 233 591.69 | 6.28% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Stropkov | Brownfield Industrial Park Stropkov – Stage I | Stropkov,  10.08.2011- 12.08.2011 | 212 926.71 | 5.72% | 0.00 | No deficiencies were found | No measures needed |  |
| StrojCAD, s.r.o. | Participation of the firm StrojCAD, s.r.o. Pad international timber trade fairs in 2009 and 2010 | Michalovce, 03.08.2011- 04.08.2011 | 80 232.86 | 69.29% | 0.00 | No deficiencies were found | No measures needed |  |
| KODRETA furniture, s.r.o. | Participation at exhibitions | Krásno nad Kysucou, 18.08.2011 | 126 301.35 | 96.81% | 0.00 | No deficiencies were found | No measures needed |  |
| THERMO/SOLAR Žiar, s.r.o. | Participation of the firm THERMO/SOLAR at exhibitions | Žiar nad Hronom, 23.08.2011 | 72 621.76 | 100.00% | 0.00 | No deficiencies were found | No measures needed |  |
| Lubomir Čupka – TATRAPET | TATRAPET – support for sales in foreign markets and at exhibitions | Liptovský Mikuláš, 06.09.2011- 07.09.2011 | 61 127.18 | 87.46% | 0.00 | No deficiencies were found | No measures needed |  |
| VILLA PRO s.r.o. | Participation of the firm VILLA PRO s.r.o. at international exhibitions and trade fairs | Spišská Nová Ves, 12.09.2011- 14.09.2011 | 214 559.11 | 91.59% | 0.00 | No deficiencies were found | No measures needed |  |
| KONŠTRUKTA – Industry, a.s. | Participation of the firm KONŠTRUKTY-Industry, a.s. at trade fairs and in trade missions 2009 – 2011 | Trenčín, 21.09.2011- 23.09.2011 | 131 453.61 | 50.84% | 0.00 | No deficiencies were found | No measures needed |  |
| Slovaktual, s. r.o. | Support for participation of participation of SLOVAKTUAL s.r.o. at international exhibitions and trade fairs | Pravenec, 30.09.2011 | 127 597.08 | 95.34% | 0.00 | No deficiencies were found | No measures needed |  |
| ALFA SORTI s.r.o. | Support for export of extruded and frozen food | Zvolen, 06.10.2011 | 82 198.05 | 78.61% | 0.00 | No deficiencies were found | No measures needed |  |
| Town of Stropkov | Brownfield Industrial Park Stropkov – Stage I | Stropkov, 11.10.2011- 12.10.2011 | 507 152.46 | 13.63% | 0.00 | No deficiencies were found | No measures needed |  |
| Town of Krompachy | Brownfield industrial park Krompachy | Krompachy, 12.10.2011- 13.10.2011 | 1 500 301.96 | 15.19% | 0.00 | No deficiencies were found | No measures needed |  |
| Juraj Králik – ZAJO | Building the position of the firm Juraj Králik – ZAJO in new markets | Galanta, 26.10.2011 | 254 920.87 | 84.53% | 0.00 | No deficiencies were found | No measures needed |  |
| Alena Benešová- ODEMA | Participation of the firm Alena Benešová- ODEMA at international garment exhibitions | Púchov, 24.10.2011 | 3 469.82 | 27.61% | 0.00 | No deficiencies were found | No measures needed |  |
| Foresta SK, a.s. | Support for participation in international trade fairs | Banská Bystrica, 20.10.2011 | 83 307.66 | 93.15% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Trnava | Urban industrial and technological park Trnava | Trnava, 26.10.2011 | 230 403.98 | 2.28% | 0.00 | No discrepancies were identified | No measures needed |  |
| PSS Svidník, a.s. | Support for participation of participation of the firm Potravinárske  Strojárne Svidník, a.s. at international exhibitions and trade fairs | Svidník, 27.10.2011- 28.10.2011 | 71 415.04 | 70.80% | 0.00 | No discrepancies were identified | No measures needed |  |
| HASMA, s.r.o. | Participation of HASMA, s.r.o. at electrical trade fairs and exhibitions in 2010 | Krompachy, 27.10.2011- 28.10.2011 | 55 274.04 | 92.98% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Tornaľa | Tornaľa brownfield industrial park | Tornaľa, 02.11.2011- 03.11.2011 | 522 438.20 | 2.79% | 0.00 | No discrepancies were identified | No measures needed |  |
| VIPO a.s. | Improving position and competitiveness of the firm VIPO, a.s. in international markets | Partizánske, 08.11.2011 | 50 047.07 | 77.90% | 0.00 | No discrepancies were identified | No measures needed |  |
| SEZ PLASET s.r.o. | Support for export of extruded and frozen food | Krompachy, 23.11.2011- 24.11.2011 | 162 087.98 | 91.83% | 0.00 | No discrepancies were identified | No measures needed |  |
| Švedlár municipality | Revitalization of brownfield industrial area in the Švedlár municipality | Švedlár,  28.11.2011- 29.11.2011 | 707 558.69 | 6.73% | 0.00 | No discrepancies were identified | No measures needed |  |
| Town of Stropkov | Brownfield Industrial Park Stropkov – Stage I | Stropkov,  21.12.2011- 23.12.2011 | 327 031.15 | 8.78% | 0.00 | No discrepancies were identified | No measures needed |  |

Source: SARIO

# *Annex 6 Examples of good practice*

All the projects listed below as examples of good practice represent a benefit from the aspect of fulfilling the strategic objectives of the OP CEG. They contribute also to fulfilling the objectives of the HP objectives of the NSRF, and therefore, in terms of their contribution to raising employment in the given region, or raising the involvement of disadvantaged groups, they contribute to the favourable socioeconomic impacts. All projects are also expected to be sustainable also beyond the subsequent 5-year monitoring period.

**Priority Axis 1 - Innovation and growth of competitiveness**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *Measure* | 1.1 Innovation and technological transfers | | | |
| ***Project name*** | Growth of competitiveness of society through technological transfer, through the creation and maintenance of new jobs | | | |
| ***Beneficiary*** | FOUR DESIGN FACTORY s.r.o. | | | |
| ***Total eligible expenditure:*** | 300 532.40 € | | | |
| * ***of which ERDF funds*** | **127 726.25 €** | | | |
| ***Brief project description*** | The project through comprehensive technological transfer and significant personal growth has created the prospects for long-term sustainability. This is confirmed also by the fact that the company has significant growth reserves for raising production; demand for products exceeds supply. The project has been implemented on the border with the Czech Republic and Austria, where there is the prospect of locating part of production with high added value. The place of the operation, Kátov, is easily accessible from the Prague – Bratislava a motorway and is close also to the railway station. For sales of products for individual production, there is available a wide network of departments stores and companies. The average per capita income at the place of project implementation ranges significantly above the national average, giving the conditions for continued client growth.  The annual operation of new production technologies has ensured relatively quick and efficient growth in the applicant’s competitiveness for fulfilling the indicators of earnings growth and added value.  At present the company is stable in terms of both staff and technology, with a major share in the regional furniture market, which potentially creates the conditions for further employment growth. | | | |
| ***Description of contribution to HP objectives (SD, IS, EO, MRC)*** | The company after completing the project has 7 full-time employees in the following structure: designer (woman), executive director, carpenter 1, carpenter 2, carpenter 3, varnisher, helper (Roma). The project contributes to the HP SD objectives and the project’s implementation has meant the creation of 5 jobs.  The project contributes to the HP EO objectives and the project’s implementation has meant the creation of 4 jobs for men, 1 job for women and 1 job for disadvantaged jobseekers.  The project contributes to the HP MRC objectives and the project’s implementation has meant the creation of 1 job for an MRC member. | | | |
| ***Fulfilment of values of selected indicators*** | *Name and unit of measure* | *Baseline* | *Target value* | *Achieved value* |
| Number of newly created jobs *(number)* | 0 | 5 | 5 |
| Number of newly created jobs filled by women *(number)* | 0 | 1 | 1 |
| Number of newly created jobs filled by men *(number)* | 0 | 4 | 4 |
| Number of newly created jobs intended for MRC *(number)* | 0 | 1 | 1 |



Source: SIEA

**Priority Axis 2 – Energy**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *Measure* | 2.1 – Increasing energy efficiency both on the side of generation and consumption; and introducing advanced technologies in the energy sector | | | |
| *Project name* | Heating the Tyrapol building using heat pumps | | | |
| *Beneficiary* | TYRAPOL, spol. s r.o. | | | |
| *Total eligible expenditure:* | 132 178.43 € | | | |
| * *of which ERDF funds* | 56 175.83 € | | | |
| *Brief project description* | Reducing operating costs – energy – by at minimum 50%; reducing the absolute value of energy costs. From the environmental aspect, similar projects have a positive impact on the environment – geothermal energy does not produce any pollution, is inexhaustible and safe. | | | |
| *Description of contribution to HP objectives (SD, IS, EO, MRC)* | The increased installed capacity of the renewable energy source facility is 167 kW. This consists of the use of a geothermal energy by way of water abstraction from hydrogeological boreholes as the primary heat source. After cooling in the heat pumps, which serve as a bivalent heat source, it heats the building, pool and hot service water. Annual energy savings achieved through the project’s implementation will total 1116 GJ/year. Geothermal energy is considered to be an inexhaustible source of energy. Another impact on the HP is in the field of environmental protection in the terms of air quality. This project creates no harmful waste or inhalants. Its implementation has led to the shutdown of boilers based on compressed propane/butane gas as well as solid-fuel boilers. | | | |
| *Fulfilment of values of selected indicators* | *Name and unit of measure* | *Baseline* | *Target value* | *Achieved value* |
| Increased installed capacity of facilities attributable to renewable energy sources (MW) | 0.00 | 0.127 | 0.0127 |
| Growth in value added (€) | 175 197.50 | 191 236.81 | 194 082.84 |
| Energy saving (GJ / year) | 232.90 | 705.00 | 731.00 |



Source: SIEA

**Priority Axis 3 – Tourism**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *Measure* | 3.1 – Support for business activities in tourism | | | |
| *Project name* | Spa Štós – Reconstruction of the Main Building, stage II Pool, insulation, passenger lift and heat source. | | | |
| *Beneficiary* | KÚPELE ŠTÓS, a.s. | | | |
| *Total eligible expenditure:* | 738 063.62 € | | | |
| * *of which ERDF funds* | **313 677.04 €** | | | |
| *Brief project description* | The project’s implementation has expanded and improved the quality of service for health and leisure tourism. The quality of curative care has been improved and its standard raised. | | | |
| *Description of contribution to HP objectives (SD, IS, EO, MRC)* | The project’s implementation has meant that the beneficiary has increased employment and contributed thus to the improvement of sustainable development and equal opportunity – in the framework of the reconstruction of the main spa building 2 jobs were created – one for a woman, 1 for a man. | | | |
| *Fulfilment of values of selected indicators* | *Name and unit of measure* | *Baseline* | *Target value* | *Achieved value* |
| Number of tourism products created (number) | 0 | 1 | 1 |
| Number of newly created jobs (number) | 0 | 2 | 2 |
| Number of newly created jobs filled by men *(number)* | 0 | 1 | 1 |
| Number of newly created jobs filled by women *(number)* | 0 | 1 | 1 |
| Number of jobs created for disadvantaged groups as a result of the project implementation *(number)* | 0 | 2 | 2 |
| Increased installed capacity of facilities attributable to renewable energy sources (MW) | 0 | 0.022 | 0.022 |

Source: STB

# *Annex 7 Financial Engineering Instruments operations implemented with Holding Fund*

|  |
| --- |
| **CCI:**2007SK161PO006 |
| **Year:** |
| **Title:OP** Competitiveness and Economic Growth |
| **Number of Financial Engineering Instruments Operations implemented with Holding Fund:** |
| **Number of Financial Engineering Instruments Operations implemented without Holding Fund:** |

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Required information/data** | **Required data/information format** | **Comments** |
| **I. Description of the financial engineering instrument (FEI) and implementation arrangements (Article 67(2)(j)(i) of Council Regulation (EC) No 1083/2006)** | | |  |
| I.1 | **Holding Fund** (name and registered place of business) | JER-005 JEREMIE Holding Fund Slovakia |  |
| I.2 | **Legal status of Holding Fund** |  |  |
| independent legal entities governed by agreements between the co-financing partners or shareholders | ⊙ |  |
| separate block of finance within a financial institution |  |  |
|  | name, legal status and registered place of business of co-financing partners |  |  |
| I.3 | **Holding Fund manager** |  |  |
| European Investment Bank (EIB) |  |  |
| European Investment Fund (EIF) | ⊙ |  |
| financial institution other than the EIB/EIF |  |  |
| other body |  |  |
|  | name, legal status and registered place of business of other body |  |  |
| I.4 | **Procedure for selecting the Holding Fund manager** |  |  |
| award of a public contract in accordance with applicable public procurement law |  |  |
| award of a grant (in the meaning of Article 44, second paragraph, point (b) of Council Regulation (EC) No 1083/2006) |  |  |
| award of a contract directly to the EIB or the EIF |  |  |
|  | **Date of signature of funding agreement with Managing Authority** | Framework agreement signed on 23 December 2008 with subsequent 3 funding agreements (per OP) signed on 28th October 2009, amendment(s) – OP CaEG 25/05/2010, OP R&D 28/04/2010, OP BR 07/05/2010 and 26/05/2011. Side Protocol to funding agreement (OP CaEG) signed on 30/06/2011. |  |
| I.6 | **Number of FEI(s) implemented under this specific Holding Fund** | 0 |  |
| **II.Identification of the entities which implement the financial engineering instrument (FEI)**  **(Article 67(2)(j)(ii) of Council Regulation (EC) No 1083/2006)** | | |  |
| II.1 | **FEI** (name and registered place of business) |  |  |
| II.2 | **Attributable to Article 44, first paragraph (a), (b) or (c) of Council Regulation (EC) No 1083/2006)?** |  |  |
| (a) financial engineering instruments for enterprises |  |  |
| (b) urban development funds |  |
| (c) funds or other incentive schemes providing loans, guarantees for repayable investments, or equivalent instruments, for energy efficiency and use of renewable energy in buildings, including in existing housing |  |
|  | **Type of financial product offered by FEI to final recipients** |  |  |
| II.3.1 | equity |  |  |
| II.3.2 |  |  |  |
| II.3.3 | guarantees |  |  |
| II.3.4 | other (interest rate subsidies, guarantee fee subsidies and equivalent measures) |  |  |
|  | **FEI manager** (its name, legal status and registered place of business) |  |  |
|  | **Procedure for selecting the FEI manager** |  |  |
| award of a public contract in accordance with applicable public procurement law |  |  |
| award of a grant (in the meaning of Article 44, second paragraph, point (b) of Council Regulation (EC) No 1083/2006) |  |
| award of a contract directly to the EIB or the EIF |  |
|  | **Date of signature of funding agreement with Holding Fund** |  |  |
| **III.Amounts of assistance from the Structural Funds and national co-financing paid to the financial engineering instrument (FEI) (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)** | | |  |
| **III.1** | **ASSISTANCE COMMITTED TO HOLDING FUND FROM ALL OPERATIONAL PROGRAMMES** | |  |
| III.1.1 | **Operational Programme** | **Research and development** |  |
| III.1.2 | Priority axis | Priority Axis 2 “Support to research and development”; Measure 2.2 “Transfer of knowledge and technology from research and development into practice” |  |
| III.1.3 | Contribution to Holding Fund | **23.5%** |  |
| III.1.4 | Priority axis | Priority Axis 4 “Support to research and development in the Bratislava region”; Measure 4.2 “Transfer of knowledge and technology from research and development into practice in the Bratislava region” |  |
| III.1.5 | Contribution to Holding Fund | **5.9%** |  |
| III.1.6 | **Operational Programme** | **Competitiveness and economic growth** |  |
| III.1.7 | Priority axis | Priority Axis 1 “Innovation and growth of competitiveness”, Measure 1.3 “Support of innovation in enterprises”. |  |
| III.1.8 | Contribution to Holding Fund | **35.3%** |  |
|  | Priority axis | Priority Axis 1 “Innovation and growth of competitiveness”, Measure 1.1 “Innovation and technology transfers” |  |
| III.1.10 | Contribution to Holding Fund | **31.8%** |  |
| III.1.11 | **Operational Programme** | **Bratislava region** |  |
| III.1.12 | Priority axis | Priority Axis 2 “Knowledge-based economy”, Measure 2.1 “Innovation and Technology Transfers”. |  |
| III.1.13 | Contribution to Holding Fund | **3.5%** |  |
| **III.2** | **AMOUNTS OF ASSISTANCE COMMITTED AND PAID TO HOLDING FUND UNDER THIS SPECIFIC OPERATIONAL PROGRAMME** |  |  |
| **III.2.1** | **Amounts of assistance from the Structural Funds** |  |  |
| III.2.1.1 | ERDF amounts committed in funding agreement (in EUR) | **57 000 000** |  |
| III.2.1.2 | ERDF amounts effectively paid to Holding Fund (in EUR) | **57 000 000** |  |
| III.2.1.3 | ESF amounts committed in funding agreement (in EUR) | **0.0** |  |
| III.2.1.4 | ESF amounts effectively paid to Holding Fund (in EUR) | **0.0** |  |
| **III.2.2** | **Amounts of national public and private assistance** |  |  |
| III.2.2.1 | National public co-financing committed in funding agreement (in EUR) | **10 058 824** |  |
| III.2.2.2 | National public co-financing effectively paid to Holding Fund (in EUR) | **10 058 824** |  |
| III.2.2.3 | National private co-financing committed in funding agreement (in EUR) | **0.0** |  |
| III.2.2.4 | Private co-financing effectively paid to Holding Fund (in EUR) | **0.0** |  |
| **III.3** | **AMOUNTS OF OTHER ASSISTANCE PAID TO HOLDING FUND OUTSIDE OPERATIONAL PROGRAMME (in EUR)** | **0.0** |  |
| **III.4** | **MANAGEMENT COSTS AND FEES OF HOLDING FUND ( in the meaning of Article 78(2)(d) of Council Regulation (EC) No 1083/2006) (in EUR)** | **2 191 623.06** |  |
| **III.5** | **AMOUNTS OF ASSISTANCE PAID FROM HOLDING FUND TO FEI** |  |  |
| III.5.1 | Amount of Holding Fund resources legally committed to FEI (in EUR) | **0.0** |  |
| III.5.2 | Amount of Holding Fund resources effectively paid to FEI (in EUR) | **0.0** |  |
| III.5.3 | out of which Operation Programme contribution (in EUR) | **0.0** |  |
| **III.6** | **MANAGEMENT COSTS AND FEES OF FEI ( in the meaning of Article 78(2)(d) of Council Regulation (EC) No 1083/2006) (in EUR)** | **0.0** |  |
| **IV. Amounts of assistance from the Structural Funds and national co-financing paid by the financial engineering instrument (FEI) (Article 67(2)(j)(i)(iv) of Council Regulation (EC) No 1083/2006)** | | |  |
| **IV.1** | **ASSISTANCE COMMITTED AND PAID BY THE FEI TO FINAL RECIPIENTS THROUGH LOANS (per financial product)** |  |  |
| IV.1.1 | Name of product |  |  |
| IV.1.2 | Number of final recipients supported, per type: |  |  |
| IV.1.2.1 | large enterprises |  |  |
| IV.1.2.2 |  |  |  |
| IV.1.2.2.1 | out of which micro-enterprises |  |  |
| IV.1.2.3 | individuals |  |  |
| IV.1.2.4 | urban development funds |  |  |
| IV.1.2.5 | other |  |  |
| IV.1.3 | Number of loan contracts signed with final recipients |  |  |
| IV.1.4 | Total loan amount committed in contracts signed with final recipients (in EUR) |  |  |
| IV.1.4.1 | out of which Operation Programme contribution |  |  |
| IV.1.5 | Total loan amount effectively disbursed to final recipients (in EUR) |  |  |
| IV.1.5.1 | out of which Operation Programme contribution |  |  |
|  | **ASSISTANCE COMMITTED AND PAID BY THE FEI TO FINAL RECIPIENTS THROUGH GUARANTEES (per financial product)** |  |  |
| IV.2.1 | Name of product |  |  |
| IV.2.2 | Number of final recipients supported, per type |  |  |
| IV.2.2.1 | large enterprises |  |  |
| IV.2.2.2 |  |  |  |
| IV.2.2.2.1 | out of which micro-enterprises |  |  |
| IV.2.2.3 | individuals |  |  |
| IV.2.2.4 | urban development funds |  |  |
| IV.2.2.5 | other |  |  |
| IV.2.3 | Total amount blocked for guarantee contracts signed (in EUR) |  |  |
| IV.2.4 | Total amount of guarantees actually committed for disbursed loans in accordance with contracts signed (in m EUR) |  |  |
| IV.2.4.1 | out of which Operation Programme contribution (in EUR) |  |  |
| IV.2.5 | Number of loans actually disbursed in relation to guarantees contracts |  |  |
| IV.2.6 | Total value of loans actually disbursed in relation to guarantees contracts (in EUR) |  |  |
| **IV.3** | **ASSISTANCE COMMITTED AND PAID BY THE FEI TO FINAL RECIPIENTS THROUGH EQUITY / VENTURE CAPITAL (per financial product)** |  |  |
| IV.3.1 | Name of product |  |  |
| IV.3.2 | Number of final recipients supported, per type |  |  |
| IV.3.2.1 | large enterprises |  |  |
| IV.3.2.2 |  |  |  |
| IV.3.2.2.1 | out of which micro-enterprises |  |  |
| IV.3.2.3 | urban development funds |  |  |
| IV.3.2.4 | other |  |  |
| IV.3.3 | Number of investments made in line with agreements signed |  |  |
| IV.3.4 | Total amount of investments effectively made in line with agreements (in EUR) |  |  |
| IV.3.4.1 | out of which Operation Programme contribution (in EUR) |  |  |
| **IV.4** | **ASSISTANCE COMMITTED AND PAID BY THE FEI TO FINAL RECIPIENTS THROUGH OTHER TYPE OF FINANCIAL PRODUCT (per financial product)** |  |  |
| IV.4.1 | Name of product |  |  |
| IV.4.2 | Number of final recipients supported, per type |  |  |
| IV.4.2.1 | large enterprises |  |  |
| IV.4.2.2 |  |  |  |
| IV.4.2.2.1 | out of which micro-enterprises |  |  |
| IV.4.2.3 | individuals |  |  |
| IV.4.2.4 | urban development funds |  |  |
| IV.4.2.5 | other |  |  |
| IV.4.3 | Total amount effectively disbursed to final recipients (in EUR) |  |  |
| IV.4.3.1 | out of which Operation Programme contribution (in EUR) |  |  |
| IV.4.4 | Number of products effectively provided to final recipients |  |  |
|  | **INDICATORS** |  |  |
| IV.5.1 | Number of jobs created or safeguarded |  |  |

Source:EIF

1. Annual reports on the Implementation of the OP Competitiveness and Economic Growth can be found on MoE website http://www.mhsr.sk/monitorovacie-spravy-6942/128627s [↑](#footnote-ref-1)
2. 357 projects in a drawn grant amount of €138.32 million [↑](#footnote-ref-2)
3. Of these, 23 calls were closed; as at 31 December 2011 calls KaHR-111SP/LSKxP-1101, KaHR-111SP-1101, KaHR-31SP-1101 were declared and not closed; data are inclusive of a joint call with OP ESI [↑](#footnote-ref-3)
4. Implementation of the JEREMIE Initiative in the reporting period was coordinated in the SR by the MoF SR, and performed by the EIF in cooperation with the respective MAs [↑](#footnote-ref-4)
5. The SIEA and STB; the conditions of direct awards, form a part of the Programme Manual [↑](#footnote-ref-5)
6. As at 31 December 2011 grant applications for calls (codes KaHR-22VS-1001 and KaHR-13SP-1001) were in the approval process (second round of on-going call) [↑](#footnote-ref-6)
7. Throughout the Annual Report, numbers of grant contracts and of contracted funds are stated exclusively in their balance as at 31 December 2011; this means that they do not include specially completed projects, because in the case of the OP CEG such projects do not have a contribution to the objective being achieved (the projects were not successful). [↑](#footnote-ref-7)
8. This represents drawing of funds contracted for 2010; i.e. a two-year advance in drawing [↑](#footnote-ref-8)
9. Or, also financing by way of advance payments and pre-financing [↑](#footnote-ref-9)
10. Those which ensure the implementation of the OP CEG and OP that provide the implementation of OP Competitiveness and the SOP I&S [↑](#footnote-ref-10)
11. Overall 31 calls have been declared, of which 5 calls were cancelled, and which are not stated in the total summary for reason of avoiding duplication. [↑](#footnote-ref-11)
12. Calls KaHR 22VS-1001, KaHR-13SP-1001 (continuous call with two rounds, in which first-round grant applications have already been assessed) [↑](#footnote-ref-12)
13. In the case of TA written invitations, specific deadlines are set, or else up until the allocated funds are fully drawn [↑](#footnote-ref-13)
14. Including a joint call with OP ESI; of the calls 23 have been closed [↑](#footnote-ref-14)
15. With the exception of grant applications approved in 2008 for the pipeline of projects [↑](#footnote-ref-15)
16. Examples of good practice in the framework of Priority Axes 1, 2 and 3 – successfully implemented projects are given in Annex 6 [↑](#footnote-ref-16)
17. As at 31 December 2011 in the case of calls KaHR-13SP-1001 and KaHR-22VS-1001 the evaluation process had not been completed; more information regarding these calls is given in Chapter 3.2.1.1 State of Physical Progress in Priority Axis 2 [↑](#footnote-ref-17)
18. Of a total number of 798 contracted projects, the implementation of 47 projects had not commenced as at 31 December 2011, largely due to on-going PP, because in the case of the public sector there is the possibility to conduct PP even after signing the grant contract [↑](#footnote-ref-18)
19. The reasons for the recovery of funds were primarily:

    - in Priority Axis 1: withdrawal from the grant contract, exceedance of the permitted 10% limit of total eligible costs for purchasing land and ineligible expenditure from the time aspect.

    - in Priority Axis 2: reduction of the grant based on an Analysis of Funds Drawing under the National Project

    - in Priority Axis 3: reduction of funds due to failure to implement a project in accordance with the approved budget

    - in Priority Axis 4: recovery of overdrawn expenditure limit for the given year (HTU, SIEA)

    This amount does not include funds in the stage of recovery, only funds actually recovered (returned) [↑](#footnote-ref-19)
20. The total amount of the grant paid out from successfully completed projects [↑](#footnote-ref-20)
21. This concerns demand-driven calls [↑](#footnote-ref-21)
22. Concerning calls: KaHR-13DM-0901, KaHR-111SP-0902, KaHR-12VS-0901, KaHR-21SP-0901, KaHR-31DM-0902 [↑](#footnote-ref-22)
23. Contacts to these websites are [www.mhsr.sk](http://www.mhsr.sk), [www.siea.gov.sk](http://www.siea.gov.sk), [www.sacr.sk](http://www.sacr.sk) [↑](#footnote-ref-23)
24. Contacts to these websites are [www.mhsr.sk](http://www.mhsr.sk), [www.siea.gov.sk](http://www.siea.gov.sk), [www.sacr.sk](http://www.sacr.sk) [↑](#footnote-ref-24)
25. The planned number was not contracted [↑](#footnote-ref-25)
26. The planned number was not contracted [↑](#footnote-ref-26)
27. Data are not reported for 2009 and 2010, since they were not available in this period, because at the time of concluding grant contracts they were not a part of the OP CEG, and became so only following a revision in late 2010 [↑](#footnote-ref-27)
28. The planned number was not contracted [↑](#footnote-ref-28)
29. Data are not reported for 2009 and 2010, since they were not available in this period, because at the time of concluding grant contracts they were not a part of the OP CEG, and became so only following a revision in late 2010 [↑](#footnote-ref-29)
30. The planned number was not contracted [↑](#footnote-ref-30)
31. The fall in the value was caused by a change in the calculation of the indicator, since in previous periods this represented the contracted sum (where is now it is the drawn sum) [↑](#footnote-ref-31)
32. The measure was adopted in 2012 [↑](#footnote-ref-32)
33. The measure was adopted in 2011 and also in 2012 in the case of findings concerning call DOP2008-SIP001 [↑](#footnote-ref-33)
34. The measure was adopted in 2012 [↑](#footnote-ref-34)
35. The measure was adopted in 2012 [↑](#footnote-ref-35)
36. The measure was adopted in 2011 and also in 2012 in the case of findings concerning call KaHR-22VS-0801 [↑](#footnote-ref-36)
37. The measures were adopted in 2012 [↑](#footnote-ref-37)
38. The measures were adopted in 2012 [↑](#footnote-ref-38)
39. In 2011 [↑](#footnote-ref-39)
40. On 1.2.2012 [↑](#footnote-ref-40)
41. Findings only from concluded audits [↑](#footnote-ref-41)
42. Employees processing applications for payment in the framework of the TA measure were advised by letter no. 518/2012- 4100 dated 15 February 2012 by the director general of the support programmes section of their duty to comply with the time limits in processing applications for payment. According to the IMPMA as well as the advice, the relevant staff is required to consistently proceed in accordance with the auditors’ recommendation. [↑](#footnote-ref-42)
43. Employees processing applications for payment in the framework of the TA measure were advised by letter no. 518/2012- 4100 dated 15 February 2012 by the director general of the support programmes section of their duty to comply with the time limits in processing applications for payment. According to the IMPMA as well as the advice, the relevant staff is required to consistently proceed in accordance with the auditors’ recommendation. [↑](#footnote-ref-43)
44. Employees processing applications for payment in the framework of the TA measure were advised by letter no. 518/2012- 4100 dated 15 February 2012 by the director general of the support programmes section of their duty to comply with the time limits in processing applications for payment. According to the IMPMA as well as the advice, the relevant staff is required to consistently proceed in accordance with the auditors’ recommendation. [↑](#footnote-ref-44)
45. PU staff was advised at working meetings on 29 February 2012 of the need to comply with the deadline in performing ex-ante financial checks. [↑](#footnote-ref-45)
46. Depending on the place of the project’s implementation [↑](#footnote-ref-46)
47. Calls: KaHR-111SP/LSKxP-1101, KaHR-111SP-1101, KaHR-31SP-1101 [↑](#footnote-ref-47)
48. The data represents the actual balance of projects, discounting specially completed projects that had been contracted in the past though withdrawn from the side of the beneficiary or IB [↑](#footnote-ref-48)
49. According to the place of the project’s implementation [↑](#footnote-ref-49)
50. Data for ERDF funds [↑](#footnote-ref-50)
51. Data for the Banská Bystrica region rose to twice the level as at 31.12.2010. [↑](#footnote-ref-51)
52. Depending on the place of the project’s implementation [↑](#footnote-ref-52)
53. Cooperating bodies are audit bodies under § 2(2)(g) of the Act on financial control and internal audit, besides the MoF SR, which perform tasks under Article 62 of Council Regulation (EC) no. 1083/2006. [↑](#footnote-ref-53)
54. The measures were adopted in 2012 [↑](#footnote-ref-54)
55. Pursuant to the meetings from the discussion of the interim report from the government audit dated 20 December 2011, the audited entities submitted to the AA measures adopted for correcting deficiencies found by the government audit within the set term by 17 February 2012 and also submitted a report on the fulfilment of measures adopted also within the set deadline by 20 April 2012. The measures adopted from the audit were fulfilled from the side of the audited entities, or their fulfilment is on-going. [↑](#footnote-ref-55)
56. Measure adopted in 2012 [↑](#footnote-ref-56)
57. Measure adopted in 2012 [↑](#footnote-ref-57)
58. Measure adopted in 2012 [↑](#footnote-ref-58)
59. The government audit of systems no. A341 identified in total 53 deficiencies and recommendations (of which the audited entities fulfilled or partially fulfilled 51 measures; the auditors considered 2 measures to have not been fulfilled) and 98 deficiencies and recommendations identified from changes to the management and control system (of which the audited entities fulfilled 95 measures; the auditors considered 3 measures to have not been fulfilled). At the time of the fulfilling measures from systems audit no. A378, with a deadline to April 2012 measures adopted from audit no. A341, which the auditors considered to have not been fulfilled, had been fulfilled from the side of the audited entities, or their fulfilment is on-going. [↑](#footnote-ref-59)
60. In the interest of across-the-board and balanced provision of information and advisory – consultancy services for all potential applicants for financial aid, FCICs were established in 2004 at the HTUs (specifically for the SOP I&S, i.e. the shortened 2004 – 2006 programming period the function of ensuring regional publicity and partial monitoring was performed by 7 FCICs, and these now continue in publicity activities also for the OP CEG, i.e. the 2007 – 2013 programming period). [↑](#footnote-ref-60)
61. This relates particularly to currently declared calls by way of organising seminars for potential applicants. [↑](#footnote-ref-61)
62. The problem was resolved by amendment no. 111/2012 to Act no. 528/2008 Coll. on assistance and support provided from community funds, as amended, which allows the creation of a pipeline of projects. [↑](#footnote-ref-62)
63. The MA at its annual meeting held on 27.1.2012 asked the Commission to explain specific parts of Article 57 concerning investment in infrastructure, a change to the nature of infrastructure ownership, ineligible incentives, etc., that are not wholly identifiable on the basis of the definition in Council Regulation (EC) no. 1083/2006. The MA then sent to the Commission a letter defining in detail in its requirements, and to which it is currently awaiting a response. [↑](#footnote-ref-63)
64. The specifics of the support are given in the OP CEG document [↑](#footnote-ref-64)
65. Annex 7 gives a monitoring table for financial engineering instruments [↑](#footnote-ref-65)
66. In the period from 19.1.2012 to 30.3.2012 a call for a venture capital instrument was declared.

    On 1.2.2012 the Investment Board of the SGDF discussed the following issues in the framework of the continuation of the implementation of the JEREMIE Initiative:

    1. Increase in the FLPG tool

    2. Cancellation of the intention to implement a mezzanine product in the SR

    3. Discussion about an alternative to the mezzanine product in the form of micro-loan programme

    4. Law covering the underlying contract documentation [↑](#footnote-ref-66)
67. In accordance with Article 57(1) of Council Regulation (EC) no. 1083/2006 the monitoring of the sustainability of SME projects following their completion was shortened to 3 years in the case of the calls DOP2008-SIP001, KaHR-111SP/LSKxP-1101 and KaHR-113DM-1201. [↑](#footnote-ref-67)
68. The data are not reported as at a common date, but are summarised at individual dates (an interim project monitoring report is submitted every 6 months during implementation of project activities beginning with the signing of the grant contract). [↑](#footnote-ref-68)
69. The Joint Monitoring Committee overseeing implementation of the OP IS, OP R&D and OP CEG [↑](#footnote-ref-69)
70. Concerning the implementation of the OP CEG (the ISMC is an advisory body to the Minister on matters unrelated to the OP CEG) [↑](#footnote-ref-70)
71. Concerns exclusively documents submitted for the OP CEG [↑](#footnote-ref-71)
72. The revision of the OP CEG focused on:

    - expansion of financial engineering instruments in the framework of the JEREMIE Initiative for Measure 1.1 Innovation and technology transfers,

    - extending eligible public sector beneficiaries in the framework of Measure 1.2 Support for common services for entrepreneurs, so as to include state organisations, or commercial companies (non-entrepreneurs), founded by the MoE SR for a specific purpose,

    - withholding from participation in implementation of the RIC project, based on principles defined in the programming document, for reason of the absence of the relevant legislation and problematic procedure pursuant to ensuring implementation synergy,

    - organisational and other changes of a technical nature. [↑](#footnote-ref-72)
73. During the course of 2011 the ISMC approved versions 3.7 and 3.8, which, with regard to the fact that no full meeting of the MCKE had been held by the end of 2011, were not submitted to MCKE members for note; changes resulting from both versions will be submitted at the next full meeting of the MCKE. [↑](#footnote-ref-73)
74. Does not concern OP CEG, which fulfils the N+3 rule with a two-year advance [↑](#footnote-ref-74)
75. Individual points were also the subject of the annual meeting regarding the OP CEG, which was held on 27.1.2012 at the MoE SR. [↑](#footnote-ref-75)
76. The evaluation activities, which were planned for 2011 were reflected into the currently valid OP CEG valuations plan for 2012, pursuant to which it is planned to perform in 2012 primarily a strategic evaluation of the OP CEG. [↑](#footnote-ref-76)
77. The PP for the subject matter of the award – preparation of an assessment report of the strategic evaluation of the OP CEG – it is currently at the stage of finalising the documentation necessary for declaring it. [↑](#footnote-ref-77)
78. The organisational code of the MoE SR was amended three times during 2011; the change performed according to the SR Minister of Economy’s Decision no. 19/2011, dated 30.3.2011 affected the support programmes section, where this passed into the direct competence of the Minister. [↑](#footnote-ref-78)
79. The plan is indicative only [↑](#footnote-ref-79)
80. This is the position of the Director-General of the Support Programmes Section [↑](#footnote-ref-80)
81. Former NADSME [↑](#footnote-ref-81)
82. The administrative capacities are stated for SARIO as at 30.12.2011, because this was the actual state as at the end of the year; since 31.12.2011 there have been 17 staff at the SIEA. [↑](#footnote-ref-82)
83. The plan is out of date with regard to the merger of the sections implementing EU SF. [↑](#footnote-ref-83)
84. The original unit ensuring implementation of the EU SF at the SIEA [↑](#footnote-ref-84)
85. The plan is out of date with regard to the merger of the sections implementing EU SF. [↑](#footnote-ref-85)
86. The competence of the MoE in the field of tourism, and therefore also the STB was transferred, pursuant to amendment no. 37/2010 to Act no. 575/2001 Coll. on the organisation of government activity and the organisation of central state administration as at 1.7.2010, to the Ministry of Culture, while tourism transport, pursuant to amendment no. 403/2010 to the same act as at 1.11.2010 was transferred to the MoTCRD SR.

    The NSRF was not altered by this amendment, meaning that the mandate concluded between the MoE SR as the MA and the STB remains in force for the whole period of OP CEG implementation. [↑](#footnote-ref-86)
87. The plan is out of date with regard to the merger of the sections implementing EU SF. [↑](#footnote-ref-87)
88. This is the position of the Director-General of the Budget and Financing Section. [↑](#footnote-ref-88)
89. Including 2 direct awards for implementation of the JEREMIE Initiative [↑](#footnote-ref-89)
90. OP ESI [↑](#footnote-ref-90)
91. For immediate financing [↑](#footnote-ref-91)
92. The reasons for return of funds consisted in withdrawal from the grant contract, exceedance of the permitted 10% limit of total eligible costs for purchasing land and ineligible expenditure from the time aspect. [↑](#footnote-ref-92)
93. The total amount of the grant paid out from successfully completed projects. [↑](#footnote-ref-93)
94. The planned number was not contracted. [↑](#footnote-ref-94)
95. The planned number was not contracted. [↑](#footnote-ref-95)
96. Data are not reported for 2009 and 2010, since they were not available in this period, because at the time of concluding grant contracts they were not a part of the OP CEG, and became so only following a revision in late 2010. [↑](#footnote-ref-96)
97. The planned number was not contracted. [↑](#footnote-ref-97)
98. Data are not reported for 2009 and 2010, since they were not available in this period, because at the time of concluding grant contracts they were not a part of the OP CEG, and became so only following a revision in late 2010. [↑](#footnote-ref-98)
99. The planned number was not contracted. [↑](#footnote-ref-99)
100. The decreased data against 2010 is due to different reporting. Pursuant to the updated MP CCA no. 9, the values reported in 2011 are only from completed projects, whilst in the previous period these values were from completed projects and also projects in implementation. [↑](#footnote-ref-100)
101. The fall in numbers is caused by the extraordinary termination of projects. [↑](#footnote-ref-101)
102. The fall in numbers is caused by the extraordinary termination of projects. [↑](#footnote-ref-102)
103. For immediate financing [↑](#footnote-ref-103)
104. The reasons for the return of funds consisted in a reduction of the grant based on an Analysis of Funds Drawing under the National Project. [↑](#footnote-ref-104)
105. The total amount of the grant paid out from successfully completed projects. [↑](#footnote-ref-105)
106. The planned number was not contracted [↑](#footnote-ref-106)
107. The planned number was not contracted [↑](#footnote-ref-107)
108. The planned number was not contracted [↑](#footnote-ref-108)
109. The planned number was not contracted [↑](#footnote-ref-109)
110. The decreased data against 2010 is due to different reporting. Pursuant to the updated MP CCA no. 9, the values reported in 2011 are only from completed projects, whilst in the previous period these values were from completed projects and also projects in implementation. [↑](#footnote-ref-110)
111. The decreased data against 2010 is due to different reporting. Pursuant to the updated MP CCA no. 9, the values reported in 2011 are only from completed projects, whilst in the previous period these values were from completed projects and also projects in implementation. [↑](#footnote-ref-111)
112. For immediate financing [↑](#footnote-ref-112)
113. The reason for the return of funds consisted in a reduction of funds due to failure to implement a project in accordance with the approved budget. [↑](#footnote-ref-113)
114. The total amount of the grant paid out from successfully completed projects. [↑](#footnote-ref-114)
115. The planned number was not contracted [↑](#footnote-ref-115)
116. The planned number was not contracted [↑](#footnote-ref-116)
117. The planned number was not contracted [↑](#footnote-ref-117)
118. The planned number was not contracted [↑](#footnote-ref-118)
119. The decreased data against 2010 is due to different reporting. Pursuant to the updated MP CCA no. 9, the values reported in 2011 are only from completed projects, whilst in the previous period these values were from completed projects and also projects in implementation. [↑](#footnote-ref-119)
120. Fulfilment of measurable indicators for the HP IS as at 31.12.2011 pursuant to Annex no. 2 of the HP IS SCI for 2007 – 2013 [↑](#footnote-ref-120)
121. The activity is not relevant in the framework of OP CEG projects [↑](#footnote-ref-121)
122. The activity is not relevant in the framework of OP CEG projects [↑](#footnote-ref-122)
123. The achieved result for 2011 is for all OP CEG projects in which there was created a job intended for MRCs; of these in the framework of the projects that declare relevance to the HP MRC, there were created 18 jobs. [↑](#footnote-ref-123)
124. Pursuant to Annex no. 2 of the HP MRC SCI for 2007 – 2013 applicable in 2011 [↑](#footnote-ref-124)
125. Projects with direct support for the HP MRC are those whose activities are focused on MRCs. The main objective of the project, its eligible or ineligible expenditures, or part thereof, are focused on improving employment, education, health or living conditions of MRCs. The projects are divided into:

     Projects submitted in the framework of LSCA in the framework of activities, or measures of priority axes of the 6 OPs: Regional Operational Programme, OP ESI, OP Education, OP Health, OP CEG and OP Environment.

     Demand-driven projects outside the local comprehensive approach strategies submitted in the framework of activities or measures of the priority axes of OPs that are directly focused on the MRC issue, namely:

     OP ESI, activities of Measures 2.1 and 2.2;

     Regional Operational Programme, activities of Measure 4.1;

     OP Education, activities of Measure 3.1. [↑](#footnote-ref-125)
126. Projects with indirect support for HP MRC are those whose activities are not specifically focused on MRCs, but those whose side-effects significantly improve the employment, education, health or living conditions of MRCs.

     These are projects submitted in the framework of activities or measures of the priority activities of OPs that are not directly focused on the MRC issue (i.e. outside of measures listed in note no. 1). [↑](#footnote-ref-126)
127. The achieved result for 2011 is for all OP CEG projects in which there was created a job intended for MRCs; of these in the framework of the projects that declare relevance to the HP MRC, there were created 17 jobs. [↑](#footnote-ref-127)
128. Pursuant to Annex no. 2 of the HP MRC SCI for 2007 – 2013 applicable in 2011 [↑](#footnote-ref-128)
129. For one completed project [↑](#footnote-ref-129)
130. Pursuant to Annex no. 2 of the HP MRC SCI for 2007 – 2013 applicable in 2011 [↑](#footnote-ref-130)
131. Data for newly created jobs are identical to the level for the OP CEG, or its priority axes, i.e. they do not take into account only data from projects in which a contribution to the HP EO is declared.

     Data for 2009 concerning newly created jobs with a contribution to HP EO represent the beneficiary’s forward plans. [↑](#footnote-ref-131)
132. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 9, 2010 = 77, 2011 = 579 [↑](#footnote-ref-132)
133. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 10, 2010 = 41, 2011 = 372 [↑](#footnote-ref-133)
134. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 13, 2011 = 36 [↑](#footnote-ref-134)
135. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 34, 2011 = 210 [↑](#footnote-ref-135)
136. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 41, 2010 = 72, 2011 = 460 [↑](#footnote-ref-136)
137. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 13, 2011 = 28 [↑](#footnote-ref-137)
138. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 0, 2011 = 10 [↑](#footnote-ref-138)
139. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 0, 2011 = 15 [↑](#footnote-ref-139)
140. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 0, 2011 = 0 [↑](#footnote-ref-140)
141. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 11, 2010 = 7, 2011 = 152 [↑](#footnote-ref-141)
142. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 8, 2010 = 5, 2011 = 104 [↑](#footnote-ref-142)
143. Achieved results from projects declaring a contribution to the HP EO: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 0, 2011 = 8 [↑](#footnote-ref-143)
144. Support for introducing innovation and technology transfers [↑](#footnote-ref-144)
145. Support for participation in Slovak manufacturers at fairs, exhibitions, trade missions [↑](#footnote-ref-145)
146. Support for common services for entrepreneurs [↑](#footnote-ref-146)
147. Increasing energy efficiency in production and consumption and introducing advanced technologies in energy [↑](#footnote-ref-147)
148. Support for business activities in tourism [↑](#footnote-ref-148)
149. With the exception of projects that cannot be identified at the NUTS III [↑](#footnote-ref-149)
150. Since 2011 this has been the IUS – Innovation Union Scoreboard [↑](#footnote-ref-150)
151. Pursuant to the current NSRF annex no. 9 (approved by the NMC for the NSRF on 30.11.2009) the baseline of the indicator is stated for 2005 [↑](#footnote-ref-151)
152. Of the 530 projects stated overall **217** projects have been duly completed [↑](#footnote-ref-152)
153. Total eligible expenditure of **€809.97** million results from the concluded grant contracts [↑](#footnote-ref-153)
154. Achieved results from projects declaring a contribution to the HP SD: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 251, 2011 = 371 [↑](#footnote-ref-154)
155. Achieved results from projects declaring a contribution to the HP SD: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 8, 2011 = 25 [↑](#footnote-ref-155)
156. Achieved results from projects declaring a contribution to the HP SD: 2007 = 0, 2008 = 0, 2009 = 0, 2010 = 143, 2011 = 154 [↑](#footnote-ref-156)
157. Education is not a subject of support under OP CEG. [↑](#footnote-ref-157)
158. In consequence of the inclusion of selected priority activities compared to previous annual reports, certain contracted amounts have been transferred. [↑](#footnote-ref-158)
159. [www.mhsr.sk](http://www.mhsr.sk) [↑](#footnote-ref-159)
160. [www.siea.gov.sk](http://www.siea.gov.sk), [www.sacr.sk](http://www.sacr.sk) [↑](#footnote-ref-160)
161. [www.nsrr.sk](http://www.nsrr.sk) [↑](#footnote-ref-161)
162. [www.nsrr.sk](http://www.nsrr.sk) [↑](#footnote-ref-162)