**APPLICATION FOR INVESTMENT AID**

(The English version of the application is for informational purposes only; the application must be submitted in Slovak language.)

**Subject of the Application for Investment Aid**

Regional Investment Aid

Extraordinary Investment Aid in sectors strategic for the transition to a net-zero economy (hereinafter referred to as 'Extraordinary Investment Aid')

Indicate with an 'X' the option which is the subject of the Application for Investment Aid.

**PART I**

1. **BASIC INFORMATION ABOUT THE INVESTMENT AID BENEFICIARY**

|  |  |
| --- | --- |
| * 1. **Business name of the legal person/business name and name and surname of the natural person - entrepreneur** | |
|  |  |

|  |  |
| --- | --- |
| * 1. **Registered office of the legal person/place of business of the natural person - entrepreneur** | |
|  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| * 1. **Organisation identification number (IČO)** | |  | * 1. **Tax identification number (DIČ)** | |
|  |  |  |  |  | |

|  |  |
| --- | --- |
| * 1. **Place of business and addresses of establishments in the Slovak Republic, if established** | |
|  |  |

For a larger number of entries, rows shall be added.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | * 1. **Contact details** | **Name and surname** | **Position** | **Telephone number** | **E-mail address** |
| a) | The statutory body or a member of the statutory body of the Beneficiary |  |  |  |  |
| b) | Authorised employee of the Beneficiary to contact the Ministry of Economy of the Slovak Republic during the Investment Aid approval |  |  |  |  |
| c) | The person authorised by the Beneficiary to contact the Ministry of Economy of the Slovak Republic during the Investment Aid approval, if they are not an employee of the Beneficiary |  |  |  |  |

|  |  |  |
| --- | --- | --- |
| * 1. **Size of the undertaking1)** | | |
|  | Large enterprise |  |
|  | Medium-sized enterprise |  |
|  | Small enterprise |  |
|  | Micro enterprise |  |

One of the options shall be marked.

For medium-sized enterprise, small enterprise and micro enterprise, Annex 1 Size of enterprise assessment shall be filled in.

1) Annex I to Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty (OJ L 187 26.6.2014), as amended.

|  |  |  |
| --- | --- | --- |
|  | * 1. **List of legal predecessors of the beneficiary - name and registered office** | **Organisation identification number (IČO)** |
| a) |  |  |
| b) |  |  |

For a larger number of entries, rows shall be added.

1. **BASIC INFORMATION ABOUT THE INVESTMENT PROJECT**

|  |  |
| --- | --- |
| * 1. **Name of the Investment Project** | |
|  |  |

|  |  |
| --- | --- |
| * 1. **Brief description of the Investment Project** | |
|  |  |

|  |  |  |
| --- | --- | --- |
| * 1. **Type of Investment Project** | | |
|  | Industrial production |  |
|  | Industrial production combined with a technology centre |  |
|  | Technology centre |  |
|  | Business service centre |  |

One of the options shall be marked with an 'X'.

If the application is for Extraordinary Investment Aid, only the option 'Industrial production' may be marked.

**PART II**

1. **COMBINATION OF INDUSTRIAL PRODUCTION AND TECHNOLOGY CENTRE**

In the case of an Investment Project in industrial production combined with a technology centre, Part II shall be submitted twice, separately for the industrial production and separately for the technology centre.

for the industrial production part

for the technology centre part

Indicate by 'X' one of the options for which Part II is submitted.

1. **FOCUS OF THE INVESTMENT PROJECT**

|  |  |  |
| --- | --- | --- |
| * 1. **Focus of the Investment Project** | | |
|  | Setting-up of a new establishment |  |
|  | Extension of the capacity of an existing establishment |  |
|  | Diversification of the output of an existing establishment to include products or services not already produced or provided by the establishment |  |
|  | Fundamental change in the overall production process of an existing establishment |  |

An 'X' shall be marked for each appropriate option.

If the application is for Extraordinary Investment Aid, it is not possible to carry out a fundamental change in the overall production process of the existing establishment.

|  |  |
| --- | --- |
| * 1. **Class of SK NACE Rev. 2 (activity/activities targeted by the Investment Project):** | |
|  |  |

|  |  |  |
| --- | --- | --- |
|  | * 1. **Will the Investment Project be assessed as a priority area project?2)** |  |

If the application is for Regional Investment Aid, indicate yes or no. If yes, fill in Annex 2 Priority area.

If the application is for Extraordinary Investment Aid, Table D.3. is not to be filled in.

2) Regulation of the Government of the Slovak Republic No. 195/2018 Coll. laying down conditions for the granting of investment aid and setting the maximum intensity of investment aid and maximum amounts of investment aid in the regions of the Slovak Republic, as amended.

1. **TIME SCHEDULE**

|  |  |  |
| --- | --- | --- |
|  | Planned date of start of works on the Investment Project (in MM/YYYYY format) |  |
|  | Planned date of completion of works on the Investment Project (in MM/YYYY format) |  |

|  |  |  |
| --- | --- | --- |
|  | Planned date of start of production/service provision (in MM/YYYY format) |  |
|  | Planned date of reaching full capacity (in MM/YYYYY format) |  |

1. **ELIGIBLE COSTS OF THE INVESTMENT PROJECT**

|  |  |  |
| --- | --- | --- |
| * 1. **Eligible costs of the Investment Project** | | |
|  | Investment costs |  |
|  | Wage costs |  |
|  | Combination of investment costs and wage costs |  |

One of the options shall be marked with an 'X'.

If the application is for Extraordinary Investment Aid, only the investment costs are eligible.

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| * 1. **Investment costs in EUR** | | | | | | | | | | | |
|  | | **Tangible fixed assets** | | | **Intangible fixed assets** | | | **Lease of land** | **Lease of buildings** | **Lease of tangible fixed assets** | **Total** |
| **Land** | **Buildings** | **Machinery, devices and equipment** | **Industrial rights** | **Know-how** | **Licences** |
| **Year n** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+1** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+2** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+3** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+4** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+5** | |  |  |  |  |  |  |  |  |  |  |
|  | **Total** |  |  |  |  |  |  |  |  |  |  |

If the application is for Extraordinary Investment Aid, the investment costs may not include the lease of land, the lease of buildings and the lease of tangible fixed assets.

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

|  |  |  |
| --- | --- | --- |
| * 1. **Wage costs in EUR** | | |
| **Year n** | |  |
| **Year n+1** | |  |
| **Year n+2** | |  |
| **Year n+3** | |  |
| **Year n+4** | |  |
| **Year n+5** | |  |
|  | **Total** |  |

The wage costs are calculated as the sum of monthly gross wages of employees hired for the new job positions created in direct connection with the implementation of the investment project – wages before tax, including public health insurance premiums, social security insurance premiums and mandatory old-age pension contributions, incurred over a period of 24 months.

To be filled in when row 29. or 30. is marked.

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

1. **DECLARED COSTS OF THE INVESTMENT PROJECT**

To be filled in only for a combination of investment and wage costs.

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| * 1. **Declared investment costs in EUR** | | | | | | | | | | | |
|  | | **Tangible fixed assets** | | | **Intangible fixed assets** | | | **Lease of land** | **Lease of buildings** | **Lease of tangible fixed assets** | **Total** |
| **Land** | **Buildings** | **Machinery, devices and equipment** | **Industrial rights** | **Know-how** | **Licences** |
| **Year n** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+1** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+2** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+3** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+4** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+5** | |  |  |  |  |  |  |  |  |  |  |
|  | **Total** |  |  |  |  |  |  |  |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

|  |  |  |
| --- | --- | --- |
| * 1. **Declared wage costs in EUR** | | |
| **Year n** | |  |
| **Year n+1** | |  |
| **Year n+2** | |  |
| **Year n+3** | |  |
| **Year n+4** | |  |
| **Year n+5** | |  |
|  | **Total** |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

1. **NEW PRODUCTION AND TECHNOLOGICAL EQUIPMENT**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **List of new production and technological equipment** | | | | |
|  | **Type designation** | **Description of production and technological equipment** | **Country of origin** | **Year of manufacture** |
|  | |  |  |  |
|  | |  |  |  |
|  | |  |  |  |

For a larger number of entries, rows shall be added.

1. **FUNDING OF THE INVESTMENT PROJECT**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| * 1. **Planned sources of funding for the Investment Project in EUR** | | | | | | | | | | | |
|  | | **Other capital funds** | **Other funds from profit** | **Profit or loss after tax** | **Retained profit brought forward** | **Bank credits** | **Loans** | **Group loans** | **Direct investment aid** | **Other** | **Total** |
| **Year n** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+1** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+2** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+3** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+4** | |  |  |  |  |  |  |  |  |  |  |
| **Year n+5** | |  |  |  |  |  |  |  |  |  |  |
|  | **Total** |  |  |  |  |  |  |  |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

Direct investment aid means a grant for tangible and intangible fixed assets and a contribution towards the creation of new jobs.

|  |  |
| --- | --- |
| * 1. **Specification of the entry "Other" from Table I.1.** | |
|  |  |

|  |  |
| --- | --- |
| * 1. **Person granting the credit/loan** | |
|  |  |

1. **PLANNED ECONOMIC INDICATORS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| * 1. **To be filled in only for the Investment Project in EUR** | | **Year n** | **Year n+1** | **Year n+2** | **Year n+3** | **Year n+4** | **Year n+5** | **Year n+6** | **Year n+7** | **Year n+8** | **Year n+9** |
|  | Total assets |  |  |  |  |  |  |  |  |  |  |
|  | Non-current assets |  |  |  |  |  |  |  |  |  |  |
|  | Current assets |  |  |  |  |  |  |  |  |  |  |
|  | Own capital |  |  |  |  |  |  |  |  |  |  |
|  | Liabilities |  |  |  |  |  |  |  |  |  |  |
|  | Total income from economic activities |  |  |  |  |  |  |  |  |  |  |
|  | Sales of own products or services |  |  |  |  |  |  |  |  |  |  |
|  | Total costs of economic activity |  |  |  |  |  |  |  |  |  |  |
|  | Value added |  |  |  |  |  |  |  |  |  |  |
|  | Profit or loss for the accounting period before tax |  |  |  |  |  |  |  |  |  |  |
|  | Annual cash flow |  |  |  |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| * 1. **To be filled in for the whole accounting unit in EUR** | | **Year n** | **Year n+1** | **Year n+2** | **Year n+3** | **Year n+4** | **Year n+5** | **Year n+6** | **Year n+7** | **Year n+8** | **Year n+9** |
|  | Income tax |  |  |  |  |  |  |  |  |  |  |
|  | Tax base |  |  |  |  |  |  |  |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, columns shall be added.

To be filled in for the period from the submission of the application to the completion of the obligation to preserve fixed assets pursuant to § 22(6) of Act No. 57/2018 Coll. on Regional Investment Aid and on amendments to other acts.

1. **INVESTMENT AID**

|  |  |  |
| --- | --- | --- |
| * 1. **Requested form of Investment Aid** | | |
|  | Grant for tangible fixed assets and intangible fixed assets |  |
|  | Income tax relief |  |
|  | Contribution towards the creation new jobs |  |
|  | Transfer of immovable property or lease of immovable property for a value lower than that established by an expert opinion |  |

If the application is for Extraordinary Investment Aid, a contribution towards the creation of new jobs may not be claimed.

Each form requested shall be marked with an 'X'.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| * 1. **Disbursement schedule of the requested Investment Aid in EUR** | | | | | | |
|  | | **Grant for tangible fixed assets and intangible fixed assets** | **Income tax relief** | **Contribution towards the creation new jobs** | **Transfer of immovable property or lease of immovable property for a value lower than the value of the immovable property or the value of the lease of immovable property established by an expert opinion** | **Total** |
| **Year n** | |  |  |  |  |  |
| **Year n+1** | |  |  |  |  |  |
| **Year n+2** | |  |  |  |  |  |
| **Year n+3** | |  |  |  |  |  |
| **Year n+4** | |  |  |  |  |  |
|  | **Total** |  |  |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

1. **EMPLOYMENT**

|  |  |  |  |
| --- | --- | --- | --- |
| * 1. **Details of current employees of the establishment** | | | |
|  | | **Permanent staff** | **Agency staff** |
|  | Number of employees at the date of the Application for Investment Aid |  |  |
|  | Average number of jobs in the last 12 months preceding the calendar month in which the application is submitted |  |  |
|  | Average monthly wage of employees in the last 12 months preceding the submission of the application, in EUR |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| * 1. **New jobs created in relation to the Investment Project** | | | | |
|  | | **Number of new jobs created** | **Cumulative number of new jobs created** | **Average monthly wage per employee (gross wage)** |
| **Year n** | |  |  |  |
| **Year n+1** | |  |  |  |
| **Year n+2** | |  |  |  |
| **Year n+3** | |  |  |  |
| **Year n+4** | |  |  |  |
| **Year n+5** | |  |  |  |
|  | **Total** |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

To be filled in for the period from the submission of the application to the completion of the obligation to maintain the newly created jobs pursuant to § 22(10) of Act No. 57/2018 Coll. on Regional Investment Aid and on amendments to other acts.

|  |  |  |
| --- | --- | --- |
|  | * 1. **Number of planned transfers of permanent staff, if the establishment is not a new establishment** |  |

|  |  |  |
| --- | --- | --- |
| * 1. **Educational structure of employees in the new jobs created** | | **Number** |
|  | Tertiary education |  |
|  | Secondary education |  |
|  | Primary education |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | * 1. **Positions within the new jobs created** | | | |
| **Code3)** | | **Name SK ISCO-083)** | **Job title** | **Number** |
|  | |  |  |  |
|  | |  |  |  |
|  | |  |  |  |
|  | |  |  |  |

3) Decree No. 449/2020 Coll. of the Statistical Office of the Slovak Republic, which issues the statistical classification of occupations. The code shall be filled in to seven digits.

For a larger number of entries, rows shall be added.

|  |  |
| --- | --- |
| * 1. **Qualification requirements for the employees in the new jobs created4)** | |
|  |  |

4) For example, Decree No. 287/2022 Coll. of the Ministry of Education, Science, Research and Sport of the Slovak Republic on the system of fields of education for secondary schools and on the subject competence of the fields of education as amended by Decree No. 343/2023 Coll.

|  |  |
| --- | --- |
| * 1. **Training of staff in the new jobs created** | |
|  | **Planned areas of training (excluding mandatory training required by law, such as OSH, driver training, etc.)** |
|  | |

1. **FINAL PRODUCT/SERVICE**

|  |  |
| --- | --- |
| * 1. **Brief description of the final product/service** | |
|  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| * 1. **Location of production** | | | | | |
|  | **Product/service** | **Deliveries within the Slovak Republic (%)** | **Exports within the EEA (+ Switzerland) (%)** | **Exports outside the EEA (+ Switzerland) (%)** |
|  | |  |  |  |
|  | |  |  |  |
|  | |  |  |  |

The sum in each row must add up to 100 %.

For a larger number of entries, rows shall be added.

1. **EXTENSION OF THE CAPACITY OF AN EXISTING ESTABLISHMENT**

To be filled in only if the application is for an extension of the capacity of an existing establishment.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Product/service** | **Value/volume expression of capacity in EUR/relevant unit** | | | | **Increase in %** |
| **In year n-3** | **In year n-2** | **In year n-1** | **In the year of reaching full capacity (according to row 27.)** |
|  | |  |  |  |  |  |
|  | |  |  |  |  |  |
|  | |  |  |  |  |  |
| **Total** | |  |  |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

The relevant currency (EUR) or unit shall be indicated.

For a larger number of entries, rows shall be added.

1. **DIVERSIFICATION OF THE PRODUCTION OF AN EXISTING ESTABLISHMENT**

To be filled in only if the application is for a diversification of the production of an existing establishment.

|  |  |  |
| --- | --- | --- |
| **List of reused tangible fixed assets and intangible fixed assets recorded in the accounting period preceding the accounting period in which works on the Investment Project started** | | **Book value in EUR** |
|  | |  |
|  | |  |
|  | |  |
|  | **Total – book value in EUR** |  |

For a larger number of entries, rows shall be added.

1. **FUNDAMENTAL CHANGE IN THE OVERALL PRODUCTION PROCESS OF AN EXISTING ESTABLISHMENT**

To be filled in only if the application is for a fundamental change in the overall production process of an existing establishment carried out by a large undertaking.

|  |  |  |
| --- | --- | --- |
|  | **The sum of the depreciation of tangible fixed assets and intangible fixed assets related to the activity to be modernised, carried out during the three consecutive accounting periods immediately preceding the accounting period in which the application is submitted, in EUR** |  |

**PART III**

1. **LOCATION**

|  |  |  |
| --- | --- | --- |
| * 1. **Location of the establishment** | | |
| **Q.1.1 Primary site of implementation of the Investment Project** | | |
|  | **Municipality, district, region** |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **Q.1.2 Specification of the land of the primary Investment Project implementation site** | | | | |
| **Cadastral territory** | | **Register** | **Parcel number** | **Ownership certificate number** | **Owner of the parcel** |
|  | |  |  |  |  |
|  | |  |  |  |  |
|  | |  |  |  |  |

For a larger number of entries, rows shall be added.

|  |  |  |
| --- | --- | --- |
|  | * 1. **Will the investment plan be implemented in an additional site?5)** |  |

Indicate yes or no.

If yes, fill in Annex 3 Additional site.

5) § 5(g) of Act No. 57/2018 Coll. on Regional Investment Aid and on amendments to other acts.

|  |  |
| --- | --- |
| * 1. **Character of the land** | |
|  |  |

It shall be indicated whether the functional use of the area is registered as intended for industrial production in the currently valid municipal or zone zoning plan.

|  |  |  |  |
| --- | --- | --- | --- |
| * 1. **Manner of acquisition of land, building** | | **Land** | **Building** |
|  | Lease |  |  |
|  | Purchase |  |  |

An 'X' shall be marked for each appropriate option.

|  |  |  |
| --- | --- | --- |
| * 1. **Manner of use of the building** | | |
|  | Construction of a new building |  |
|  | Extension to an existing building |  |
|  | Improvement to an existing building |  |
|  | Use of existing buildings without changes |  |

An 'X' shall be marked for each appropriate option.

|  |  |
| --- | --- |
| * 1. **Identification data of the lessor of the land, building** | |
|  |  |

|  |  |
| --- | --- |
| * 1. **Has the acquisition of land and buildings been supported by state aid or de minimis aid in the past?** | |
|  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| * 1. **Requirements for the total construction area (in m2)** | | | **Total area, including floors (in m2)** |
|  | For production/service |  |  |
|  | For office buildings |  |  |
|  | For storage facilities |  |  |
|  | For transport and communications |  |  |
|  | Other |  |  |
|  | **Total** |  |  |

|  |  |  |
| --- | --- | --- |
|  | **Explanation of row 89.** |  |

1. **CUSTOMERS**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **List of expected customers for final products/services** | | |
| **Business name** | | **Subject of delivery** | **Registered office (country)** |
|  | |  |  |
|  | |  |  |

For a larger number of entries, rows shall be added.

1. **COMPETITION**

|  |  |  |
| --- | --- | --- |
|  | **List of main competitors** | |
| **Business name** | | **Registered office (country)** |
|  | |  |
|  | |  |

For a larger number of entries, rows shall be added.

1. **INFORMATION FOR DETERMINING WHETHER THE UNDERTAKING IS IN DIFFICULTY**

|  |  |  |  |
| --- | --- | --- | --- |
| * 1. **Information for determining whether the undertaking is in difficulty (data for the Beneficiary)** | | | |
|  | | **Last accounting period for which the Beneficiary is required to have proper accounts** | **Immediately preceding accounting period** |
|  | Year |  |  |
|  | Own capital in EUR |  |  |
|  | Registered capital in EUR |  |  |
|  | Liabilities in EUR |  |  |
|  | Profit or loss for the accounting period before tax in EUR |  |  |
|  | Interest expense in EUR |  |  |
|  | Depreciation, amortisation and provisions for intangible fixed assets and tangible fixed assets in EUR |  |  |

|  |  |  |
| --- | --- | --- |
|  | Is the Beneficiary subject to proceedings under Act No 7/2005 Coll. on bankruptcy and restructuring and on amendments and additions to certain acts, as amended, or does the Beneficiary meet the criteria for such proceedings to be initiated? |  |
|  | Has the Beneficiary received rescue and restructuring aid? |  |

Indicate yes or no.

|  |  |  |
| --- | --- | --- |
|  | * 1. **If the Beneficiary is member of a group which consolidates economic data, fill in Annex 4 Information for determining whether the undertaking is in difficulty - Data for the group, indicating the consolidated data for the group. If the Beneficiary is member of a group which does not consolidate, Annex 4 shall be filled in aggregate for the whole group - indicate with an 'X'.** |  |

|  |  |  |
| --- | --- | --- |
|  | * 1. **If the Beneficiary has been doing business for less than three accounting periods, fill in Annex 5 Economic data of the shareholder of a legal person registered abroad for the shareholder who has the largest share in the share capital of the Beneficiary or in the voting rights in the Beneficiary. If the Beneficiary has more than one shareholder who has an equal share in the share capital or voting rights of the Beneficiary, Annex 5 shall be filled in for each such shareholder separately - indicate with an 'X'.** |  |

1. **ENVIRONMENTAL PROTECTION**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| * 1. **Estimated quantities of individual pollutants emitted to air and their concentrations (in SI units)** | | | | | |
|  | **Name, type and composition of pollutants** | **Quantity (in relevant unit/year)** | | **Concentration** | |
| **During construction** | **During operation** | **During construction** | **During operation** |
|  | |  |  |  |  |
|  | |  |  |  |  |
|  | |  |  |  |  |

Indicate the relevant unit.

For a larger number of entries, rows shall be added.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| * 1. **Estimated quantities of individual pollutants emitted to water and their concentrations (in SI units)** | | | | | |
|  | **Name, type and composition of pollutants** | **Quantity (in relevant unit/year)** | | **Concentration** | |
| **During construction** | **During operation** | **During construction** | **During operation** |
|  | |  |  |  |  |
|  | |  |  |  |  |
|  | |  |  |  |  |

Indicate the relevant unit.

For a larger number of entries, rows shall be added.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| * 1. **Type of waste (name and waste code according to the waste catalogue)** | | | | | | |
|  | **Code** | **Name of waste** | **Quantity (in relevant unit/year)** | | **Concentration** | |
| **During construction** | **During operation** | **During construction** | **During operation** |
|  | |  |  |  |  |  |
|  | |  |  |  |  |  |
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Indicate the relevant unit.

For a larger number of entries, rows shall be added.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| * 1. **Estimated quantities of individual pollutants discharged into the soil and their concentrations (in SI units)** | | | | | |
|  | **Name, type and composition of pollutants** | **Quantity (in relevant unit/year)** | | **Concentration** | |
| **During construction** | **During operation** | **During construction** | **During operation** |
|  | |  |  |  |  |
|  | |  |  |  |  |
|  | |  |  |  |  |

Indicate the relevant unit.

For a larger number of entries, rows shall be added.

|  |  |  |
| --- | --- | --- |
|  | * 1. **Is the production facility or technological installation subject to the regime under Act No 24/2006 Coll. on environmental impact assessment and on amendment and supplementation of certain acts, as amended?** |  |
|  | * 1. **Will the investment project have an impact on organisms?** |  |
|  | * 1. **Will the investment project have an impact on protected areas?** |  |
|  | * 1. **Will the investment project have an impact on climate change?** |  |
|  | * 1. **Will the investment project have impacts on the environment beyond national borders?** |  |

Indicate yes or no.

|  |  |
| --- | --- |
| * 1. **If the investment project will have an impact according to rows 110. to 113., explain what the impact is and describe what measures will be taken to mitigate the negative environmental impact.** | |
|  |  |

1. **FORMAT FOR SUBMITTING THE APPLICATION**

The application shall be submitted in one paper copy and on an electronic data medium or electronically.

1. **ANNEXES AND FORMAT FOR SUBMITTING ANNEXES**

|  |  |  |
| --- | --- | --- |
| * 1. **List of mandatory annexes** | | |
| W.1.1. | A description of the Investment Project, including in particular   1. basic information about the Beneficiary, the group to which the Beneficiary belongs and the status of the Beneficiary in the group, 2. a brief description of the history, current business activities and future development plans of the Beneficiary and the Beneficiary’s group, 3. a description of the Investment Project, 4. a description of current and planned production (investment projects in industrial production only), 5. a detailed description of current and planned products/services, 6. a calculation of eligible wage costs (only for eligible wage costs or combined costs), 7. a calculation of the income tax relief (only when applying for that form of Investment Aid), 8. information on the state aid granted (name of the aided project, specification of eligible costs, start and end of work on the aided project, name of the aid provider, date of granting of the aid, forms of aid granted and purpose for which the aid was granted), if the information is not published on the Aid Registration and Monitoring Portal, 9. information on de minimis aid granted in respect of the same eligible costs, if the data are not published on the Aid Registration and Monitoring Portal, 10. a description of the counterfactual situation (only for large enterprises where the application is for Regional Investment Aid), 11. a description of the counterfactual situation (all types of undertakings, if the application is for Extraordinary Investment Aid), which must include an explanation of the decision on the alternative investment or location if the aid is not granted, 12. an analysis of competition (in the Slovak Republic and in the European Union) with an estimate of the impact of the implementation of the investment plan on existing businesses, 13. if the application is for Extraordinary Investment Aid, a brief description of the expected positive effects on the area concerned (e.g. number of jobs created or safeguarded, research, development and innovation activities, training, creation of a cluster and possible contribution of the project to the green and digital transformation of the regional economy), 14. other relevant information. |  |
| W.1.2. | Document proving the means of funding the investment project. |  |
| W.1.3. | If the subject of the application is extraordinary investment aid pursuant to § 10a (6) of Regulation of the Government of the Slovak Republic No. 195/2018 Coll. laying down conditions for the granting of investment aid and setting the maximum intensity of investment aid and maximum amounts of investment aid in the regions of the Slovak Republic, as amended by Regulation of the Government of the Slovak Republic No. 61/2024 Coll., information on the investment, which includes in particular   1. information on the amount of linked investments launched in other Member States and information on the link between the investment plan and the investments launched, 2. an analysis concerning the funding gap, including a business plan and net present value calculations based on actual and counterfactual scenarios, together with an estimate of investment costs, operating costs, revenues and terminal value based on both scenarios (in Excel format), together with supporting evidence, 3. reliable evidence of subsidies that the Beneficiary would credibly obtain in a jurisdiction outside the European Economic Area for a similar project included in the counterfactual scenario, 4. evidence that, without the aid, the planned investment would not have taken place in the European Economic Area, 5. evidence that the aid does not produce effects that distort cohesion. |  |

The mandatory annexes shall be submitted in a single documentary copy and on an electronic data medium or electronically.

Indicate with an 'X' each annex submitted.

|  |  |  |
| --- | --- | --- |
| * 1. **List of Annexes** | |  |
| W.2.1. | Annex 1 Size of enterprise assessment (to be completed for the designation of small, medium-sized and micro-enterprises). |  |
| W.2.2. | Annex 2 Priority area (to be completed when the Investment Project is implemented in a priority area). |  |
| W.2.3. | Annex 3 Additional site (to be filled in when the Investment Project is implemented in an additional site). |  |
| W.2.4. | Annex 4 Information for determining whether an undertaking is in difficulty - group information (to be completed if the undertaking is part of a group which consolidates economic data. The consolidated data for the group shall be provided. If the Beneficiary is part of a group which does not consolidate, Annex 5 is to be completed in aggregate for the whole group). |  |
| W.2.5. | Annex 5 Economic data of the shareholder of a legal person registered abroad (to be completed if the Beneficiary has been doing business for less than three accounting periods, for the shareholder who holds the largest share of the Beneficiary's share capital or voting rights in the Beneficiary. If the recipient has more than one shareholder who has an equal share in the Beneficiary's share capital or voting rights, it shall be filled in separately for each such shareholder). |  |
| W.2.6. | In the case of an Investment Project in industrial production combined with a technology centre, Part II shall be submitted separately for the industrial production and for the technology centre. |  |
| W.2.7. | Power of attorney for the person authorised to submit the Application for Investment Aid to the Ministry of Economy of the Slovak Republic in connection with the approval of Investment Aid for the Investment Project. |  |
| W.2.8. | If the Investment Project is subject to the notification obligation pursuant to § 9 of Act No 358/2015 Coll. on the regulation of certain relations in the field of state aid and de minimis aid and on amending and supplementing certain acts (State Aid Act), a general information form shall be submitted pursuant to Part I of Commission Regulation (EU) No 2015/2282 of 27 November 2015 amending Regulation (EC) No 794/2004 as regards notification and information forms (OJ L 325, 10.12.2015). |  |

Annexes shall be submitted in a single paper copy and on an electronic data medium or electronically.

Indicate with an 'X' each annex submitted.

|  |
| --- |
| I certify that the information provided in this Application for Investment Aid and its annexes is complete, true and verifiable and I am aware of the consequences that may result from the provision of false or incomplete information.  I undertake to inform the Ministry of Economy of the Slovak Republic immediately in writing of any changes in the data and facts provided in this Application for Investment Aid and its annexes.  In........................................... on............................ .....................................................................  (name and surname, position, signature)  (business name of the Beneficiary) |

In the case of an electronic submission, the annexes signed by the Beneficiary shall be signed by a qualified electronic signature made using the mandate certificate of the statutory representative(s) of the Beneficiary.

1. **BINDING DECLARATION**

|  |
| --- |
| The beneficiary ................................, with registered office/place of business at....................., Entity Id. No. (IČO): ....................., Tax Id. No. (DIČ): ....................., registered in the Business Register of District Court ....................., Section: ............, File. No.: .............,/in Small Trades Register of District Office.................., Reg. No. .............  declares on a binding basis that the Beneficiary   1. has not started work on the Investment Project before the date of submission of the Application for Investment Aid, 2. has no arrears to the tax authorities or customs authorities, 3. has no arrears of social security contributions and no overdue debts are recorded against it by the health insurance institution, 4. is not the subject of an execution or enforcement proceedings, 5. has not violated the prohibition of illegal employment pursuant to § 3 of Act No 82/2005 Coll. on illegal labour and illegal employment and on amending and supplementing certain acts, as amended during the three years immediately preceding the submission of the Application for Investment Aid, 6. has not been legally imposed the penalty of prohibition to receive grants or subsidies or the penalty of prohibition to receive aid and support provided from the European Union funds pursuant to § 17 and 18 of Act No. 91/2016 Coll. on the criminal liability of legal persons and on amendment and supplementation of certain acts, 7. in the three years preceding the submission of the Application for Investment Aid, there has been no revocation of the decision approving the Investment Aid or of the decision granting the Investment Aid to the beneficiary on grounds of a breach of the conditions under which the Investment Aid was granted, 8. is not subject to a claim for recovery of the aid on the basis of a previous decision of the European Commission declaring the aid granted by the Slovak Republic to be unlawful and incompatible with the internal market, or in other similar proceedings, 9. is not an undertaking in difficulty within the meaning of Article 2(18) of Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market pursuant to Articles 107 and 108 of the Treaty (OJ L 187, 26.6.2014), as amended, or the court has not decided to initiate restructuring proceedings, 10. is not in liquidation, has not been declared bankrupt and is not the subject of insolvency or restructuring proceedings, 11. if it will be in the position of a seller, it will conduct its business in accordance with consumer protection legislation.   In........................................... on............................ .....................................................................  (name and surname, position, signature)  (business name of the Beneficiary)  In accordance with Article 14(16) of Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market pursuant to Articles 107 and 108 of the Treaty (OJ L 187, 26.6.2014, p. 1), the Commission has decided to declare certain categories of aid compatible with the internal market pursuant to Articles 107 and 108 of the Treaty (OJ L 187, 26.6.2014, p. 1). 2014), as amended, in the two years prior to the submission of the Application for Investment Aid, the Beneficiary has not relocated to the establishment where the initial investment for which the aid is claimed is to be made and will not do so even within two years of the completion of the initial investment for which the aid is claimed.  In........................................... on............................ .....................................................................  (name and surname, position, signature)  (business name of the Beneficiary) |

In the case of an electronic submission, the declaration shall be signed by a qualified electronic signature made using the mandate certificate of the statutory representative(s) of the Beneficiary.

|  |
| --- |
| The Beneficiary of the Extraordinary Investment Aid declares on a binding basis that it is not a natural person - entrepreneur or a legal person subject to sanctions of the European Union adopted on the basis of a special regulation6), and thus   1. a person, entity or body pursuant to a special regulation6) on which such sanctions are imposed, 2. an undertaking owned or controlled by a person, entity or body subject to sanctions under a specific regulation6), or 3. an undertaking operating in an industry subject to sanctions under a special regulation6).   In........................................... on............................ .....................................................................  (name and surname, position, signature)  (business name of the Beneficiary) |

In the case of an electronic submission, the declaration shall be signed by a qualified electronic signature made using the mandate certificate of the statutory representative(s) of the Beneficiary.

To be filled in only if the application is for Extraordinary Investment Aid.

6) For example, Council Regulation (EC) No 765/2006 of 18 May 2006 concerning restrictive measures against President Lukashenko and certain officials of Belarus (OJ L 134, 20.5.2006), as amended, Council Regulation (EU) No 269/2014 of 17.5.2014 of 17.2006 on the application of Council Regulation (EC) No 765/2006 concerning restrictive measures against President Lukashenko and certain officials of Belarus (OJ L 134, 20.5.2006), as amended. March 2014 concerning restrictive measures in view of acts undermining or threatening the territorial integrity, sovereignty and independence of Ukraine (OJ L 78, 17.3.2014), as amended, Council Regulation (EU) No 692/2014 of 23 June 2014 concerning restrictions on goods originating in Crimea or Sevastopol in response to the illegal annexation of Crimea and Sevastopol (OJ L 183, 24.6.2014), as amended, Council Regulation (EU) No 833/2014 of 31 July 2014 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine (OJ L 229, 31.7.2014), as amended, Council Regulation (EU) 2022/263 of 23. February 2022 on restrictive measures in response to the recognition of non-government-controlled parts of the Donetsk and Luhansk regions of Ukraine and the order to deploy Russian armed forces to those parts (OJ L 42I, 23.2.2022), as amended.

Annex 1 to the Application for Investment Aid SIZE OF ENTERPRISE ASSESSMENT

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Name of company** | **Number of employees** | | **Turnover in EUR** | | **Balance sheet total in EUR** | | **Type of enterprise (related, partner))** | **Percentage share** |
| **Year n-2** | **Year n-1** | **Year n-2** | **Year n-1** | **Year n-2** | **Year n-1** |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
| **Total** |  |  |  |  |  |  |  |  |

Data for Beneficiary, related and partner enterprises are to be provided.

The data shall be given for turnover or balance sheet total.

For related and partner enterprises, the respective percentages of the data are to be filled in.

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

Annex 2A to the Application for Investment Aid PRIORITY AREA - INDUSTRIAL PRODUCTION

|  |  |  |
| --- | --- | --- |
| **Relevant SK NACE Rev. 2 sectors for the identification of priority areas in industrial production** | | |
| C10 | Manufacture of food products |  |
| C20 | Manufacture of chemicals and chemical products |  |
| C21 | Manufacture of basic pharmaceutical products and pharmaceutical preparations |  |
| C26 | Manufacture of computer, electronic and optical products |  |
| C27 | Manufacture of electrical equipment |  |
| C28 | Manufacture of machinery and equipment n.e.c. |  |
| C29 | Manufacture of motor vehicles, trailers and semi-trailers |  |
| C30 | Manufacture of other transport equipment |  |

Indicate with an 'X' each relevant sector.

|  |  |
| --- | --- |
| **Relevant technologies for identifying priority areas in industrial production** | |
| Autonomous and collaborative industrial robots and artificial intelligence - in particular autonomous collaborative robots, integrated sensors, cameras, virtual reality systems |  |
| Industrial Internet of Things - in particular network of machines and products, multi-directional communication between networked objects |  |
| Simulation - in particular the use of real-time data and the mirroring of the physical world in  a virtual model |  |
| Augmented reality with real-time information - in particular augmented reality for maintenance, logistics, support for efficient display of information |  |
| Additive, auxiliary production - in particular 3D printing for spare parts and prototypes |  |
| Big data and their analysis - in particular comprehensive evaluation of available data, real-time decision support and optimisation |  |
| Cloud and cyber security - in particular the management of vast amounts of data in open systems and security systems |  |

Indicate with an 'X' each relevant technology.

|  |  |  |
| --- | --- | --- |
| **List of relevant technological equipment** | | |
| **Type designation** | **Justification of the relevant technological area** | **Acquisition value in EUR** |
|  |  |  |
|  |  |  |
|  |  |  |
| **Total - acquisition value in EUR** | |  |

Relevant technological equipment from the list in Table H.

For a larger number of entries, rows shall be added.

Annex 2B to the Application for Investment Aid PRIORITY AREA - TECHNOLOGY CENTRE

|  |  |  |
| --- | --- | --- |
| **Relevant SK NACE Rev. 2 sectors for the identification of priority areas in a technology centre** | | |
| C10 | Manufacture of food products |  |
| C20 | Manufacture of chemicals and chemical products |  |
| C21 | Manufacture of basic pharmaceutical products and pharmaceutical preparations |  |
| C26 | Manufacture of computer, electronic and optical products |  |
| C27 | Manufacture of electrical equipment |  |
| C28 | Manufacture of machinery and equipment n.e.c. |  |
| C29 | Manufacture of motor vehicles, trailers and semi-trailers |  |
| C30 | Manufacture of other transport equipment |  |

Indicate with an 'X' each relevant sector.

|  |  |
| --- | --- |
| **Relevant technologies for identifying priority areas in a technology centre** | |
| Autonomous and collaborative industrial robots and artificial intelligence - in particular autonomous collaborative robots, integrated sensors, cameras, virtual reality systems |  |
| Industrial Internet of Things - in particular network of machines and products, multi-directional communication between networked objects |  |
| Simulation - in particular the use of real-time data and the mirroring of the physical world in  a virtual model |  |
| Augmented reality with real-time information - in particular augmented reality for maintenance, logistics, support for efficient display of information |  |
| Additive, auxiliary production - in particular 3D printing for spare parts and prototypes |  |
| Big data and their analysis - in particular comprehensive evaluation of available data, real-time decision support and optimisation |  |
| Cloud and cyber security - in particular the management of vast amounts of data in open systems and security systems |  |

Indicate with an 'X' each relevant technology.

|  |
| --- |
| **Description of the development or innovation activity covered by the relevant SK NACE Rev. 2 sector for the identification of the priority areas of technology centres** |
|  |

|  |
| --- |
| **Description of the product or service to which the said development or innovation relates, which are among the relevant technologies for the identification of the priority areas of technology centres** |
|  |

Annex 2C to the Application for Investment Aid PRIORITY AREA - BUSINESS SERVICE CENTRE

|  |
| --- |
| **Description of corporate governance, finance and information technology services in the priority areas for business service centres7)** |
|  |

7) § 6 of the Regulation of the Government of the Slovak Republic No. 195/2018 Coll.

|  |  |  |  |
| --- | --- | --- | --- |
| **Job descriptions meeting the definition of priority areas for business service centres7)** | | | |
| **Code3)** | **Name SK ISCO-083)** | **Job title** | **Number** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

3) Decree of the Statistical Office of the Slovak Republic No. 449/2020 Z. z., which issues the statistical classification of occupations.

7) § 6 of the Regulation of the Government of the Slovak Republic No. 195/2018 Coll.

The code shall be filled in to seven digits.

For a larger number of entries, rows shall be added.

Annex 3 to the Application for Investment Aid ADDITIONAL SITE

|  |  |  |  |
| --- | --- | --- | --- |
| **Details of the establishment of the Beneficiary's supplier which is an additional place of implementation of the Investment Project (only in industrial production)** | | | |
|  | **Additional site 1** | **Additional site 2** | **Additional site 3** |
| Supplier - name, identification number (IČO) |  |  |  |
| - address of the additional site, district, region |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Machinery, devices and equipment – procurement in EUR** | | | | | |
|  | **Primary site** | **Additional site 1** | **Additional site 2** | **Additional site 3** | **Total** |
| **Year n** |  |  |  |  |  |
| **Year n+1** |  |  |  |  |  |
| **Year n+2** |  |  |  |  |  |
| **Year n+3** |  |  |  |  |  |
| **Year n+4** |  |  |  |  |  |
| **Total** |  |  |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.

For a larger number of entries, rows shall be added.

For a larger number of entries, columns shall be added.

Annex 4 to the Application for Investment Aid INFORMATION FOR DETERMINING WHETHER THE UNDERTAKING IS IN DIFFICULTY - Data for the group

|  |  |  |
| --- | --- | --- |
|  | **Last accounting period for which ordinary financial statements have been prepared** | **Immediately preceding accounting period** |
| Year |  |  |
| Own capital in EUR |  |  |
| Registered capital in EUR |  |  |
| Liabilities in EUR |  |  |
| Profit or loss for the accounting period before tax in EUR |  |  |
| Interest expense in EUR |  |  |
| Depreciation, amortisation and provisions for intangible fixed assets and tangible fixed assets in EUR |  |  |

|  |  |
| --- | --- |
| Proceedings instituted pursuant to Act No 7/2005 Coll. on bankruptcy and restructuring and on amendments and additions to certain acts, as amended, or meeting the criteria for such proceedings to be instituted |  |
| Aid granted for rescue and restructuring aid received |  |

Indicate yes or no.

Annex 5 to the Application for Investment Aid ECONOMIC DATA OF THE SHAREHOLDER OF A LEGAL ENTITY REGISTERED ABROAD

BUSINESS NAME:

Registered office:

IDENTIFICATION NUMBER:

In EUR

|  |  |  |  |
| --- | --- | --- | --- |
| **Accounting period** | **Year n-2** | **Year n-1** | **Year n** |
| Total assets = total own capital and total liabilities |  |  |  |
| Own capital |  |  |  |
| Registered capital |  |  |  |
| Profit or loss for the year before tax |  |  |  |
| Profit or loss for the year after tax |  |  |  |
| Sales of goods |  |  |  |
| Sales of own products |  |  |  |
| Sales of services |  |  |  |
| Income tax |  |  |  |
| Net turnover |  |  |  |
| Total cost of economic activity |  |  |  |
| Cost of financial activities |  |  |  |
| Income from economic activity |  |  |  |
| Income from financial activities |  |  |  |
| Short-term receivables (total) |  |  |  |
| Long-term receivables (total) |  |  |  |
| Non-current liabilities (total) |  |  |  |
| Current liabilities (total) |  |  |  |
| Trade payables |  |  |  |
| Short-term financial assistance |  |  |  |
| Inventories |  |  |  |
| Consumption of materials |  |  |  |
| Services |  |  |  |
| Personnel expenses |  |  |  |
| Financial accounts |  |  |  |
| Asset accruals |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Current assets |  |  |  |
| Non-current assets |  |  |  |
| Current bank loans |  |  |  |
| Current accrued expenses |  |  |  |
| Deferred income current |  |  |  |
| Other current provisions |  |  |  |
| Statutory provisions current |  |  |  |
| Trade receivables |  |  |  |
| Interest expense |  |  |  |

The specific year shall be indicated. Year n means the year in which the application was submitted.