


COLLECTION OF LAWS
OF THE SLOVAK REPUBLIC

Year 2023

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64

DECREE

of the Ministry of Economy of the Slovak Republic

of 17 February 2023,

**laying down the application for foreign investment, the foreign investment screening form,
request for modification of a decision on conditional authorisation of a foreign investment, the
report on the execution of a foreign investment and the monitoring report**

The Ministry of Economy of the Slovak Republic according to § 68 (2) of Act No. 497/2022 Coll. on the screening of foreign investments and on the amendment to certain acts stipulates:

§ 1

- (1) The application for foreign investment screening is listed in Annex No. 1.
- (2) The foreign investment screening form is listed in Annex No. 2.
- (3) The request for modification of a decision on conditional authorisation of a foreign investment is listed in Annex No. 3.
- (4) The report on the execution of a foreign investment is listed in Annex No. 4.
- (5) The monitoring report is listed in Annex No. 5.

§ 2

This decree becomes effective on 1 March 2023.

APPLICATION FOR FOREIGN INVESTMENT SCREENING

1. Information about the foreign investment¹⁾

1.1 The foreign investment meets the definition of foreign direct investment:²⁾

Yes

No

1.1.1 Explanation regarding point 1.1

1.2 The foreign investment meets the definition of critical foreign investment:³⁾

Yes

No

1.2.1 Industry or sector according to special legislation⁴⁾

1.2.2 Industry or sector according to principal activity, if the foreign investment is not a critical foreign investment

1.2.3 Explanation regarding points 1.2.1 or 1.2.2

1.3 The foreign investment is a greenfield investment:⁵⁾

- Yes
- No

1.4 The foreign investment will enable the foreign investor to directly or indirectly:

- acquire the target undertaking or part of the target undertaking,⁶⁾
- exercise effective participation in the target undertaking,⁷⁾
- increase effective participation in the target undertaking,⁸⁾
- exercise control in the target undertaking,⁹⁾
- acquire ownership or other rights to substantial assets of the target undertaking and, at the same time, the investment is a critical foreign investment.¹⁰⁾

1.5 Explanation regarding point 1.4

1.6 Legal actions already taken in order to execute the foreign investment ¹¹⁾

1.7 Planned date of execution of the foreign investment

1.8 Description of the foreign investment

1.9 The foreign investor's strategy under which the foreign investment is planned
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1.10.1 Value of the foreign investment ¹²⁾ in euros

1.10.2 If the foreign investment is part of a larger investment, indicate the value of the total investment ¹²⁾ in euros

1.11 Explanation regarding points 1.10.1 and 1.10.2

1.12 Description of the different sources of funding of the foreign investment and the amount of contribution from these sources to the funding

2. Information about the foreign investor¹³⁾

2.1 Company name, or name and surname

2.2 National identification (registration) number, registering authority and country of registration, or personal number ¹⁴⁾

2.3 Registered office, place of business or place of residence

2.4 Date of incorporation, in the case of a legal person, or date of birth

2.5 The country under whose laws the foreign investor was constituted, or nationality

2.6 Is the foreign investor listed on a stock exchange?

Yes

No

2.6.1 If “Yes”, please specify

2.7 Website

2.8 Details of the statutory body or members of the statutory body (name and surname, personal number,¹⁴) function, e-mail address, telephone number)

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2.9 Details of the holder of the procuration and other persons acting on behalf of the foreign investor (name and surname, personal number,¹⁴⁾ function, e-mail address, telephone number)

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2.10 Role of the foreign investor in planning, executing and utilising the foreign investment¹⁵⁾

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2.11 Classification of activities according to SK NACE Rev. 2¹⁶⁾ before executing the foreign investment

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2.12 Description of activities before executing the foreign investment

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2.13 Result of activities – description of products, services and business operations before executing the foreign investment

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2.14 Number of employees at the end of the last three closed financial years	n	
	n – 1	
	n – 2	

2.15 Annual turnover ¹⁷⁾ over the last three closed financial years in euros	n	
	n – 1	
	n – 2	
2.16 Earnings before interest, taxes, depreciation and amortisation (EBITDA) for the last three closed financial years in euros	n	
	n – 1	
	n – 2	

2.17 Countries where the foreign investor carries on significant business activities ¹⁸⁾ alone, through subsidiaries, branches, etc.
- Member States of the European Union/indicate the name of the company for each relevant country
- third countries/indicate the name of the company for each relevant country

2.18 Group to which the foreign investor belongs

2.19 Ownership structure of the foreign investor

2.20 Beneficial owners (name and surname, personal number, ¹⁴⁾ date of birth, passport number, nationality)

2.20.1 Explanation of the beneficial owner's participation in the management of the foreign investor

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2.21 Number of employees of the group at the end of the last closed financial year

2.22 Annual turnover ¹⁷⁾ of the group for the last closed financial year in euros

2.23 Earnings of the group before interest, taxes, depreciation and amortisation (the group's EBITDA) for the last closed financial year in euros

2.24 Is the foreign investor or any of the companies in the group to which the foreign investor belongs subject to restrictions or sanctions imposed by the European Union?

Yes

No

2.24.1 If "Yes", please specify

2.25 Is the foreign investor or any of the companies in the group to which the foreign investor belongs subject to restrictions or sanctions imposed by a third country?

Yes

No

2.25.1 If "Yes", please specify
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3. Information about the target undertaking¹⁹⁾

3.1 The target undertaking, if not a greenfield investment⁵⁾

3.1.1 Company name

3.1.2 Registered office or place of business

3.1.3 Identification number (IČO) ²⁰⁾

3.1.4 VAT ID

3.1.5 Website

3.1.6 The role of the target undertaking in planning and executing the foreign investment ²¹⁾

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3.1.7 Classification of activities according to SK NACE Rev. 2 ¹⁶)

3.1.8 Description of activities

3.1.9 Result of activities – description of products, services and business operations before executing the foreign investment

3.1.10 Result of activities – description of products, services and business operations after the foreign investment has been completed

3.1.11 Main suppliers related to the target undertaking's principal object of activity

3.1.12 Key customers of goods, products, services
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3.1.13 Main competitors ²²⁾

3.1.14 Availability of substitute products or services on the market ²³⁾

- 3.1.15** Is the target undertaking a holder of patents, licences or other intellectual property rights?
- Yes
 - No

3.1.15.1 If “Yes”, please specify

3.1.16 Countries where the target undertaking carries on significant business activities ¹⁸⁾ alone, through subsidiaries, branches, etc.
- Member States of the European Union/indicate the name of the company for each relevant country
- third countries/indicate the name of the company for each relevant country

3.1.17 Number of employees at the end of the last closed financial year

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3.1.18 Annual turnover¹⁷⁾ for the last closed financial year in euros

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3.1.19 Earnings before interest, taxes, depreciation and amortisation (EBITDA) for the last closed financial year in euros

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3.1.20 Group to which the target undertaking belongs before executing the foreign investment

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3.1.21 Group to which the target undertaking belongs or will belong after the foreign investment has been completed

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3.1.22 Ownership structure of the target undertaking before executing the foreign investment

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3.1.23 Ownership structure of the target undertaking after the foreign investment has been completed

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3.1.24 Beneficial owners before executing the foreign investment (name and surname, personal number,¹⁴) date of birth, passport number, nationality)

3.1.24.1 Explanation of the beneficial owner's participation in the management of the target undertaking before executing the foreign investment

3.1.25 Beneficial owners after the foreign investment has been completed (name and surname, personal number,¹⁴) date of birth, passport number, nationality)

3.1.25.1 Explanation of the beneficial owner's participation in the management of the target undertaking after the foreign investment has been

3.1.26 Number of employees of the group at the end of the last closed financial year

3.1.27 Annual turnover¹⁷⁾ of the group for the last closed financial year in euros

3.1.28 Earnings of the group before interest, taxes, depreciation and amortisation (the group's EBITDA) for the last closed financial year in euros

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3.1.29 Is the target undertaking or any of the companies in the group to which the target undertaking belongs subject to restrictions or sanctions imposed by the European Union?

- Yes
- No

3.1.29.1 If “Yes”, please specify

3.1.30 Is the target undertaking or any of the companies in the group to which the target undertaking belongs subject to restrictions or sanctions imposed by a third country?

- Yes
- No

3.1.30.1 If “Yes”, please specify

3.1.31 Has the target undertaking received or is it receiving funding through European Union projects or programmes?

- Yes
- No

3.1.31.1 If “Yes”, please specify

3.1.32 Is the target undertaking participating or has it participated directly or indirectly in European Union projects or programmes?

Yes

No

3.1.32.1 If “Yes”, please specify

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3.2 Target undertaking, in the case of a greenfield investment²⁴⁾

3.2.1 Company name

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3.2.2 Registered office or place of business

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3.2.3 Country where the target undertaking is headquartered

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3.2.4 Identification number (IČO)²⁰⁾

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3.2.5 VAT ID

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3.2.6 Date of incorporation

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3.2.7 Website

3.2.8 Classification of activities according to SK NACE Rev. 2¹⁶⁾

3.2.9 Description of activities

3.2.10 Result of activities – description of products, services and business operations

3.2.11 Main suppliers related to the target undertaking's principal object of activity

3.2.12 Key customers of goods, products, services

3.2.13 Main competitors²²⁾
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3.2.14 Expected number of employees

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3.2.15 Target undertaking's group

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3.2.16 Ownership structure of the target undertaking

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3.2.17 Beneficial owners (name and surname, personal number,¹⁴) date of birth, passport number, nationality)

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3.2.18 Explanation of the participation of the beneficial owner in the management of the target undertaking

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4. Other

4.1 Is the foreign investment subject to assessment, authorisation, monitoring or other scrutiny pursuant to the rules of the European Union or national rules of another State?²⁵)

Yes

No

4.1.1 If "Yes", please specify

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4.2 Other relevant information

5. Annexes

5.1 Mandatory annexes
In the case of a foreign investment that also meets the definition of foreign direct investment, ²⁾ a completed form for requesting information from the foreign investor for the purposes of notification pursuant to special legislation ²⁶⁾ shall be attached to this request. The form is available on the website of the Ministry of Economy of the Slovak Republic.
Certificate of registration of the foreign investor and assignment of the national identification (registration) number issued by the relevant registering authority of the country under whose laws the foreign investor was constituted (not to be attached if the foreign investor is a legal person with registered office in the Slovak Republic).
Copy of the foreign investor's identity card or passport.

5.2 List of additional annexes

signature

foreign investor
name, surname and function of the person
acting on behalf of the foreign investor²⁷⁾

Notes:

- 1) Section 2 of Act No. 497/2022 Coll. on foreign investment screening and on amendments to certain acts.
- 2) Article 2(1) of Regulation (EU) 2019/452 of the European Parliament and of the Council of 19 March 2019 establishing a framework for the screening of foreign direct investments into the Union (OJ L 79I, 21.3.2019), as amended.
- 3) Section 3 of Act No. 497/2022 Coll., Regulation of the Government of the Slovak Republic No. 61/2023 Coll. defining critical foreign investments.
- 4) Regulation of the Government of the Slovak Republic No. 61/2023 Coll.
- 5) Typically, this means the constitution of a new company or a new establishment by the foreign investor in the Slovak Republic.
- 6) Section 2(1)(a) of Act No. 497/2022 Coll.
- 7) Section 2(1)(b) of Act No. 497/2022 Coll.
- 8) Section 2(1)(c) of Act No. 497/2022 Coll.
- 9) Section 2(1)(d) of Act No. 497/2022 Coll.
- 10) Section 2(1)(e) of Act No. 497/2022 Coll.
- 11) Section 6(a) of Act No. 497/2022 Coll.
- 12) Value of the transaction, i.e. the purchase price or other consideration expressed in money terms.
- 13) Section 4 of Act No. 497/2022 Coll.
- 14) If the natural person does not have a personal number, provide another identifier of the natural person. If another similar identifier of the natural person is not available, please explain.
- 15) For example, direct investor, company created for the purpose of executing the foreign investment, holding company, 100% owner of a holding company without any other significant business activity, global ultimate owner, etc.
- 16) Decree of the Statistical Office of the Slovak Republic No. 306/2007 Coll., establishing the Statistical Classification of Economic Activities.
- 17) Section 2(15) of Act No. 431/2002 Coll., on accounting, as amended by Act No. 333/2014 Coll.
- 18) Significant business activities, e.g. depending on the amount of turnover, quantity of products supplied or services rendered, etc.
- 19) Section 5 of Act No. 497/2022 Coll.
- 20) If the target undertaking does not have an identification number (IČO), provide another identifier of the target undertaking. If another similar identifier of the target undertaking is not available, please explain.
- 21) For example, direct contractual partner of the foreign investor, target undertaking affected by the foreign investment that is planned or has been completed within the group, global ultimate owner, group of companies, etc.
- 22) Provide information about the main competitors in the Slovak Republic, the European Union and globally.
- 23) Provide information about the availability of substitute products or services on the market in the Slovak Republic, the European Union and globally.
- 24) Due to the specific nature of greenfield investments, information in this section of the form is provided to the extent to which it is known. For example, if the foreign investment concerns a target undertaking that is yet to be constituted, provide the planned address of its registered office.
- 25) For example, foreign investment screening to protect security and public order, to protect competition or in the context of financial market supervision.
- 26) Article 6 of Regulation (EU) 2019/452, as amended.
- 27) If several persons act jointly on behalf of the foreign investor, provide signatures and details of all these persons.

FOREIGN INVESTMENT SCREENING FORM

1. Foreign investment proceedings

1.1 Number and date of the Notice from the Ministry of Economy of the Slovak Republic on the initiation of ex officio foreign investment screening¹⁾

2. Information about the foreign investment²⁾

2.1 The foreign investment meets the definition of foreign direct investment:³⁾

Yes

No

2.1.1 Explanation regarding point 2.1

2.2 The foreign investment meets the definition of critical foreign investment:⁴⁾

Yes

No

2.2.1 Industry or sector according to special legislation⁵⁾

2.2.2 Industry or sector according to principal activity, if the foreign investment is not a critical foreign investment

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2.2.3 Explanation regarding points 2.2.1 or 2.2.2

2.3 The foreign investment is a greenfield investment:⁶⁾

- Yes
- No

2.4 The foreign investment will enable the foreign investor to directly or indirectly:

- acquire the target undertaking or part of the target undertaking,⁷⁾
- exercise effective participation in the target undertaking,⁸⁾
- increase effective participation in the target undertaking,⁹⁾
- exercise control in the target undertaking,¹⁰⁾
- acquire ownership or other rights to substantial assets of the target undertaking and, at the same time, the investment is a critical foreign investment.¹¹⁾

2.5 Explanation regarding point 2.4

2.6 The foreign investment:

- is planned
- has been completed¹²⁾

2.7 Legal actions already taken in order to execute the foreign investment

2.8 Planned date of execution of the foreign investment or the date the foreign investment was completed (in accordance with 2.6)

2.9 Description of the foreign investment

2.10 The foreign investor's strategy under which the foreign investment is planned or under which the foreign investment was completed

2.11.1 Value of the foreign investment¹³⁾ in euros

2.11.2 If the foreign investment is part of a larger investment, indicate the value of the total investment¹³⁾ in euros

2.12 Explanation regarding points 2.11.1 and 2.11.2

2.13 Description of the different sources of funding of the foreign investment and the amount of contribution from these sources to the funding of

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3. Information about the foreign investor¹⁴⁾

3.1 Company name, or name and surname

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3.2 National identification (registration) number, registering authority and country of registration, or personal number¹⁵⁾

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3.3 Registered office, place of business or place of residence

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3.4 Date of incorporation, in the case of a legal person, or date of birth

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3.5 The country under whose laws the foreign investor was constituted, or nationality

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3.6 Is the foreign investor listed on a stock exchange?

Yes

No

3.6.1 If “Yes”, please specify

3.7 Website

3.8 Details of the statutory body or members of the statutory body (name and surname, personal number,¹⁵) function, e-mail address, telephone number)

3.9 Details of the holder of the procuration and other persons acting on behalf of the foreign investor (name and surname, personal number,¹⁵) function, e-mail address, telephone number)

3.10 Role of the foreign investor in planning, executing and utilising the foreign investment¹⁶)

3.11 Classification of activities according to SK NACE Rev. 2¹⁷) before executing the foreign investment

3.12 Description of activities before executing the foreign investment

3.13 Result of activities – description of products, services and business operations before executing the foreign investment

3.14 Number of employees at the end of the last three closed financial years	n	
	n – 1	
	n – 2	

3.15 Annual turnover ¹⁸⁾ over the last three closed financial years in euros	n	
	n – 1	
	n – 2	

3.16 Earnings before interest, taxes, depreciation and amortisation (EBITDA) for the last three closed financial years in euros	n	
	n – 1	
	n – 2	

3.17 Countries where the foreign investor carries on significant business activities ¹⁹⁾ alone, through subsidiaries, branches, etc.
- Member States of the European Union/indicate the name of the company for each relevant country
- third countries/indicate the name of the company for each relevant country

3.18 Group to which the foreign investor belongs
3.19 Ownership structure of the foreign investor
3.20 Beneficial owners (name and surname, personal number, ¹⁵) date of birth, passport number, nationality)
3.20.1 Explanation of the beneficial owner's participation in the management of the foreign investor
3.21 Number of employees of the group at the end of the last closed financial year
3.22 Annual turnover ¹⁸) of the group for the last closed financial year in euros
3.23 Earnings of the group before interest, taxes, depreciation and amortisation (the group's EBITDA) for the last closed financial year in euros

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3.24 Is the foreign investor or any of the companies in the group to which the foreign investor belongs subject to restrictions or sanctions imposed by the European Union?

Yes

No

3.24.1 If “Yes”, please specify

3.25 Is the foreign investor or any of the companies in the group to which the foreign investor belongs subject to restrictions or sanctions imposed by a third country?

Yes

No

3.25.1 If “Yes”, please specify

4. Information about the target undertaking²⁰⁾

4.1 The target undertaking, if not a greenfield investment⁶⁾

4.1.1 Company name

4.1.2 Registered office or place of business

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4.1.3 Identification number (IČO) ²¹⁾

4.1.4 VAT ID

4.1.5 Website

4.1.6 The role of the target undertaking in planning and executing the foreign investment ²²⁾

4.1.7 Classification of activities according to SK NACE Rev. 2 ¹⁷⁾

4.1.8 Description of activities

4.1.9 Result of activities – description of products, services and business operations before executing the foreign investment

4.1.10 Result of activities – description of products, services and business operations after the foreign investment has been completed

4.1.11 Main suppliers related to the target undertaking's principal object of activity

4.1.12 Key customers of goods, products, services

4.1.13 Main competitors²³⁾

4.1.14 Availability of substitute products or services on the market²⁴⁾

4.1.15 Is the target undertaking a holder of patents, licences or other intellectual property rights?

Yes

No

4.1.15.1 If “Yes”, please specify

4.1.16 Countries where the target undertaking carries on significant business activities¹⁹⁾ alone, through subsidiaries, branches, etc.

- Member States of the European Union/indicate the name of the company for each relevant country

- third countries/indicate the name of the company for each relevant country

4.1.17 Number of employees at the end of the last closed financial year

4.1.18 Annual turnover¹⁸⁾ for the last closed financial year in euros

4.1.19 Earnings before interest, taxes, depreciation and amortisation (EBITDA) for the last closed financial year in euros

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4.1.20 Group to which the target undertaking belongs before executing the foreign investment

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4.1.21 Group to which the target undertaking belongs or will belong after the foreign investment has been completed

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4.1.22 Ownership structure of the target undertaking before executing the foreign investment

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4.1.23 Ownership structure of the target undertaking after the foreign investment has been completed

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4.1.24 Beneficial owners before executing the foreign investment (name and surname, personal number,¹⁵ date of birth, passport number, nationality)

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4.1.24.1 Explanation of the beneficial owner's participation in the management of the target undertaking before executing the foreign investment

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4.1.25 Beneficial owners after the foreign investment has been completed (name and surname, personal number,¹⁵) date of birth, passport number, nationality)

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4.1.25.1 Explanation of the beneficial owner's participation in the management of the target undertaking after the foreign investment has been

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4.1.26 Number of employees of the group at the end of the last closed financial year

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4.1.27 Annual turnover¹⁸) of the group for the last closed financial year in euros

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4.1.28 Earnings of the group before interest, taxes, depreciation and amortisation (the group's EBITDA) for the last closed financial year in euros

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4.1.29 Is the target undertaking or any of the companies in the group to which the target undertaking belongs subject to restrictions or sanctions imposed by the European Union?

Yes

No

4.1.29.1 If “Yes”, please specify

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4.1.30 Is the target undertaking or any of the companies in the group to which the target undertaking belongs subject to restrictions or sanctions imposed by a third country?

Yes

No

4.1.30.1 If “Yes”, please specify

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4.1.31 Has the target undertaking received or is it receiving funding through European Union projects or programmes?

Yes

No

4.1.31.1 If “Yes”, please specify

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4.1.32 Is the target undertaking participating or has it participated directly or indirectly in European Union projects or programmes?

Yes

No

4.1.32.1 If “Yes”, please specify

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4.2 Target undertaking, in the case of a greenfield investment²⁵⁾

4.2.1 Company name

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4.2.2 Registered office or place of business

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4.2.3 Country where the target undertaking is headquartered

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4.2.4 Identification number (IČO)²¹⁾

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4.2.5 VAT ID

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4.2.6 Date of incorporation

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4.2.7 Website

4.2.8 Classification of activities according to SK NACE Rev. 2¹⁷)

4.2.9 Description of activities

4.2.10 Result of activities – description of products, services and business operations

4.2.11 Main suppliers related to the target undertaking's principal object of activity

4.2.12 Key customers of goods, products, services

4.2.13 Main competitors²³⁾

4.2.14 Expected number of employees

4.2.15 Target undertaking's group

4.2.16 Ownership structure of the target undertaking

4.2.17 Beneficial owners (name and surname, personal number,¹⁵⁾ date of birth, passport number, nationality)

4.2.18 Explanation of the participation of the beneficial owner in the management of the target undertaking

5. Other

5.1 Is the foreign investment subject to assessment, authorisation, monitoring or other scrutiny pursuant to the rules of the European Union or national rules of another State?²⁶⁾

Yes

No

5.1.1 If “Yes”, please specify

5.2 Other relevant information

6. Annexes

6.1 Mandatory annexes
In the case of a foreign investment that also meets the definition of foreign direct investment, ³⁾ a completed form for requesting information from the foreign investor for the purposes of notification pursuant to special legislation ²⁷⁾ shall be attached to this request. The form is available on the website of the Ministry of Economy of the Slovak Republic.
Certificate of registration of the foreign investor and assignment of the national identification (registration) number issued by the relevant registering authority of the country under whose laws the foreign investor was constituted (not to be attached if the foreign investor is a legal person with registered office in the Slovak Republic).
Copy of the foreign investor’s identity card or passport.

6.2 List of additional annexes

signature

foreign investor
name, surname and function of the person
acting on behalf of the foreign investor²⁸⁾

Notes:

- 1) Section 12(3) of Act No. 497/2022 Coll. on foreign investment screening and on amendments to certain acts.
- 2) Section 2 of Act No. 497/2022 Coll.
- 3) Article 2(1) of Regulation (EU) 2019/452 of the European Parliament and of the Council of 19 March 2019 establishing a framework for the screening of foreign direct investments into the Union (OJ L 79I, 21.3.2019), as amended.
- 4) Section 3 of Act No. 497/2022 Coll., Regulation of the Government of the Slovak Republic No. 61/2023 Coll. defining critical foreign investments.
- 5) Regulation of the Government of the Slovak Republic No. 61/2023 Coll.
- 6) Typically, this means the constitution of a new company or a new establishment by the foreign investor in the Slovak Republic.
- 7) Section 2(1)(a) of Act No. 497/2022 Coll.
- 8) Section 2(1)(b) of Act No. 497/2022 Coll.
- 9) Section 2(1)(c) of Act No. 497/2022 Coll.
- 10) Section 2(1)(d) of Act No. 497/2022 Coll.
- 11) Section 2(1)(e) of Act No. 497/2022 Coll.
- 12) Section 6(a) of Act No. 497/2022 Coll.
- 13) Value of the transaction, i.e. the purchase price or other consideration expressed in money terms.
- 14) Section 4 of Act No. 497/2022 Coll.
- 15) If the natural person does not have a personal number, provide another identifier of the natural person. If another similar identifier of the natural person is not available, please explain.

- 16) For example, direct investor, company created for the purpose of executing the foreign investment, holding company, 100% owner of a holding company without any other significant business activity, global ultimate owner, etc.
- 17) Decree of the Statistical Office of the Slovak Republic No. 306/2007 Coll., establishing the Statistical Classification of Economic Activities.
- 18) Section 2(15) of Act No. 431/2002 Coll., on accounting, as amended by Act No. 333/2014 Coll.
- 19) Significant business activities, e.g. depending on the amount of turnover, quantity of products supplied or services rendered, etc.
- 20) Section 5 of Act No. 497/2022 Coll.
- 21) If the target undertaking does not have an identification number (IČO), provide another identifier of the target undertaking. If another similar identifier of the target undertaking is not available, please explain.
- 22) For example, direct contractual partner of the foreign investor, target undertaking affected by the foreign investment that is planned or has been completed within the group, global ultimate owner, group of companies, etc.
- 23) Provide information about the main competitors in the Slovak Republic, the European Union and globally.
- 24) Provide information about the availability of substitute products or services on the market in the Slovak Republic, the European Union and globally.
- 25) Due to the specific nature of greenfield investments, information in this section of the form is provided to the extent to which it is known. For example, if the foreign investment concerns a target undertaking that is yet to be constituted, provide the planned address of its registered office.
- 26) For example, foreign investment screening to protect security and public order, to protect competition or in the context of financial market supervision.
- 27) Article 6 of Regulation (EU) 2019/452, as amended.
- 28) If several persons act jointly on behalf of the foreign investor, provide signatures and details of all these persons.

REQUEST FOR MODIFICATION OF A DECISION ON CONDITIONAL AUTHORISATION OF A FOREIGN INVESTMENT

1. Information about the foreign investor¹⁾ requesting the modification of a decision on conditional authorisation of a foreign investment

1.1 Company name, or name and surname

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1.2 National identification (registration) number, registering authority and country of registration or personal number²⁾

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1.3 Registered office, place of business or place of residence

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2. Information about the requested modification of a decision on conditional authorisation of a foreign investment

2.1 Number and date of issue of the decision on conditional authorisation of a foreign investment to which the request relates

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2.2 The mitigation measure³⁾ to which the request relates

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2.3 Obligations of the foreign investor, which are in place to ensure compliance with the mitigation measures and to which the request relates

2.4 Description of the requested modification of the decision on conditional authorisation of a foreign investment

2.5 Justification of the requested modification of the decision on conditional authorisation of a foreign investment

3. Other

3.1 List of annexes

signature

foreign investor
name, surname and function of the person
acting on behalf of the foreign investor⁴⁾

Notes:

- 1) Section 4 of Act No. 497/2022 Coll. on foreign investment screening and on amendments to certain acts.
- 2) If the natural person does not have a personal number, provide another identifier of the natural person. If another similar identifier of the natural person is not available, please explain in point 1.2.
- 3) Section 6(g) of Act No. 497/2022 Coll.
- 4) If several persons act jointly on behalf of the foreign investor, provide signatures and details of all these persons.

REPORT ON THE EXECUTION OF A FOREIGN INVESTMENT

1. Information about the foreign investment¹⁾

1.1 Number and date of the decision on authorisation of the foreign investment or decision on conditional authorisation of the foreign investment, or the number and date of the certificate of application of Section 21(1) or (2) of Act No. 497/2022 Coll., on foreign investment screening and on amendments to certain acts²⁾

1.2 Number and date of the document certifying that no risk of negative impact of the foreign investment on security and public order has been identified (if point 1.1. is not filled out)³⁾

1.3 Description of the foreign investment, including description of the ownership structure of the target undertaking after the foreign investment has been completed and the position of the target undertaking within the structure of the foreign investor's group

1.4 Date of execution of the foreign investment⁴⁾

1.5.1 Value of the foreign investment⁵⁾ in euros

1.5.2 If the foreign investment is part of a larger investment, indicate the value of the total investment⁵⁾ in euros

1.6 Explanation regarding points 1.5.1 and 1.5.2

1.7 Description of the different sources of funding of the foreign investment and the amount of contribution made from these sources to the funding

1.8 The foreign investment enabled the foreign investor to directly or indirectly:

- acquire the target undertaking or part of the target undertaking,⁶⁾
- exercise effective participation in the target undertaking,⁷⁾
- increase effective participation in the target undertaking,⁸⁾
- exercise control in the target undertaking,⁹⁾
- acquire ownership or other rights to substantial assets of the target undertaking and, at the same time, the investment is a critical foreign investment.¹⁰⁾

1.9 Explanation regarding point 1.8

2. Information about the foreign investor¹¹⁾

2.1 Company name, or name and surname

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2.2 National identification (registration) number, registering authority and country of registration, or personal number ¹²⁾

2.3 Registered office, place of business or place of residence

2.4 Ownership structure of the foreign investor

2.5 Beneficial owners (name and surname, personal number, ¹²⁾ date of birth, passport number, nationality)

2.5.1 Explanation of the beneficial owner's participation in the management of the foreign investor

3. Information about the target undertaking¹³⁾

3.1 Company name

3.2 Registered office or place of business

3.3 Identification number (IČO)¹⁴⁾

3.4 VAT ID

3.5 Date of incorporation, in the case of a greenfield investment¹⁵⁾

3.6 Description of activities

3.7 Result of activities – description of products, services and business operations after the foreign investment has been completed

3.8 Ownership structure of the target undertaking

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3.9 Beneficial owners after the foreign investment has been completed (name and surname, personal number,¹²⁾ date of birth, passport number,

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3.9.1 Explanation of the participation of the beneficial owner in the management of the target undertaking

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4. Other

4.1 Other relevant information

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4.2 Description of changes compared to the information provided in the application for foreign investment screening or the foreign investment

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4.3 List of annexes

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signature

foreign investor

name, surname and function of the person
acting on behalf of the foreign investor¹⁶⁾

Notes:

- 1) Section 2 of Act No. 497/2022 Coll. on foreign investment screening and on amendments to certain acts.
- 2) Sections 18, 19, 21(3), and 29(1) of Act No. 497/2022 Coll.
- 3) Sections 15(6) and (8), and 29(1) of Act No. 497/2022 Coll.
- 4) Section 6(a) of Act No. 497/2022 Coll.
- 5) Value of the transaction, i.e. the purchase price or other consideration provided, expressed in money terms.
- 6) Section 2(1)(a) of Act No. 497/2022 Coll.
- 7) Section 2(1)(b) of Act No. 497/2022 Coll.
- 8) Section 2(1)(c) of Act No. 497/2022 Coll.
- 9) Section 2(1)(d) of Act No. 497/2022 Coll.
- 10) Section 2(1)(e) of Act No. 497/2022 Coll.
- 11) Section 4 of Act No. 497/2022 Coll.
- 12) If the natural person does not have a personal number, provide another identifier of the natural person. If another similar identifier of the natural person is not available, please explain.
- 13) Section 5 of Act No. 497/2022 Coll.
- 14) If the target undertaking does not have an identification number (IČO), provide another identifier of the target undertaking. If another similar identifier of the target undertaking is not available, please explain.
- 15) Typically, this means the constitution of a new company or a new establishment by the foreign investor in the Slovak Republic.
- 16) If several persons act jointly on behalf of the foreign investor, provide signatures and details of all these persons.

MONITORING REPORT

1. Information about the foreign investment¹⁾

1.1 Number and date of the decision on authorisation of the foreign investment or decision on conditional authorisation of the foreign investment, or the number and date of the certificate of application of Section 21(1) or (2) of Act No. 497/2022 Coll., on foreign investment screening and on amendments to certain acts²⁾

2. Information about the foreign investor³⁾

2.1 Company name, or name and surname

2.2 National identification (registration) number, registering authority and country of registration or personal number⁴⁾

2.3 Registered office, place of business or place of residence

2.4 Countries where the foreign investor carries on significant business activities⁵⁾ alone, through subsidiaries, branches, etc.

- Member States of the European Union/indicate the name of the company for each relevant country

- third countries/indicate the name of the company for each relevant country

2.5 Group to which the foreign investor belongs

2.6 Ownership structure of the foreign investor

2.7 Beneficial owners (name and surname, personal number,⁴⁾ date of birth, passport number, nationality)

2.7.1 Explanation of the beneficial owner's participation in the management of the foreign investor

3. Information about the target undertaking⁶⁾

3.1 Company name

3.2 Registered office or place of business

3.3 Identification number (IČO)⁷⁾

3.4 VAT ID

3.5 Description of activities

3.6 Result of activities – description of products, services and business operations after the foreign investment has been completed

3.7 Number of employees at the end of the last closed financial year

3.8 Annual turnover⁸⁾ for the last closed financial year in euros

3.9 Earnings before interest, taxes, depreciation and amortisation (EBITDA) for the last closed financial year in euros

3.10 Group to which the target undertaking belongs

3.11 Ownership structure of the target undertaking

3.12 Beneficial owners (name and surname, personal number,⁴) date of birth, passport number, nationality)

3.12.1 Explanation of the participation of the beneficial owner in the management of the target undertaking

3.13 Number of employees of the group at the end of the last closed financial year

3.14 Annual turnover⁸) of the group for the last closed financial year in euros

3.15 Earnings of the group before interest, taxes, depreciation and amortisation (the group's EBITDA) for the last closed financial year in euros

4. Other

4.1 Other relevant information

4.2 List of annexes

signature

foreign investor
name, surname and function of the person
acting on behalf of the foreign investor⁹⁾

Notes:

- 1) Section 2 of Act No. 497/2022 Coll. on foreign investment screening and on amendments to certain acts.
- 2) Sections 18, 19, 21(3), and 29(2) of Act No. 497/2022 Coll.
- 3) Section 4 of Act No. 497/2022 Coll.
- 4) If the natural person does not have a personal number, provide another identifier of the natural person. If another similar identifier of the natural person is not available, please explain.
- 5) Significant business activities, e.g. depending on the amount of turnover, quantity of products supplied or services rendered, etc.
- 6) Section 5 of Act No. 497/2022 Coll.
- 7) If the target undertaking does not have an identification number (IČO), provide another identifier of the target undertaking. If another similar identifier of the target undertaking is not available, please explain.
- 8) Section 2(15) of Act No. 431/2002 Coll., on accounting, as amended by Act No. 333/2014 Coll.
- 9) If several persons act jointly on behalf of the foreign investor, provide signatures and details of all these persons.